



PY 2023 Draft

(Final Version Updated v1)

Annual Action Plan

This document is made available for review starting on June 16, 2023 followed by a 30-day public review and comment period starting June 16, 2023 and ending on July 17, 2023 at midnight. Please submit your name, address, phone number, and comments by mail, fax, telephone, or email to: City of Dunkirk Planning and Development Department (Attn: Nicole Clift), 342 Central Ave., Dunkirk, NY 14048; FAX 716-363-6460; PHONE 716-366-9878; or email nclift@cityofdunkirk.com

All comments must be in by midnight on July 17, 2023.

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Dunkirk is designated as an entitlement community based on the amount of its population suffering with poverty. The CDBG entitlement program allocates annual grants to assist in the development of viable communities by providing decent housing, a suitable living environment, and to expand economic opportunities, principally for low- and moderate-income persons. To receive an annual allocation of federal funds from HUD, the City is required to create a three-year to five-year Consolidated Plan (strategic plan) with an annual Action Plan.

The Consolidated Plan includes the amount of assistance the City expects to receive and the range of activities that may be undertaken including the estimated benefit to persons of low and moderate-income. The Annual Action Plan is required to include project or program information, such as location, cost, proposed outcome, and any additional descriptive information. Federal regulations require that funds be aimed where the greatest benefit may be attained for low- and moderate-income residents. To acquire public engagement in all aspects and phases of Plan development, the City of Dunkirk attempted to interact with various stakeholders in meetings, public hearings, and via website publications.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Although the City has many needs, this plan identifies and prioritizes the following critical components to the City's development strategy:

Provide Safe, Affordable Housing - The City has some of the oldest housing stock in the nation and has identified the need to assist residents make their homes safe and habitable. This will be accomplished by providing emergency roof repair assistance, first time homebuyer down payment and closing cost assistance, and entryway step rehabilitation. Approximately 21 low-moderate income residents and the general population for local LMI Block Groups will benefit from these activities.

Infrastructure Improvements - In order to maintain a safe, healthy, enjoyable environment, the City needs to improve and to modernize its infrastructure, including roadways, streetscapes, community facilities, and parks. This will be accomplished by making improvements to sidewalks and performing demolition of blighted buildings. Approximately 1285 low-moderate income residents will benefit from these activities.

Provide Public and Youth Community Services - The City of Dunkirk has an extremely diverse population with a dense amount of youth and elderly seniors. The City is investing in programs to help residential youth remain in school, provide positive role models and prepare for positive careers. The City is also focusing on helping to alleviate food insecurities and give a creative outlet to youth in the local community. In addition, the City is assisting the local food pantry to provide food services. Approximately 2025 LMI residents & youth will benefit from these activities.

Planning and Administration - The City will be investing in the reimbursement for staffing and administrative work and publications for the management of its CDBG Program.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

Many projects were delayed directly or in-directly due to the COVID-19 pandemic, but most were able to be completed in 2022 as things have gotten back to normal. The City's momentum to achieving a timelier execution of activities in the upcoming years is moving forward with a large accomplishment of projects completed within the 2022 program year. Despite the resonating effects the pandemic has caused, the City of Dunkirk achieved the following accomplishments in 2022:

PY19 Planning & Administration: This project was awarded \$89,363 to fund the salary of the CDBG Program Administrator and Secretary. Only 1 staffer was assisted.

PY20 Museum Renovations: This program was awarded \$25,000 to benefit a total of 750 LMI residents, with an accomplishment of 594 LMI area residents benefited.

PY20 Tree Planting: This activity was awarded \$3,000 to benefit the entire city in association with the PY21 Lake Shore Drive Complete Streets Project, however software only allowed 1,135 LMI area residents to benefit per data entry issue.

PY21 CHRIC Roof Repair: This program was awarded \$55,200 to assist a total of 3 LMI owned households in Dunkirk, the activity over achieved its goal assisting 4 LMI owned households instead.

PY21 CHRIC Closing Cost Assistance Program: This project was awarded \$27,000 to assist 8 first time LMI home buyers with the purchase of their first home and the intended goal was met.

PY21 Public Library After School Snack Program: This program was awarded \$1,500 to provide snacks to 50 LMI students who visit the library. The activity over achieved its goal assisting 2,455 LMI youth instead.

PY21 Tree Planting: This activity was awarded \$5,455 to benefit the entire city in association with the PY21 Lake Shore Drive Complete Streets Project, however software only allowed 1,135 LMI area residents to benefit per data entry issue.

PY21 Lake Shore Drive Complete Streets: This activity was awarded \$85,000 to benefit the entire city in association with the PY20 & PY21 Tree Planting Projects, however software only allowed 12,170 LMI area residents to benefit per data entry issue.

PY21 LandBank Hands on Neighborhoods Program: This activity was awarded \$10,000 to benefit the target area population of 50 homes. The activity over achieved its goal assisting 9,580 LMI residents instead of households.

PY21 Kids at Promise Building Study/Plans Program: This activity was awarded \$10,000 to benefit the 50 LMI youth with plans for the public facility and the intended goal was met.

PY21 LandBank Lead Abatement Program: This activity was awarded \$30,000 to benefit 1 LMI first time home buyer with a rehabbed house and the intended goal was met.

PY22 Boys & Girls Bridging the Gap Program: This activity was awarded \$15,000 to assist 70 LMI youth with its school and mentoring program. The activity over achieved its goal assisting 77 LMI youth instead.

The City is also on track to accomplish the following additional projects within the 2022 Program Year:

PY19 Wright Park Softball Bathrooms: This activity was awarded \$39,743 to benefit 12,000 city residents with its upgrades for the prevention of the Coronavirus and the intended goal will be met.

PY21 Wright Park Pavilion Bathrooms: This activity was awarded \$10,000 to benefit 12,563 city residents with its upgrades and the intended goal will be met.

PY21 Pt Gratiot Improvements: This activity was awarded \$62,300 to benefit 12,563 city residents with its upgrades to the Firemen Pavilion and the intended goal will be met.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The City believes in continual communication with its local nonprofits, community leaders, and citizens. To guide this communication, the City of Dunkirk adopted a Citizen Participation Plan (CPP) which was prepared in accordance with Section 104(a)(3) of the Housing and Community Development Act of 1974, as amended, and federal regulation 24 CFR 91.105. The CPP has been amended to make this process even more effective, and was formally adopted on April 7, 2015 after a 30-day public comment period.

