



HON. MAYOR WILFRED ROSAS

# ***PY21 Amendment Draft 2023***

## ***(Draft 3, Amendment 3)***

### **Amendments to PY18, PY19, PY20, PY21 & PY22**

This document is made available for review starting on September 22, 2023 followed by a 30-day public review and comment period starting September 22, 2023 and ending on October 30, 2023 at midnight. Please submit your name, address, phone number, and comments by mail, fax, telephone, or email to: City of Dunkirk Planning and Development Department (Attn: Nicole Clift), 342 Central Ave., Dunkirk, NY 14048; FAX 716-363-6460; PHONE 716-366-9878; or email [nclift@cityofdunkirk.com](mailto:nclift@cityofdunkirk.com)

All comments must be in by midnight on October 30, 2023.

9.21.23 Amendment PY18,19,20,21,22 reallocates (\$141,479.16) unspent funds (includes CV Funds) from forfeited and reprogrammed projects. This reallocation includes: PY19 CHRIC Rehab & Resale (\$40,000.00) and PY20 CHRIC Rehab & Resale (\$30,000.00) reprograms to: A newly created PY19 CHRIC Rehab & Resale project (New Total \$70,000.00) which will be used to purchase & resale a property for resale to 1 new LMI homeowner and have a duration of 2 years. PY18 H.O.P.E. Community Center Rehab & Services (\$13,595.32) and PY21 City of Dunkirk Sidewalk Repair & Replacement (\$7,584.00) reprograms to: An existing PY22 City of Dunkirk Senior Center Improvements project (Original Total \$24,408.18) (Updated Total \$45,587.50) which will be used to create a new, safe sidewalk access for seniors at the Farmers Market Pavilion area. PY19 H.O.P.E. Community Center Rehab & Services (\$20,745.12) reprograms to: A new PY19 City of Dunkirk Wright Park Safe Access Walkways project (New Total \$20,745.15) which will be used to repair upheaved paved walkways that access Wright Park, create a new paved path to provide access from the Southern neighborhood to the park, along with install solar lighting for the safety of the city pedestrians. PY19 C.O.I. Rental Assistance project (\$24,554.60 CV Funds) and PY20 Access for the Arts Adams Center Renovations project (\$5,000.00) reprograms to: An existing PY22 Washington Park Improvements (Original Total \$12,000.00) (Updated Total \$41,554.69) which will be used to purchase & install a new spray pad water feature, fix the drinking fountain, and update electric to aid in outdoor activities for the prevention of coronavirus. PY20 Resource Center SAFER Program goals adjusted to 12 instead of 50 as they struggled to find a business to work with, they are working with their own staffing instead.

12.6.2022: Additional correction made to Minor Amendment- missed updating the incorrect GOI amounts were updated for CHRIC Closing Cost & Down Payment Assistance, along with Land Bank Lead Abatement GOI's. Data should flow into the C.A.P.E.R. Correctly now.

11.29.2022: Made Minor Amendment- Updated the 2021 AAP by removing RLF Project (lives under 2020 Stand Alone AAP instead). Deleted Revolving Loan Fund (RLF) Project and Activity, as it already is listed on the 2020 AAP. All projects/activities now add up to the 2021 Annual Grant Amount of \$453,402. Also delete extra goals associated with projects so that C.A.P.E.R. data flows correctly.

## **Executive Summary**

### **AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)**

#### **1. Introduction**

The City of Dunkirk is designated as an entitlement community based on the amount of its population suffering with poverty. The CDBG entitlement program allocates annual grants to assist in the development of viable communities by providing decent housing, a suitable living environment, and to expand economic opportunities, principally for low- and moderate-income persons. To receive an annual allocation of federal funds from HUD, the City is required to create a three-year Consolidated Plan (strategic plan) with an annual Action Plan.

The Consolidated Plan includes the amount of assistance the City expects to receive and the range of activities that may be undertaken including the estimated benefit to persons of low and moderate-income. The Annual Action Plan is required to include project or program information, such as location, cost, proposed outcome, and any additional descriptive information. Federal regulations require that funds be aimed where the greatest benefit may be attained for low- and moderate-income residents. To acquire ample public engagement in all aspects and phases of Plan development, the City of Dunkirk attempted to interact with various stakeholders in meetings, workshops, public hearings, and with written correspondence. We attended other public meetings to announce the meeting dates, published them in the newspaper, created a bi-lingual poster to be distributed all over the city, and enlisted other organizations to get involved by hosting meetings and acting as translators.