The City held two 2023 Annual Action Plan Input meetings for the public on February 28th, 2023 and again on March 3rd, 2023. The City also held two technical assistance meetings for potential CDBG subrecipients on March 28th and March 29th, 2023. A public legal notice was published in the newspaper on February 14th, 2023 for all of these meetings. The City held a Public Hearing on June 21, 2023 to review the Draft 2023 Annual Action Plan and on June 16th, 2023, that draft was posted on the City's website, a copy will be located in the planning office along with the City Clerk's office for public review. A public legal notice was published in the newspaper on June 8, 2023 in regards to the available draft and public hearing. Written and verbal comments were accepted until July 17, 2023 at midnight in City Hall by email, phone call, in writing, or in person. Public Comment period concluded on July 17th, 2023.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

No public comments received.

6. Summary of comments or views not accepted and the reasons for not accepting them

No comments were rejected, as none were received.

7. Summary

The City of Dunkirk strives to recognize the issues currently facing residents of the City of Dunkirk, and address these issues to the best of its ability. Community Development Block Grant (CDBG) funds, in conjunction with other resources, give the City of Dunkirk the opportunity to improve the lives of people within Dunkirk. In 2023, we will look to undertake projects involving housing improvements, infrastructure improvements, public services, and elimination of slum and blight, with the stated goal of creating a happier, healthier community and environment throughout the City.

Citizen participation and community input has been, and will continue to be, essential for developing targeted strategies to shape the City's vision and to ensure that federal resources provide the maximum benefit to those citizens in need.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	Dunkirk	
CDBG Administrator	Dunkirk	Department of Planning & Development
HOPWA Administrator		
HOME Administrator		
HOPWA-C Administrator		

Table 1 – Responsible Agencies

Narrative (optional)

Consolidated Plan Public Contact Information

AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The City of Dunkirk recognizes the importance of coordination and consultation with institutions within the community. As a result, in formulating this plan, the City of Dunkirk made all attempts to work with all organizations through public meetings and hearings, as well as working with organizations at their best convenience as things get back to normal from the recent COVID-19 pandemic.

Dunkirk is required by the U.S. Department of Housing and Urban Development (HUD) to create its own Consolidated Plan and Annual Action Plan in order to be considered for entitlement grant funding. The City of Dunkirk completed its 2021-2023 Consolidated Plan, which clarifies and defines the City's future objectives and illustrates how those objectives align with CDBG program objectives. This Annual Action Plan utilizes the priorities outlined in the Consolidated Plan and specifies the intended uses of CDBG funding for Dunkirk's third program year (2023) under the current plan.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

The City of Dunkirk strives to provide fair and accurate copies of its plans at every stage of their development. As such, the City provided public notices about public hearings via local media sources including print, online, community meetings and word of mouth sources. The City also worked with, and made all attempts to work with, housing providers such as Chautauqua Opportunities, Inc., the Dunkirk Housing Authority, as well as other agencies involved in healthcare and housing in some form. Notices of applications and copies of the City's 2023 CDBG Application were mailed to: Boys & Girls Club of Northern Chautauqua County, Dunkirk Housing Authority, Dunkirk-Fredonia Meals on Wheels, Chautauqua County Office for the Aging, Chautauqua County Rural Ministry, Chautauqua Opportunities, Chautauqua Home Rehabilitation and Improvement Corp., Northern Chautauqua Community Foundation, Salvation Army, Senior Center of Dunkirk, Southern Tier Environment for Living, Revitalize Dunkirk, Chautauqua Striders, Dunkirk Public Library, Dunkirk Historical Society, Dunkirk Little League, HOPE - Hispanics Organized for Progress and Education, Chautauqua Land Bank, Plus Farm (Access to the Arts), The Resource Center, Willow Mission, Wheel People, Catholic Charities, Lake Shore Humane Society, Kids At Promise, The Outpour Project and The United Way. No one was intentionally left out and we encourage interactions, questions, and suggestions from all agencies.

The City of Dunkirk does not currently manage any public housing. Public housing within the City's jurisdiction is managed by the Dunkirk Housing Authority (DHA). The DHA currently maintains 243 housing units. City staff also maintains close relationships with local Community Housing Development Organizations (CHDO), including Chautauqua Opportunities, Inc. (COI).

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Homelessness and chronic homelessness are issues individuals face throughout the United States. Residents of Dunkirk are, unfortunately, no exception to this rule. While efforts are ongoing to improve data collection on the exact amount of homelessness and chronic homelessness exists within the City, a support network has been established for those experiencing homelessness, chronic homelessness, or risk of becoming homeless. Chautauqua Opportunities, Inc. (COI) is the City's Continuum of Care provider. In October of 2014 the Chautauqua County Homeless Coalition signed and adopted a 10-year plan to end homelessness in Chautauqua County.

Chautauqua Lake Central School District, which is within the CoC geography, has a McKinney-Vento funding award from the NYS education Department to provide educational services to homeless children. The McKinney-Vento Liaisons in all of the county's 18 school districts have been contacted and asked to join the CoC or be provided with information about homeless services. Chautauqua County has a NY State licensed runaway and homeless youth shelter that is funded by the U.S. Department of Health and Human Services and operated by the CoC lead agency.

Providers regularly refer families to other providers when their family composition does not allow them to be accommodated in their facility. The CoC has also held a McKinney-Vento training in coordination with local school districts to improve coordination of services with school districts, and whenever possible, keep children in their school of origin.

If housing is not available for a specific family type, the local Department of Social Services will utilize a local hotel to keep the family together while other housing options are explored.

The Veteran's Administration's regional representative is a member of the CoC decision-making body.

The lead agency also coordinates with VASH as the Section 8 Housing Choice Voucher administrator for the county, and was recently awarded a Supportive Services for Veteran Families grant from the U.S. Department of Veteran Affairs to prevent homelessness among veteran families. The CoC participated in the Veteran's Administration needs assessment. All HUD-funded agencies in the CoC serve veterans as an integrated service.

The CoC lead agency operates a NYS licensed Runaway and Homeless Youth Shelter that is funded with DHHS Basic Center funding, as well as a DHHS funded Street Outreach Program that makes contact with over 7,500 youth in the county annually, and a Transitional Living Program for youth ages 16-21 (DHHS funding). These programs frequently collaborate with other providers that serve the youth population such as Boys and Girls Clubs, YMCA's and YWCA's, church teen groups, municipal recreation programs, and other non-profit organizations. The lead organization and other youth serving providers will

participate in strategic planning meetings to ensure that the needs of homeless youth are addressed in future plans.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

The City does not receive ESG funds.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	Boys & Girls Club of Northern Chautauqua County
	Agency/Group/Organization Type	Services-Children Services-Education
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Non-Homeless Special Needs Youth Services
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice and copy of the 2023 CDBG Application. Members of the Boys and Girls Club communication with our office as they have been previously awarded CDBG funds for youth service programs. They express their needs and challenges for low income families and youth in the community.
2	Agency/Group/Organization	CHRIC
	Agency/Group/Organization Type	Housing Services - Housing Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice and copy of the 2023 CDBG Application. Members of CHRIC are in constant communication with our office as they have been previously awarded CDBG funds for home rehab, repair, and first-time homebuyer programs. They recently have instituted a new program in association with the City of Dunkirk, for code violation repair that will continue to assist our local LMI resident population.
3	Agency/Group/Organization	Revitalize Dunkirk
	Agency/Group/Organization Type	Community Pride/Beautification Organization

	What section of the Plan was addressed by Consultation?	Market Analysis
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City is in frequent contact with Revitalize Dunkirk, a citizen public service organization that does various beautification projects throughout the city. They attend many public meetings and are helpful partners in identifying underserved areas and community needs. The City mailed a copy of the 2023 CDBG Application.
4	Agency/Group/Organization	Dunkirk Housing Authority
	Agency/Group/Organization Type	Housing Services-Elderly Persons Services-Persons with Disabilities
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice and copy of the 2023 CDBG Application. The DHA is also involved in the Homeless Coalition meetings, hosted by COI, which also includes the Chautauqua County Department of Social Services and the Chautauqua Cattaraugus Library System.

5	Agency/Group/Organization	Chautauqua Opportunities, Inc.
	Agency/Group/Organization Type	Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice and copy of the 2023 CDBG Application. COI also leads the County in Fair Housing efforts, Continuum of Care, and hosts the Homeless Coalition meetings, in which the City of Dunkirk participates in.

Identify any Agency Types not consulted and provide rationale for not consulting

The City of Dunkirk contacted agencies and organizations associated with housing rehabilitation, economic development, community development, infrastructure development, or skills development. All applicable agencies were consulted and no person or group was intentionally omitted. Any and all agencies involving the needs of the people of the City of Dunkirk are always welcome to discuss the plan with

City Department of Planning and Economic Development staff and were given advance notice regarding the public hearings, release of draft plan, and public comment period.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Chautauqua Opportunities	In consultation with the Chautauqua County Continuum of Care provider (COI), the City has designed its consolidated plan to reflect the needs and concerns laid out in the CoC. We are working with them to find other solutions to the homeless problem including finding shelters for heating and cooling centers in inclement weather.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

The City continually consults with Chautauqua Opportunities, Inc., Dunkirk Housing Authority, and Chautauqua Home Rehabilitation & Improvement Corporation (CHRIC) executives to better understand their needs. Through continued partnership with these organizations, the City anticipates improved quality and quantity of services and affordable housing for the homeless and low/moderate-income persons.

We have also undertaken new initiatives to further economic development as well as housing conditions within the City. We were awarded a LISC Zombie Property grant which we used for a survey of housing conditions in the City as well as taking a look at utility bills, sidewalk conditions, foreclosure information, and build dates for the homes. We're using this information in conjunction with the Chautauqua County Landbank and Chautauqua Opportunities in order to direct homeowners on the verge of foreclosure to programs that can keep them in their homes, thereby preventing increased vacancy. This will also allow us to identify where the greatest needs are and to better allocate CDBG funding in the future.

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The City believes in continual communication with its local nonprofits, community leaders, and citizens. To guide this communication, the City of Dunkirk adopted a Citizen Participation Plan (CPP) which was prepared in accordance with Section 104(a)(3) of the Housing and Community Development Act of 1974, as amended, and federal regulation 24 CFR 91.105. A DRAFT Amended Citizen Participation Plan was put out for a 30-day public comment period and was formally adopted on April 7, 2015.

The City held two 2023 Annual Action Plan Input meetings for the public on February 28th, 2023 and again on March 3rd, 2023. The City also held two technical assistance meetings for potential CDBG subrecipients on March 28th and March 29th, 2023. A public legal notice was published in the newspaper on February 14th, 2023 for all of these meetings. The City held a Public Hearing on June 21, 2023 to review the Draft 2023 Annual Action Plan and on June 16th, 2023, that draft was posted on the City's website, a copy will be located in the planning office along with the City Clerk's office for public review. A public legal notice was published in the newspaper on June 8, 2023 in regards to the available draft and public hearing. Written and verbal comments were accepted until July 17, 2023 at midnight in City Hall by email, phone call, in writing, or in person. Public Comment period concluded on July 17th, 2023. Copies of the City's 2023 CDBG Application were mailed to: Boys & Girls Club of Northern Chautauqua County, Dunkirk Housing Authority, Dunkirk-Fredonia Meals on Wheels, Chautauqua County Office for the Aging, Chautauqua County Rural Ministry, Chautauqua Opportunities, Chautauqua Home Rehabilitation and Improvement Corp., Northern Chautauqua Community Foundation, Salvation Army, Senior Center of Dunkirk, Southern Tier Environment for Living, Revitalize Dunkirk, Chautauqua Striders, Dunkirk Public Library, Dunkirk Historical Society, Dunkirk Little League, HOPE - Hispanics Organized for Progress and Education, Chautauqua Land Bank, Plus Farm (Access to the Arts), The Resource Center, Willow Mission, Wheel People, Catholic Charities, Lake Shore Humane Society, Kids At Promise, The Outpour Project and The United Way. No one was intentionally left out and we encourage interactions, questions, and suggestions from all agencies.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Meeting	Non-targeted/broad community	The first public meeting held on February 28th, 2023, for Public Input for planning the 2023 AAP. Location: Mayor's Conference Room. 3 residents attended.	No comments were received.	No comments were received, nor rejected for any reason.	
2	Public Meeting	Non-targeted/broad community	The second public meeting held on March 3rd, 2023, for Public Input for the 2023 AAP. Location: Fredonia Incubator. No residents attended.	No comments were received.	No comments were received, nor rejected for any reason.	
3	Public Meeting	Potential Subrecipient Applicants	The first technical assistance public meeting held on March 28th, 2023, for Potential Subrecipients/Application Submissions for the 2023 AAP. Location: Development Conference Room. 1 Potential Applicant attended.	No comments were received.	No comments were received, nor rejected for any reason.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Public Meeting	Potential Subrecipient Applicants	The first technical assistance public meeting held on March 29th, 2023, for Potential Subrecipients/Application Submissions for the 2023 AAP. Location: Development Conference Room. 1 Potential Applicant attended.	No comments were received.	No comments were received, nor rejected for any reason.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
5	Public Hearing	Non-targeted/broad community	The public meeting held on June 21st, 2023, for Public Input for the 2023 AAP Draft Final. Location: Mayor's Conference Room. x residents attended. The 2023 AAP Draft was made available to the public for comment on June 16th, 2023, providing a copy on the City's website, in the Development Office, and at the City's Clerk's Office for review. Comments were accepted through July 17th, 2023, at midnight.	No comments were received.	No comments were received, nor rejected for any reason.	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