September 2023 Amendment to Program Year 2018,19,20,21,22 reallocates (\$141,479.16) unspent funds (includes CV Funds) from forfeited and reprogrammed projects.

## **2. Summarize the objectives and outcomes identified in the Plan**

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Although the City has many needs, this plan identifies and prioritizes the following critical components to the City's development strategy:

Infrastructure Improvements - In order to maintain a safe, healthy, enjoyable environment, the City needs to improve and to modernize its infrastructure, including roadways, streetscapes, community facilities, and parks. Approximately 1000 low-moderate income residents will benefit from these activities.

Provide Safe, Affordable Housing - The City has some of the oldest housing stock in the nation and has identified the need to assist residents make their homes safe and habitable. This will be accomplished by providing emergency roof repair assistance, first time homebuyer down payment and closing cost assistance, and housing rehab. Approximately 16 low-moderate income residents will benefit from these activities.

Improve Community Outreach - The City of Dunkirk has an extremely diverse population with close to 30% of our residents being Hispanic many of whom either speak very little or no English. To help those residents become aware of services available and feel more a part of the community, the City is investing in a bi-lingual community center. In addition, the City is investing in a community food pantry to help alleviate hunger and food insecurities in our community.

Provide Education Programming for Students - This plan addresses the need for academic assistance for our youth by investing in after-school tutoring and mentoring programs for LMI youth ages 6 - 18. The goals here are to help students remain in school, provide positive role models for our youth, and expose them to new learning and career opportunities. Approximately 70 youth will benefit from these activities

### **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

Despite the COVID-19 Pandemic, the City of Dunkirk achieved the following accomplishments in 2020:

Career Explorers: The Boys & Girls Club of Northern Chautauqua County was awarded \$18,000.00 in 2019 to operate its Career Explorers Program. A total of 117 low-income youth ages 10-18 participated in exploration and research of career areas of interest. Of those 117 youth, 80 were Hispanic, 25 were multi-racial, 8 were Black/African American, and 4 were white reflecting the diversity of our City. COVID required the program to switch gears dramatically and the organization was able to provide services in the school for remote learning students who needed daytime assistance.

CHRIC Roof Repair: This project assists LMI residents in Dunkirk make necessary repairs to the roofs of their homes that they could not do on their own. It's an important project and CHRIC has an extensive waiting list for assistance. With their 2020 award, CHRIC is in the process of securing contractors to complete the work so this project is on target.

CHRIC Closing Cost Assistance Program: This project has just started as CHRIC is working through the application process and is identifying eligible participants. Last year 8 first time home buyers were assisted with this project.

Sidewalk Repair: This project is ongoing as the City is in the process of identifying the sidewalks in the LMI block groups that require the most attention. Priority will be giving to areas in LMI block areas where children walk to school followed by high pedestrian LMI neighborhoods.

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### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

September 2023 amendment reprogrammed forfeited and unspent funding to other projects per a public hearing on Oct 13, 2023 and a 30-day comment period that started on Sept 22, 2023 and ending on Oct 20, 2023 that received no comments.

## **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

We did not receive any public comments concerning the September 2023 amendment.

## **6. Summary of comments or views not accepted and the reasons for not accepting them**

All comments are accepted as we're always looking for feedback from non-profits, businesses, and residents. At this time the City has not received any comments or views that have been denied for any reason.

## **7. Summary**

The City of Dunkirk strives to recognize the issues currently facing residents of the City of Dunkirk, and address these issues to the best of its ability. Community Development Block Grant (CDBG) funds, in conjunction with other resources, give the City of Dunkirk the opportunity to improve the lives of people within Dunkirk. We will look to undertake a planning project as well as those involving infrastructure development, public facility improvements, public service, and redevelopment within the City, with the stated goal of creating a happier, healthier community and environment throughout the City.

Citizen participation and community input has been, and will continue to be, essential for developing targeted strategies to shape the City's vision and to ensure that federal resources provide the maximum benefit to those citizens in need.