Allocation announcements from HUD were made in March of 2023. The City of Dunkirk was awarded \$431,860 for the 2023 program year, a \$1,549 decrease over funding for the previous 2022 program year. This is the City's 3rd and last remaining year associated with this 2018-2023 (1-3 yr.) Consolidated Plan.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	431,860	0	0	431,860	431,860	This is the last year of the City associated 2020-23 Consolidated Plan. The remaining year will utilize the full amount of funding \$431,860 awarded this year. The original estimated amount for the Con Plan (1-3 yrs) was a total of \$1,395,366, however the actual 3-year timeframe total is \$1,318,671. The original estimated 3rd and final AAP year of the Con Plan estimate would have equaled \$508,555; however, the actual 3rd and final AAP year of the Con Plan is \$431,860, an estimated difference of 76,695.

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City's Planning and Development Department is constantly looking for grant opportunities to improve our community. Where appropriate, we will make every effort to utilize CDBG funding to leverage additional funding for projects. For instance, in this plan we are planning on working with Salvation Army and their utilization of Emergency Food and Shelter Program (EFSP) funds to keep the food pantry going.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The desire for additional recreation opportunities has been identified as a need in our community. If City-owned land can be used to help create additional recreation opportunities, this is an option we will strongly consider.

Discussion

Our community is one with high levels of need relative to available resources. We are keenly aware of this fact. Keeping this fact in mind, we will strive to spend available CDBG funds effectively and when possible will leverage additional funds with our CDBG award.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Provide Safe, Affordable Housing	2021	2023	Affordable Housing Homeless	DUNKIRK	Quality, Affordable Housing	CDBG: \$100,000	Public service activities for Low/Moderate Income Housing Benefit: 7 Households Assisted Homeowner Housing Rehabilitated: 13 Household Housing Unit
2	Improve Infrastructure/ Pedestrian Infrastructure	2021	2023	Non-Housing Community Development	DUNKIRK	Infrastructure / Blight Removal	CDBG: \$95,709	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 1285 Persons Assisted Buildings Demolished: 4 Buildings
3	Creative Economic Development Solutions	2021	2023	Non-Housing Community Development	DUNKIRK	Economic Development	CDBG: \$0	

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Provide Public and Youth Community Services	2021	2023	Non-Housing Community Development	DUNKIRK	Children's Education / Youth Programming Expanded Community Outreach	CDBG: \$64,779	Public service activities other than Low/Moderate Income Housing Benefit: 440 Persons Assisted
5	Enhanced Recreational Opportunities	2021	2023	Non-Housing Community Development	DUNKIRK	Recreational Opportunities	CDBG: \$0	
6	Planning and Administrative	2021	2023	Planning & Admin	DUNKIRK		CDBG: \$86,372	Other: 1 Other

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Provide Safe, Affordable Housing
	Goal Description	
2	Goal Name	Improve Infrastructure/ Pedestrian Infrastructure
	Goal Description	
3	Goal Name	Creative Economic Development Solutions
	Goal Description	
4	Goal Name	Provide Public and Youth Community Services
	Goal Description	

5	Goal Name	Enhanced Recreational Opportunities
	Goal Description	
6	Goal Name	Planning and Administrative
	Goal Description	

Projects

AP-35 Projects – 91.220(d)

Introduction

Our selection of projects for the 2023 CDBG program year reflects our best attempt to address the needs determined to be the highest priority in our community. Our application pool this year was smaller than previous years due to the recent pandemic effects still present, and included submissions from organizations that the City regularly partners with as well as organizations making their first submission in regards to certain programs.

Applications were made available on February 27th, 2023. Notices of the applications availability were published in the local newspaper and on the City website on February 14th, 2023. Additionally, applications were emailed & mailed to organizations who have applied in the past or those who requested to be notified when applications were available. The application window closed on May 1st, 2023. In total, 10 applications were received.

Applications were reviewed at the staff level for program eligibility and then, following the procedure described in our Citizen Participation Plan, evaluated by a team consisting of the Director of Development, Deputy Director of Development, the CDBG Administrator along with the Mayor and then presented to the Dunkirk Common Council for final approval and adoption. This plan is targeted to be approved and adopted by the Dunkirk Common Council on Tuesday, August 1st, 2023.

Projects

#	Project Name
1	PY23 Demolitions
2	PY23 Sidewalk Repair and Replacement Program
3	PY23 CHRIC Emergency Roof Repair Program
4	PY23 CHRIC Step Repair Program
5	PY23 Planning and Administration
6	PY23 Kids at Promise Youth Boxing Program & More
7	PY23 Public Library After School Snack Program
8	PY23 CHRIC Closing Cost & Down Payment Assistance
9	PY23 Boys & Girls Club Bridging the Gap Program
10	PY23 Salvation Army Food Pantry Program

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Our allocation priorities were decided based on a number of factors, including:

- Level of need for proposed activity
- Expected timeliness in spending
- Past history with subrecipients
- Measurability of outcomes
- Number of people served

We acknowledge that there is an imperfect match between our selected projects for 2023 and the needs/goals identified in the Comprehensive Plan. That being said, we have done our best to balance the factors listed above in selecting projects.