## PR-05 Lead & Responsible Agencies – 91.200(b)

### 1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	Dunkirk	
CDBG Administrator	Dunkirk	Department of Planning & Development
HOPWA Administrator		
HOME Administrator		
HOPWA-C Administrator		

Table 1 – Responsible Agencies

### Narrative (optional)

### Consolidated Plan Public Contact Information

Jill Meaux, Director of Planning and Development: 366-9879 jmeaux@cityofdunkirk.com

## **AP-10 Consultation – 91.100, 91.200(b), 91.215(I)**

### **1. Introduction**

The City of Dunkirk recognizes the importance of coordination and consultation with institutions within the community. As a result, in formulating this plan, the City of Dunkirk made all attempts to work with all organizations through public meetings and hearings, as well as working with organizations at their best convenience however COVID-19 pandemic greatly hindered the City's ability to host in-person meetings.

Dunkirk is required by the U.S. Department of Housing and Urban Development (HUD) to create its own Consolidated Plan and Annual Action Plan in order to be considered for entitlement grant funding. Last year the City of Dunkirk completed its 2021-2023 Consolidated Plan, which clarifies and defines the City's future objectives and illustrates how those objectives align with CDBG program objectives. This Annual Action Plan utilizes the priorities outlined in the Consolidated Plan and specifies the intended uses of CDBG funding for Dunkirk's first program year (2021) under the current plan.

### **Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))**

The City of Dunkirk strives to provide fair and accurate copies of its plans at every stage of their development. As such, the City provided public notices about public hearings via local media sources including print, online, social media, community meetings, posters, and word of mouth sources. The City also worked with, and made all attempts to work with, housing providers such as Chautauqua Opportunities, Inc., the Dunkirk Housing Authority, as well as other agencies involved in healthcare and housing in some form. Notices of public meetings and copies of the City's 2021 CDBG Application were mailed to: Boys & Girls Club of Northern Chautauqua County, Dunkirk Housing Authority, Dunkirk-Fredonia Meals on Wheels, Chautauqua County Office for the Aging, Chautauqua County Department of Health & Human Services, Chautauqua County Department of Mental Hygiene, Chautauqua County Rural Ministry, Chautauqua Opportunities, Inc., Chautauqua Home Rehabilitation and Improvement Corp., Northern Chautauqua Community Foundation, Senior Center of Dunkirk, Southern Tier Environments for Living, the Resource Center, Chautauqua Striders, HOPE Center, Dunkirk Historical Society, Salvation Army, Access to the Arts, and Revitalize Dunkirk. Copies of the draft 2019 Annual Action Plan will be sent to these same agencies to garner their input and feedback. No one was intentionally left out and we encourage interactions, questions, and suggestions from all agencies.

The City of Dunkirk does not currently manage any public housing. Public housing within the City's jurisdiction is managed by the Dunkirk Housing Authority (DHA). The DHA currently maintains 243 housing units. City staff also maintains close relationships with local Community Housing Development Organizations (CHDO), including Chautauqua Opportunities, Inc. (COI).

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

Homelessness and chronic homelessness are issues individuals face throughout the United States. Residents of Dunkirk are, unfortunately, no exception to this rule. While efforts are ongoing to improve data collection on the exact amount of homelessness and chronic homelessness exists within the City, a support network has been established for those experiencing homelessness, chronic homelessness, or risk of becoming homeless. Chautauqua Opportunities, Inc. (COI) is the City's Continuum of Care provider. In October of 2014 the Chautauqua County Homeless Coalition signed and adopted a 10-year plan to end homelessness in Chautauqua County.

Chautauqua Lake Central School District, which is within the CoC geography, has a McKinney-Vento funding award from the NYS education Department to provide educational services to homeless children. The McKinney-Vento Liaisons in all of the county's 18 school districts have been contacted and asked to join the CoC or be provided with information about homeless services. Chautauqua County has a NY State licensed runaway and homeless youth shelter that is funded by the U.S. Department of Health and Human Services and operated by the CoC lead agency.

Providers regularly refer families to other providers when their family composition does not allow them to be accommodated in their facility. The CoC has also held a McKinney-Vento training in coordination with local school districts to improve coordination of services with school districts, and whenever possible, keep children in their school of origin.

If housing is not available for a specific family type, the local Department of Social Services will utilize a local hotel to keep the family together while other housing options are explored.

The Veteran's Administration's regional representative is a member of the CoC decision-making body.

The lead agency also coordinates with VASH as the Section 8 Housing Choice Voucher administrator for the county, and was recently awarded a Supportive Services for Veteran Families grant from the U.S. Department of Veteran Affairs to prevent homelessness among veteran families. The CoC participated in the Veteran's Administration needs assessment. All HUD-funded agencies in the CoC serve veterans as an integrated service.

The CoC lead agency operates a NYS licensed Runaway and Homeless Youth Shelter that is funded with DHHS Basic Center funding, as well as a DHHS funded Street Outreach Program that makes contact with over 7,500 youth in the county annually, and a Transitional Living Program for youth ages 16-21 (DHHS funding). These programs frequently collaborate with other providers that serve the youth population such as Boys and Girls Clubs, YMCA's and YWCA's, church teen groups, municipal recreation programs, and other non- profit organizations. The lead organization and other youth serving providers will



participate in strategic planning meetings to ensure that the needs of homeless youth are addressed in future plans.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

The City does not receive ESG funds.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities**

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	CHAUTAUQUA OPPORTUNITIES INC.
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Service-Fair Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Market Analysis Anti-poverty Strategy Lead-based Paint Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City mailed a notice of public hearing to Chautauqua Opportunities, Inc. (COI) along with a copy of the 2021 CDBG Application. We also met with the Director of Housing on June 3rd and are in contact via phone and email on a regular basis. COI also leads the County in Fair Housing efforts, Continuum of Care, and hosts the Homeless Coalition meetings, in which the City of Dunkirk attends.

2	<b>Agency/Group/Organization</b>	Dunkirk Housing Authority
	<b>Agency/Group/Organization Type</b>	Housing Services-Elderly Persons Services-Persons with Disabilities
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City mailed a notice of public hearing to the Dunkirk Housing Authority (DHA) along with a copy of the 2021 CDBG Application. The DHA is also involved in the Homeless Coalition meetings, hosted by COI, which also include the Chautauqua County Department of Social Services and the Chautauqua- Cattaraugus Library System. We also received input from the Director on June 3rd and are in contact via phone and email on a regular basis.
3	<b>Agency/Group/Organization</b>	Boys and Girls Club of Northern Chautauqua County
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Education
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children Non-Homeless Special Needs Youth services

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City mailed a notice of public hearing to the Boys and Girls Club of Northern Chautauqua along with a copy of the 2021 CDBG Application. CDBG Administrator also has met with their Executive Director to discuss challenges they see particularly for our low-income families and youth in the community.
4	<b>Agency/Group/Organization</b>	Revitalize Dunkirk
	<b>Agency/Group/Organization Type</b>	Community Pride / Beautification organization
	<b>What section of the Plan was addressed by Consultation?</b>	Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City is in frequent contact with Revitalize Dunkirk, a citizen public service organization that does various beautification projects throughout the city. They attend most public meetings and are helpful partners in identifying underserved areas and community needs. The City mailed a notice of public hearing to Revitalize Dunkirk along with a copy of the 2021 CDBG Application.
5	<b>Agency/Group/Organization</b>	SOUTHERN TIER ENVIRONMENTS FOR LIVING, INC.
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Services-Health

	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Strategy Market Analysis
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City mailed a notice of public hearing to Southern Tier Environments for Living (STEL) along with a copy of the 2021 CDBG Application. Members from STEL are in constant communication with our office as they were awarded a \$17million grant to demolish and rebuild several dilapidated homes in the City of Dunkirk.
6	<b>Agency/Group/Organization</b>	C.H.R.I.C.
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Service-Fair Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis Anti-poverty Strategy Lead-based Paint Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The City mailed a notice of public hearing to CHRIC along with a copy of the 2021 CDBG Application. Members from CHRIC are in constant communication with our office as they have been previously awarded CDBG funds for home rehab, repair, and first-time homebuyer programs.

### Identify any Agency Types not consulted and provide rationale for not consulting

The City of Dunkirk contacted agencies and organizations associated with housing rehabilitation, economic development, community development, infrastructure development, or skills development. All applicable agencies were consulted and no person or group was

intentionally omitted. Any and all agencies involving the needs of the people of the City of Dunkirk are always welcome to discuss the plan with City Department of Planning and Economic Development staff and were given advance notice regarding the public hearings, release of draft plan, and public comment period.

### Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Chautauqua Opportunities	In consultation with the Chautauqua County Continuum of Care provider (COI), the City has designed its consolidated plan to reflect the needs and concerns laid out in the CoC. We are working with them to find other solutions to the homeless problem including finding shelters for heating and cooling centers in inclement weather.
City of Dunkirk Comprehensive Plan	City of Dunkirk	The City of Dunkirk recently updated the Comprehensive Plan and developed the Strategic Plan to align with the challenges and opportunities outlined in the Comprehensive Plan including housing and economic development goals.