Obstacles to addressing underserved needs include:

- Availability of funding
- The limited number of potential subrecipients in the Dunkirk area to assist with projects/programs
- Insufficient data on some populations, including homeless
- Lacking public participation in the planning process

Where possible, we will continue working to improve these underserved needs

AP-38 Project Summary
Project Summary Information

1	Project Name	PY23 Demolitions
	Target Area	DUNKIRK
	Goals Supported	Improve Infrastructure/ Pedestrian Infrastructure
	Needs Addressed	Infrastructure / Blight Removal
	Funding	CDBG: \$85,000
	Description	Emergency demolition to remove 4 unsafe and un-repairable buildings within in eligible census tracts. HUD Matrix Code 04 National Objective = SBS
	Target Date	8/31/2024
	Estimate the number and type of families that will benefit from the proposed activities	Approximately the entire City of Dunkirk will be benefited along with all it's LMI residents (12,563 people) with the demolition of these 4 buildings.
	Location Description	4 Targeted properties should be four of the following properties: 207 Beaver (Census Tract 355, Block 2, 85.85% LMI), 30 E. Cortney (Census Tract 354, Block 1, 75.71% LMI), 555 S. Roberts (Census Tract 354, Block 2, 82.47% LMI), 217 S. Roberts (Census Tract 357, Block 1, 77.40% LMI)
2	Planned Activities	Emergency demolition to remove unsafe and un-repairable buildings within in eligible census tracts. HUD Matrix Code 04 National Objective = SBS
	Project Name	PY23 Sidewalk Repair and Replacement Program
	Target Area	DUNKIRK
	Goals Supported	Improve Infrastructure/ Pedestrian Infrastructure
	Needs Addressed	Infrastructure / Blight Removal
	Funding	CDBG: \$95,709
	Description	Repair and replacement sidewalks in targeted LMI areas (Census Tract 355, Block 1, 55.89% LMI) (Census Tract 355, Block 2, 85.85% LMI) which should target approximately 1285 LMI residents. This program will take place each year in eligible LMI census tracts starting in the waterfront area and then proceeding down into the downtown area. MATRIX CODE: 03L National Objective = LMA
	Target Date	8/31/2024

	Estimate the number and type of families that will benefit from the proposed activities	Approximately the entire City of Dunkirk's residents will benefit from the repair and replacement of sidewalks, however the direct residents this project will focus on will benefit specifically the residents located within the 2 LMI Census Tracts (Census Tract 355, Block 1, 55.89% LMI) (Census Tract 355, Block 2, 85.85% LMI) which is a total of 1,285 LMI people.
	Location Description	Repair and replace sidewalks that are damaged and/or dangerous to resident safety throughout the City of Dunkirk. Specifically targeting waterfront LMI areas: (Census Tract 355, Block 1, 55.89% LMI) and (Census Tract 355, Block 2, 85.85% LMI.)
	Planned Activities	Repair and replace sidewalks that are damaged and/or dangerous to resident safety in targeted LMI areas: (Census Tract 355, Block 1, 55.89% LMI) and (Census Tract 355, Block 2, 85.85% LMI.) MATRIX CODE: 03L National Objective = LMA
3	Project Name	PY23 CHRIC Emergency Roof Repair Program
	Target Area	DUNKIRK
	Goals Supported	Provide Safe, Affordable Housing
	Needs Addressed	Quality, Affordable Housing
	Funding	CDBG: \$40,000
	Description	CHRIC will assist at least 2 LMI homeowners with emergency roof repairs / replacement. HUD Matrix Code 14A National Objective = LMH
	Target Date	8/31/2024
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 2 LMI home owner families will benefit, and all their family members living within the household.
	Location Description	Within the City of Dunkirk. The address of the projects has yet to be determined, but will fall within a designated LMI Block group or individually be determined to be an LMI person.
	Planned Activities	CHRIC will provide assistance in securing contractors to repair or replace failing roofs of a minimum of 2 LMI owner occupied homeowners in the City of Dunkirk.
4	Project Name	PY23 CHRIC Step Repair Program
	Target Area	DUNKIRK
	Goals Supported	Provide Safe, Affordable Housing
	Needs Addressed	Quality, Affordable Housing

	Funding	CDBG: \$60,000
	Description	CHRIC will provide step rehabilitation assistance to 11 LMI homeowners that meet the eligible criteria for the program and whom have code violations regarding entryway steps located in the targeted LMI area: (Census Tract 355, Block 1, 55.89% LMI.) This program follows along with the Code Enforcement Project from PY22. MATRIX CODE: 14A National Objective = LMH
	Target Date	8/31/2024
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 11 LMI home owner families will benefit, and all their family members living within the household.
	Location Description	Location yet to be determined but will be within the City of Dunkirk's targeted LMI areas: (Census Tract 355, Block 1, 55.89% LMI.)
	Planned Activities	CHRIC will provide step rehabilitation assistance to 11 LMI homeowners that meet the eligible criteria for the program and whom have code violations regarding entryway steps. MATRIX CODE: 14A National Objective = LMH
5	Project Name	PY23 Planning and Administration
	Target Area	DUNKIRK
	Goals Supported	Planning and Administrative
	Needs Addressed	
	Funding	CDBG: \$86,372
	Description	This project will fund 1 staff member to administer the City's CDBG program and all other related CDBG administrative expenses. MATRIX CODE 21A National Objective = NA
	Target Date	8/31/2024
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 1 full time City Hall staff worker will benefit, along with the entire City of Dunkirk's resident for administration of the CDBG Program.
	Location Description	Dunkirk City Hall within the City of Dunkirk.
	Planned Activities	This activity will fund salaries and fringe of 1 FTE CDBG administrator and all other related CDBG administrative expenses. MATRIX CODE 21A National Objective = NA