**Table 3 – Other local / regional / federal planning efforts**

### Narrative (optional)

The City continually consults with Chautauqua Opportunities, Inc., Dunkirk Housing Authority, and Chautauqua Home Rehabilitation & Improvement Corporation (CHRIC) executives to better understand their needs. Through continued partnership with these organizations, the City anticipates improved quality and quantity of services and affordable housing for the homeless and low/moderate-income persons.

We have also undertaken new initiatives to further economic development as well as housing conditions within the City. We were awarded a LISC Zombie Property grant which we used for a survey of housing conditions in the City as well as taking a look at utility bills, sidewalk conditions, foreclosure information, and build dates for the homes. We're using this information in conjunction with the Chautauqua County Landbank and Chautauqua Opportunities in order to direct homeowners on the verge of foreclosure to programs that can keep them in their homes, thereby preventing increased vacancy. This will also allow us to identify where the greatest needs are and to better allocate CDBG funding in the future.

The City is also exploring Certified Local Government (CLG) status. This will allow the city and its residents to control the future of its historical building stock, protect what's important, and guide future development and reuse proposals, including infill in historic neighborhoods. It is the goal of some neighborhood groups to use this tool to establish historic districts which would afford property owners historic tax credits for rehabilitation of their homes.

## **AP-12 Participation – 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

The City believes in continual communication with its local nonprofits, community leaders, and citizens. To guide this communication, the City of Dunkirk adopted a Citizen Participation Plan (CPP) which was prepared in accordance with Section 104(a)(3) of the Housing and Community Development Act of 1974, as amended, and federal regulation 24 CFR 91.105. A DRAFT Amended Citizen Participation Plan was put out for a 30-day public comment period and was formally adopted on April 7, 2015.

The City held a Public Hearing/ Meeting during the drafting of its 2021 Annual Action Plan on March 22, 2021. The City also held one Technical Assistance meeting for potential CDBG subrecipients on April 5, 2021. Legal Notices were published in the Observer on March 6, 9, and 10 2021 to announce the public hearing and technical assistance meeting. A legal notice ran on June 5 announcing that a draft Annual Action Plan was posted on the City of Dunkirk's website on June 5, 2021 and was also available in the Planning office and City Clerk's office and that there would be a public meeting on June 23. Public Comment period concluded on July 5, 2021.

Notices of public meetings and copies of the City's 2021 CDBG Application were mailed to: Boys & Girls Club of Northern Chautauqua County, Dunkirk Housing Authority, Dunkirk-Fredonia Meals on Wheels, Chautauqua County Office for the Aging, Chautauqua County Department of Health & Human Services, Chautauqua County Department of Mental Hygiene, Chautauqua County Rural Ministry, Chautauqua Opportunities, Chautauqua Home Rehabilitation and Improvement Corp., Northern Chautauqua Community Foundation, Salvation Army, Senior Center of Dunkirk, Southern Tier Environment for Living, Revitalize Dunkirk, and Chautauqua Striders.

9.21.23 Amendment PY18,19,20,21,22 reallocates (\$120,734.01) unspent funds (includes CV Funds) from forfeited and reprogrammed projects. This reallocation includes: PY19 CHRIC Rehab & Resale (\$40,000.00) and PY20 CHRIC Rehab & Resale (\$30,000.00) reprograms to: A newly created PY19 CHRIC Rehab & Resale project (New Total \$70,000.00) which will be used to purchase & resale a property for resale to 1 new LMI homeowner and have a duration of 2 years. PY18 H.O.P.E. Community Center Rehab & Services (\$13,595.32) and PY21 City of Dunkirk Sidewalk Repair & Replacement (\$7,584.00) reprograms to: An existing PY22 City of Dunkirk Senior Center Improvements project (Original Total \$24,408.18) (Updated Total \$45,587.50) which will be used to create a new, safe sidewalk access for seniors at the Farmers Market Pavilion area.



PY19 C.O.I. Rental Assistance project (\$24,554.60 CV Funds) and PY20 Access for the Arts Adams Center Renovations project (\$5,000.00) reprograms to: An existing PY22 Washington Park Improvements (Original Total \$12,000.00) (Updated Total \$41,554.69) which will be used to purchase & install a new spray pad water feature, fix the drinking fountain, and update electric to aid in outdoor activities for the prevention of coronavirus.

### Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Meeting	General residents and community stakeholders	4 persons attended the meeting	A legal notice ran in the newspaper on March 6, 9, and 11 and on March 22 CDBG Administrator held a public meeting and did a presentation on CDBG, solicited feedback, and answered general questions on the program. No specific comments were submitted.	No comments were declined	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Public Meeting	Potential subrecipient applicants	A technical assistance meeting was held virtually for interested applicants on April 5, 2021. CDBG Administrator reviewed application and answered questions.	No comments were submitted	No comments were declined	
3	Public Meeting	Non-targeted/broad community	1 councilmember attended the presentation on June 24, 2021	no comments were received	no comments were declined	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Internet Outreach	Non-targeted/broad community	On ran in June 5 a legal notice ran in the newspaper announcing the plan was publicly posted on the City of Dunkirk website, in the City Clerk, and Development offices.	We received a comment via email from the Director of Housing and Community Development at Chautauqua Opportunities "As you prepare the City of Dunkirk's CDBG Annual Action Plan, I would like to submit some comments about the topic of Fair Housing to be considered for the plan. My comments are: Fair Housing remains as important as ever. This past year has seen a lot of stress placed on the tenant/landlord relationship. At Chautauqua Opportunities, we are hearing stories from tenants and landlords about acrimonious relationships. In some cases, we are hearing about illegal evictions, tenant harassment, and tenant intimidation. In this environment, it is important that tenants understand their rights, especially those who are in a protected class and are most likely to be the victims of fair housing violations. Fair Housing is a concern for all of us. When members of our community are discriminated against, it erodes the fabric of the community. It can also erode trust in our public institutions if we know that discrimination is happening	No other comments were declined	
OMB Control No: 2506-0117 (exp. 09/30/2021)						

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
5	Public Meeting	Non-targeted/broad community	June 23 Public Meeting was held to present the proposed AAP. 1 Dunkirk Common Councilmember attended.	No comments were received	no comments were declined	
6	Newspaper Ad	Non-targeted/broad community	September 22, 2023: Legal Notice for amendment published.	None	n/a	
7	Public Hearing	Non-targeted/broad community	Oct 13, 2023: Amendment Public Meeting was held in Mayor's Conference Room. 3 attended.	None	n/a	

**Table 4 – Citizen Participation Outreach**



## Expected Resources

### AP-15 Expected Resources – 91.220(c)(1,2)

#### Introduction

9.21.23 Amendment PY18,19,20,21,22 reallocates (\$120,734.01) unspent funds (includes CV Funds) from forfeited and reprogrammed projects. This reallocation includes: PY19 CHRIC Rehab & Resale (\$40,000.00) and PY20 CHRIC Rehab & Resale (\$30,000.00) reprograms to: A newly created PY19 CHRIC Rehab & Resale project (New Total \$70,000.00) which will be used to purchase & resale a property for resale to 1 new LMI homeowner and have a duration of 2 years. PY18 H.O.P.E. Community Center Rehab & Services (\$13,595.32) and PY21 City of Dunkirk Sidewalk Repair & Replacement (\$7,584.00) reprograms to: An existing PY22 City of Dunkirk Senior Center Improvements project (Original Total \$24,408.18) (Updated Total \$45,587.50) which will be used to create a new, safe sidewalk access for seniors at the Farmers Market Pavilion area. PY19 C.O.I. Rental Assistance project (\$24,554.60 CV Funds) and PY20 Access for the Arts Adams Center Renovations project (\$5,000.00) reprograms to: An existing PY22 Washington Park Improvements (Original Total \$12,000.00) (Updated Total \$41,554.69) which will be used to purchase & install a new spray pad water feature, fix the drinking fountain, and update electric to aid in outdoor activities for the prevention of coronavirus.

Allocation announcements from HUD were made in May of 2021. The City of Dunkirk was awarded \$453,402 for the 2021 program year, a \$11,702 decrease over funding for the 2020 program year.

#### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	453,402	0	0	453,402	906,804	Assuming an annual allocation of \$453,402, the City is estimating \$906,804 for the remaining years on this consolidated plan

Table 5 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

The City's Planning and Development Department is constantly looking for grant opportunities to improve our community. Where appropriate, we will make every effort to utilize CDBG funding to leverage additional funding for projects. For instance, in this plan we are allocating funds that we used as match for the Lake Shore Drive infrastructure project.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

The desire for additional recreation opportunities has been identified as a need in our community. If City-owned land can be used to help create additional recreation opportunities, this is an option we will strongly consider

**Discussion**

Our community is one with high levels of need relative to available resources. We are keenly aware of this fact. Keeping this fact in mind, we will strive to spend available CDBG funds effectively and when possible will leverage additional funds with our CDBG award.



## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Provide Safe, Affordable Housing	2021	2023	Affordable Housing Homeless	DUNKIRK	Quality, Affordable Housing	CDBG: \$117,000	Public service activities for Low/Moderate Income Housing Benefit: 8 Households Assisted Homeowner Housing Rehabilitated: 6 Household Housing Unit
2	Improve Infrastructure/ Pedestrian Infrastructure	2021	2023	Non-Housing Community Development	DUNKIRK	Infrastructure / Blight Removal	CDBG: \$42,584	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 12563 Persons Assisted Other: 12563 Other
3	Creative Economic Development Solutions	2021	2023	Non-Housing Community Development	DUNKIRK	Economic Development	CDBG: \$10,000	Public service activities other than Low/Moderate Income Housing Benefit: 12 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
4	Provide Public and Youth Community Services	2021	2023	Non-Housing Community Development	DUNKIRK	Children's Education / Youth Programming Expanded Community Outreach	CDBG: \$17,500	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 50 Persons Assisted Public service activities other than Low/Moderate Income Housing Benefit: 110 Persons Assisted Public service activities for Low/Moderate Income Housing Benefit: 50 Households Assisted
5	Enhanced Recreational Opportunities	2021	2023	Non-Housing Community Development	DUNKIRK	Recreational Opportunities	CDBG: \$72,300	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 12563 Persons Assisted
6	Planning and Administrative	2021	2023	Planning & Admin	DUNKIRK		CDBG: \$89,363	Other: 2 Other

**Table 6 – Goals Summary**

## Goal Descriptions

1	Goal Name	Provide Safe, Affordable Housing
	Goal Description	
2	Goal Name	Improve Infrastructure/ Pedestrian Infrastructure
	Goal Description	

<b>3</b>	<b>Goal Name</b>	Creative Economic Development Solutions
	<b>Goal Description</b>	
<b>4</b>	<b>Goal Name</b>	Provide Public and Youth Community Services
	<b>Goal Description</b>	
<b>5</b>	<b>Goal Name</b>	Enhanced Recreational Opportunities
	<b>Goal Description</b>	
<b>6</b>	<b>Goal Name</b>	Planning and Administrative
	<b>Goal Description</b>	

## Projects

### AP-35 Projects – 91.220(d)

#### Introduction

9.21.23 Amendment PY18,19,20,21,22 reallocates (\$120,734.01) unspent funds (includes CV Funds) from forfeited and reprogrammed projects. This reallocation includes: PY19 CHRIC Rehab & Resale (\$40,000.00) and PY20 CHRIC Rehab & Resale (\$30,000.00) reprograms to: A newly created PY19 CHRIC Rehab & Resale project (New Total \$70,000.00) which will be used to purchase & resale a property for resale to 1 new LMI homeowner and have a duration of 2 years. PY18 H.O.P.E. Community Center Rehab & Services (\$13,595.32) and PY21 City of Dunkirk Sidewalk Repair & Replacement (\$7,584.00) reprograms to: An existing PY22 City of Dunkirk Senior Center Improvements project (Original Total \$24,408.18) (Updated Total \$45,587.50) which will be used to create a new, safe sidewalk access for seniors at the Farmer's Market Pavilion area. PY19 C.O.I. Rental Assistance project (\$24,554.60 CV Funds) and PY20 Access for the Arts Adams Center Renovations project (\$5,000.00) reprograms to: An existing PY22 Washington Park Improvements (Original Total \$12,000.00) (Updated Total \$41,554.69) which will be used to purchase & install a new spray pad water feature, fix the drinking fountain, and update electric to aid in outdoor activities for the prevention of coronavirus. PY19 H.O.P.E. Community Center Rehab & Services (\$20,745.15) reprograms to: A new PY19 City of Dunkirk Wright Park Safe Access Walkways project (New Total \$20,745.12) which will be used to repair upheaved paved walkways that access Wright Park, create a new paved path to provide access from the Southern neighborhood to the park, along with install solar lighting for the safety of the city pedestrians.