6	Project Name	PY23 Kids at Promise Youth Boxing Program & More
	Target Area	DUNKIRK
	Goals Supported	Provide Public and Youth Community Services
	Needs Addressed	Children's Education / Youth Programming
	Funding	CDBG: \$6,000
	Description	Funding will assist the administration and operational costs of The Kids at Promise Boxing Youth Program which targets to benefit 150 LMI youth with mentoring and a structured competitive afterschool sport program, alongside other mentorship programs such as baking and entrepreneurship. HUD Matrix Code 05D National Objective = LMC
	Target Date	8/31/2024
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 150 LMI youth will benefit, along with the future of the entire City of Dunkirk.
	Location Description	Kids at Promise is located at 111-113 Deer St. Dunkirk, NY 14048, but youth clientele will be determined to be LMI status.
7	Planned Activities	Kids at Promise is a non-for-profit organization that focuses on teaching and excelling young minds through boxing, entrepreneurships, baking, and mentorship. The Youth Boxing Program will target to benefit 150 LMI youth with mentoring and a structured competitive afterschool sport program, in which CDBG funding will help assist with administration and operation costs of the program. HUD Matrix Code 05D National Objective = LMC
	Project Name	PY23 Public Library After School Snack Program
	Target Area	DUNKIRK
	Goals Supported	Provide Public and Youth Community Services
	Needs Addressed	Children's Education / Youth Programming
	Funding	CDBG: \$2,000
	Description	The Dunkirk Public Library will provide after-school snacks for neighborhood students who visit the library on their walk home from school. Many of these children stay at the library for hours until dinner time and live in the area and will target to assist 50 LMI youth (Census Tract 357, Block 1, 77.40%). MATRIX CODE: 05W National Objective = LMA

	Target Date	8/31/2024
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 685 LMI youth will benefit, along with the future of the entire City of Dunkirk.
	Location Description	The public library is located at 536 Central Ave, Dunkirk, NY 14048 (Census Tract 357, Block 1, 77.40%).
	Planned Activities	This project will provide after-school snacks for neighborhood students who visit the library on their walk home from school. Many of these children stay at the library for hours until dinner time live in the area and will target to assist 50 LMI youth (Census Tract 357, Block 1, 77.40%). MATRIX CODE: 05W National Objective = LMA
8	Project Name	PY23 CHRIC Closing Cost & Down Payment Assistance
	Target Area	DUNKIRK
	Goals Supported	Provide Safe, Affordable Housing
	Needs Addressed	Quality, Affordable Housing
	Funding	CDBG: \$26,500
	Description	CHRIC will provide down payment and closing cost assistance to 7 individual / family first time LMI homebuyers. MATRIX CODE: 05R National Objective = LMH
	Target Date	8/31/2024
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 8 LMI home owner families will benefit, and all their family members living within the household.
	Location Description	Location yet to be determined but will be within the City of Dunkirk to benefit LMI individuals.
9	Planned Activities	CHRIC will provide down payment and closing cost assistance to 8 individual / family first time LMI homebuyers. MATRIX CODE: 05R National Objective = LMH
	Project Name	PY23 Boys & Girls Club Bridging the Gap Program
	Target Area	DUNKIRK
	Goals Supported	Provide Public and Youth Community Services
	Needs Addressed	Children's Education / Youth Programming

	Funding	CDBG: \$25,279
	Description	Funding will assist the administration and operational costs of The Bridging the Gap education and career program that focuses on the academic and career development needs of Dunkirk children ages 6 - 18. The program addresses academic needs of low-income students on a daily basis and is designed to extend learning time, reduce high-school drop-out rate, improve attendance, increase graduation rates, and prepare students for a post-secondary education targeting to benefit 60 LMI youth. HUD Matrix Code 05D National Objective = LMC
	Target Date	8/31/2024
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 60 LMI youth will benefit, along with the future of the entire City of Dunkirk.
	Location Description	Boys & Girls Club is located at 752 Central Ave., Dunkirk, NY 14048, but youth clientele will be determined to be LMI status.
	Planned Activities	Bridging the Gap is an education and career program that focuses on the academic and career development needs of Dunkirk children ages 6 - 18. The program addresses academic needs of low-income students on a daily basis and is designed to extend learning time, reduce high-school drop-out rate, improve attendance, increase graduation rates, and prepare students for a post-secondary education while targeting to benefit 60 LMI youth. HUD Matrix Code 05D National Objective = LMC
10	Project Name	PY23 Salvation Army Food Pantry Program
	Target Area	DUNKIRK
	Goals Supported	Provide Public and Youth Community Services
	Needs Addressed	Expanded Community Outreach
	Funding	CDBG: \$5,000
	Description	Funding will assist the administration and operational costs of The Salvation Army Food Pantry to reduce hunger and food insecurities while targeting to benefit 1130 LMI residents. HUD Matrix Code 05W National Objective = LMA
	Target Date	8/31/2024

	Estimate the number and type of families that will benefit from the proposed activities	Approximately the entire City of Dunkirk's residents will benefit, however the direct residents this project will focus on will benefit specifically the residents located within the 2 LMI Census Tracts (Census Tract 357, Block 1, 77.40% LMI) (Census Tract 357, Block 2, 36.93% LMI) which is a total of 1,130 LMI people.
	Location Description	The Salvation Army Food Pantry is located at 704 Central Ave., Dunkirk, NY 14048 (Census Tract 357, Block 2, 36.93% LMI).
	Planned Activities	Funding will assist the administration and operational costs of The Salvation Army Food Pantry to reduce hunger and food insecurities while targeting to benefit 1130 LMI residents. HUD Matrix Code 05W National Objective = LMA

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

For the 2023 program year, CDBG assistance will be directed to LMI home owner individuals that demonstrate the highest levels of housing and housing rehab need, along with focusing on infrastructure within the City's LMI Census Blocks starting at the waterfront and moving down through the City in the following Program Years as designed by the Comprehensive Plan. Public service programs targeted to low-income youth and senior service assistance are also in high demand and we are balancing funding to these activities per the assigned 15% cap.

Geographic Distribution

Target Area	Percentage of Funds
DUNKIRK	100

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Our rationale for directing assistance toward housing and housing rehabilitation activities represents our best attempt to address the increased need in housing within the City area as the housing crisis rises across the nation as a whole. Rehabbing existing properties will reduce slum/blighted areas from spreading and increase the number of LMI home owners within the City, while the demolition of already blighted properties will create new opportunities for housing stock. This outlook aligns with the current CDBG Consolidated Plan and being in year three, the City looks to strive to achieve overall goal numbers. Additionally, the City is looking towards the next couple of years and its future plans on maintaining current neighborhoods as well as increasing assistance. Public service assistance reflects where CDBG applicants have applied for their projects to occur. Our choice in where to distribute projects is constrained in part by the location of projects that have been submitted by applicants and the 15% public service cap the City must adhere to.

Discussion

Although directing program funds to the areas of Dunkirk that demonstrate the highest levels of housing need will always be justifiable, we also strive to be strategic in how exactly funds are distributed geographically. For instance, because many Dunkirk residents have limited transportation options, especially low-income residents, locating a public service facility to provide assistance to low-income residents near where these residents live make a lot of sense.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

As demonstrated elsewhere in our Consolidated Plan, there is a strong need in Dunkirk for additional affordable housing options. Through the programs applied for through our CDBG process, we hope to continue tackling the issue of affordable housing.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	21
Special-Needs	0
Total	21

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	13
Acquisition of Existing Units	0
Total	13

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

The programs that will help us reach these one-year goals for affordable housing include:

- CHRIC'S Step Repair Program
- CHRIC'S Down Payment and Closing Cost Assistance Program
- CHRIC's Roof Repair Program

While we recognize that the number of households helped by these programs is a drop in the bucket relative to the need for affordable housing in our community, these programs will make a meaningful impact in the lives of the households assisted. We hope that they can be a catalyst for additional improvements going forward.

AP-60 Public Housing – 91.220(h)

Introduction

The Dunkirk Housing Authority (DHA) provides a valuable supply of affordable housing options in our community. In the upcoming program year, we will look for ways to support and partner with the DHA and its residents.

Actions planned during the next year to address the needs to public housing

Our consultation process in preparing this plan suggests that public housing needs are less urgent than other housing needs in the community. The DHA, while acknowledging that some ongoing issues do exist, has conveyed that public housing in Dunkirk is in stable condition. With this in mind, we feel that other more pressing housing needs should be the focus of our efforts. The DHA currently has a plan to encourage and increase tenant participation on committees and coalitions by creating a Tenant Advisory Board (TAB) that spearheads the flow of information and communication among other developments and their tenants. Out of the TAB grow leaders interested in learning and communicating their role in the management of the HA.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

We will continue working with local organizations including the DHA, Chautauqua Opportunities, Inc. (COI), and Chautauqua Home Rehabilitation and Improvement Corps (CHRIC) to make public housing residents aware of available housing programs. We also recognize that the majority of public housing residents in Dunkirk are Hispanic. In the next year we intend to look for ways to engage with and provide resources for our community's Hispanic population. We will be sure to include the DHA and its residents in any of this outreach. The DHA reports that they share the process of first-time homeownership and the availability of local resources that can assist through the general systems of communication such as a monthly newsletter and monthly tenant meetings. They also invite guest speakers familiar with the process to facilitate informational sessions. In addition to this, the City is conducting code enforcement inspections to monitor existing housing and keep it viable for the future.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Dunkirk Housing Authority is not designated as troubled.

Discussion

The housing challenges that impact our community are deep and widely felt. The resources necessary to ameliorate the full extent of housing challenges are far greater than the resources available to our

community to address these challenges. With this in mind, and considering the generally good condition of public housing in Dunkirk, we have prioritized funding for other housing programs during this program year. We will continue, though, to seek out opportunities to engage public housing residents in our community and to connect them with resources and services that may benefit them.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

We recognize that homelessness is a real challenge for some members of our community. The data presented elsewhere in this Plan indicate that a variety individuals and families experience homelessness for a variety of reasons. There are challenges that the local Continuum of Care and other local service providers face in addressing the needs of homeless persons, including the lack of a dedicated homeless facility in northern Chautauqua County. We are aware of these challenges and will look for opportunities to assist these organizations where possible.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Given the urgency of other housing challenges and the programs applied for by local agencies, our funding for this program year does not include homeless programs. That being said, we will continue working with our local Continuum of Care to provide assistance in reaching out to homeless persons and assessing their individual needs.

Addressing the emergency shelter and transitional housing needs of homeless persons

Addressing the emergency shelter and transitional housing needs of homeless persons is an ongoing effort in Dunkirk. These needs are largely addressed by our local Continuum of Care, which is coordinated by Chautauqua Opportunities, Inc. (COI). We will work with COI to provide assistance in this effort where possible.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

One of the ways we have been working towards this goal is by working with Southern Tier Environments for Living, Inc. (STEL) on their Dunkirk Renovation and Ownership Program, which in Phase 1 has created close to 50 new housing units in our community, a number of which have been targeted to homeless persons. Adding these new housing units and providing associated services have helped homeless persons make the transition to permanent housing and independent living.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

We will continue working with our local Continuum of Care to find ways to help low-income individuals and families avoid becoming homeless.

Discussion

Our first strategic plan goal is to provide safe, affordable housing for Dunkirk residents. With this in mind, in future program years we will consider funding any programs aimed at assisting low-income individuals and families avoid becoming homeless.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

As stated elsewhere in this Plan, quality affordable housing is one of the most pressing needs in our community. We are committed to providing expanded opportunities for affordable housing as well as removing any potential barriers to affordable housing.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

We are currently unaware of any public policies that serve as barriers to affordable housing. However, given the importance of affordable housing to our community, we will continue to monitor for any policies that do have the effect of creating barriers to affordable housing.

Discussion:

We will continue to look for potential barriers to affordable housing and to remove those barriers where appropriate. For instance, one of the efforts we undertook in 2018 was to examine housing challenges in our community. We worked with a planning consultant, czb, LLC, to identify and develop strategies to deal with vacant and distressed housing. As part of this project, czb provided recommendations to the City regarding housing policies and programs. If any of these recommendations can help us improve accessibility to affordable housing then we will strongly consider implementing them. The County is also currently doing an updated Housing Market Survey that is not yet complete, however the City will take that data in consideration in next year's Consolidated Plan and Annual Action Plan.

AP-85 Other Actions – 91.220(k)

Introduction:

This section outlines other actions that we will undertake to address the needs and advance the goals set forth in this Consolidated Plan. These actions include efforts to better manage our CDBG program internally as well as actions to better coordinate our CDBG work with other community agencies.

Actions planned to address obstacles to meeting underserved needs

The largest obstacle to meeting underserved needs in our community is a lack of funding. We will diligently look for additional grant opportunities, especially those that will allow us to meet underserved needs, of which there are many in our community.

Actions planned to foster and maintain affordable housing

A number of the projects/programs that are proposed for funding in this program year are aimed at increasing housing accessibility or maintaining affordable housing for low- to moderate-income Dunkirk residents. These include:

- CHRIC'S Step Repair Program
- CHRIC'S Down Payment and Closing Cost Assistance Program
- CHRIC's Roof Repair Program

Actions planned to reduce lead-based paint hazards

We will continue working with local agencies, including Chautauqua Home Rehabilitation and Improvement Corps (CHRIC), the Chautauqua County Land Bank, and the Chautauqua County Department of Health and Human Services, that aim to reduce lead-based paint hazards in our community. Where possible, we will also seek out grant opportunities to address lead-based paint hazards.

Actions planned to reduce the number of poverty-level families

The most effective way to reduce the number of poverty-level families is to improve the local economy. The City's Planning and Development Department works constantly to do just that by supporting local businesses, finding ways to attract new businesses, and seeking out available grant opportunities to improve our community and its economy. This work will continue going forward.

Actions planned to develop institutional structure

The City of Dunkirk will continue to look for ways to improve our institutional structure both within City

Hall and with community organizations. We will seek ways to communicate better amongst City departments and with the organizations doing important work in the community. Among the actions currently planned are:

- Creating checklists and procedures to better follow CDBG processes
- Continuing education of the CDBG program, taking advantage of learning resources provided by HUD
- Creation of systems and internal structure to guide the City's CDBG program in the future
- Continuing information sharing between organizations and City staff to ensure that all are aware of resources available and can guide residents appropriately
- Continuing to participate in the HUD Field Office CoC informational and planning discussions

Actions planned to enhance coordination between public and private housing and social service agencies

Prior to COVID the City's Planning and Development Department had started a business roundtable series that opens a dialogue between representatives from different sectors of the local economy. This idea could be extended to include public and private housing and social service agencies, which would help enhance coordination between the agencies and as the restrictions of COVID are loosening this is an idea that the City will look to pursue again.

Discussion:

We will continue to look for ways to improve our administration of the CDBG program and our efforts towards meeting the CDBG programs goals. While the CDBG program is an effective tool in and of itself, we realize that the work undertaken through CDBG occurs in the context of other work being done in our community by a variety of agencies. All of these agencies provide a valuable contribution towards the well-being of our community, especially those higher needs residents requiring additional services. We will continue to work to ensure that our CDBG program is well-coordinated with other work being done in our community.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	75.40%

All PY2023 Projects/Activities are planned to be completed within the 1-year Program Year.

Attachments

Grantee Unique Appendices

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0038
Expiration Date: 02/28/2025

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-516), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Prescribed by GMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11980; (d) evaluation of flood hazards in floodplains in accordance with EO 11986; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of

Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

16. Will comply with the Wild and Scenic Rivers Act of 1956 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor, City of Dunkirk
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Dunkirk	6/15/2023

SF 424D (Rev. 7/97) Back

Grantee SF-424's and Certification(s)

OMB Number: 4010-0004
Expiration Date: 11/30/2025

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision
		* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>		4. Applicant Identifier: <input type="text"/>
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: <input type="text"/>
State Use Only:		
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text"/>		* c. UEL: <input type="text"/>
d. Address:		
* Street1: <input type="text"/>		
Street2: <input type="text"/>		
* City: <input type="text"/>		
County/Parish: <input type="text"/>		
* State: <input type="text"/>		
Province: <input type="text"/>		
* Country: <input type="text"/>		
* Zip / Postal Code: <input type="text"/>		
e. Organizational Unit:		
Department Name: <input type="text"/>		Division Name: <input type="text"/>
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <input type="text"/>		* First Name: <input type="text"/>
Middle Name: <input type="text"/>		
* Last Name: <input type="text"/>		
Suffix: <input type="text"/>		
Title: <input type="text"/>		
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text"/>		Fax Number: <input type="text"/>
* Email: <input type="text"/>		

Application for Federal Assistance SF-424		
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/>		
Type of Applicant 2: Select Applicant Type: <input type="text"/>		
Type of Applicant 3: Select Applicant Type: <input type="text"/>		
* Other (specify): <input type="text"/>		
* 10. Name of Federal Agency: <input type="text" value="Housing & Development"/>		
11. Catalog of Federal Domestic Assistance Number: <input type="text"/>		
CFDA Title: <input type="text"/>		
* 12. Funding Opportunity Number: <input type="text" value="3-23-HC-36-2014"/>		
* Title: <input type="text" value="2023 Annual Action Plan"/>		
13. Competition Identification Number: <input type="text"/>		
Title: <input type="text"/>		
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>		
* 15. Descriptive Title of Applicant's Project: <input type="text" value="City of Hankirk, KY, Settlement Community, 2023 Annual Action Plan"/>		
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>		

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: 27	* b. Program/Project: 00000
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date: 06/1/2023	* b. End Date: 06/31/2024
18. Estimated Funding (\$):	
* a. Federal:	031,850.00
* b. Applicant:	
* c. State:	
* d. Local:	
* e. Other:	
* f. Program Income:	
* g. TOTAL:	031,850.00
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on: <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach: <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. * By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: Nil	* First Name: Wilfred
Middle Name:	
* Last Name: Brown	
Suffix:	
* Title: Mayor, City of Dunkirk	
* Telephone Number: 716-366-9681	Fax Number:
* Email: wbrown@cityofdunkirk.com	
* Signature of Authorized Representative:	* Date Signed: 06/15/2023

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.L., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.


Signature of Authorized Official

6/15/23
Date

Mayor, City of Dunkirk
Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. **Overall Benefit.** The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2023 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.



Signature of Authorized Official

6/15/23
Date

Mayor, City of Dunkirk
Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.


Signature of Authorized Official

6/15/23
Date

Mayor, City of Dunkirk
Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature of Authorized Official

Date

Title

Emergency Solutions Grants Certifications

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation – If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for these individuals.

Matching Funds – The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.

Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature of Authorized Official

Date

Title

Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

1. For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature of Authorized Official

Date

Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.