PY20 Resource Center SAFER Program goals adjusted to 12 instead of 50 as they struggled to find a business to work with, they are working with their own staffing instead.

12.6.2022: Additional correction made to Minor Amendment- missed updating the incorrect GOI amounts were updated for CHRIC Closing Cost & Down Payment Assistance, along with Land Bank Lead Abatement GOI's. Data should flow into the C.A.P.E.R. Correctly now.

11.29.2022: Made Minor Amendment- Updated the 2021 AAP by removing RLF Project (lives under 2020 Stand Alone AAP instead). Deleted Revolving Loan Fund (RLF) Project and Activity, as it already is listed on the 2020 AAP. All projects/activities now add up to the 2021 Annual Grant Amount of \$453,402. Also delete extra goals associated with projects so that C.A.P.E.R. data flows correctly. Our selection of projects for 2021 reflects our best attempt to address the needs determined to be the highest priority in our community. The total amount of funding requests from CDBG applicants far outweighed our anticipated funding. Applications were made available on March 15, 2021. Notices of the applications availability were published in the local newspaper, on the City website, mailed and emailed. The application window closed on May 7, 2021. In total, 14 applications were received. Applications were

reviewed at the staff level for program eligibility and then, following the procedure described in our Citizen Participation Plan, evaluated by a team consisting of the Director of Development, Deputy Director of Development, and the Mayor and then presented to the Dunkirk Common Council for final approval and adoption. This plan was approved and adopted by the Dunkirk Common Council on Tuesday, July 20, 2021.

## Projects

#	Project Name
1	Boys and Girls Club Bridging the Gap
2	CHRIC First Time Homebuyer Closing Costs / Down Payment Assistance
3	CHRIC Emergency Roof Repair Program
4	Planning and Administration
5	Dunkirk Public Library After-School Snack Program
6	Lake Shore Drive Complete Streets Improvements
7	The Resource Center SAFER Program
8	Kids at Promise Building Study and Renovations
9	Chautauqua County Land Bank Lead Removal
10	Chautauqua County Land Bank Hands On Neighborhoods
12	Point Gratiot Park Improvements
13	Wright Park Bathroom upgrades
14	Tree Planting
15	Sidewalk Repair and Replacement Program

**Table 7 - Project Information**

## **Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

Our allocation priorities were decided based on a number of factors, including:

- Level of need for proposed activity
- Expected timeliness in spending
- Past history with subrecipients
- Measurability of outcomes
- Number of people served

We acknowledge that there is an imperfect match between our selected projects for 2019 and the needs/goals identified in the Comprehensive Plan. That being said, we have done our best to balance the factors listed above in selecting projects.

Obstacles to addressing underserved needs include:

- Availability of funding
- The limited number of potential subrecipients in the Dunkirk area to assist with projects/programs
- Insufficient data on some populations, including homeless
- Lacking public participation in the planning process

Where possible, we will continue working to improve these underserved needs.

**AP-38 Project Summary**  
**Project Summary Information**

<b>1</b>	<b>Project Name</b>	Boys and Girls Club Bridging the Gap
	<b>Target Area</b>	DUNKIRK
	<b>Goals Supported</b>	Provide Public and Youth Community Services
	<b>Needs Addressed</b>	Children's Education / Youth Programming
	<b>Funding</b>	CDBG: \$15,000
	<b>Description</b>	The Boys and Girls Club will deliver and education and career program that will focus on the academic and career development needs of Dunkirk children ages 5 - 18. HUD Matrix Code 05D National Objective = LMC
	<b>Target Date</b>	8/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	60 low / moderate income youth will benefit from this project.
	<b>Location Description</b>	The project will take place in the Dunkirk City Schools and/or Boys and Girls Clubhouse depending of COVID restrictions.
	<b>Planned Activities</b>	This project provides academic enrichment programs, tutoring, and mentoring to combat learning loss, improve dropout rates, and prepare students for a post-secondary education.
<b>2</b>	<b>Project Name</b>	CHRIC First Time Homebuyer Closing Costs / Down Payment Assistance
	<b>Target Area</b>	DUNKIRK
	<b>Goals Supported</b>	Provide Safe, Affordable Housing
	<b>Needs Addressed</b>	Quality, Affordable Housing
	<b>Funding</b>	CDBG: \$27,000
	<b>Description</b>	CHRIC will provide down payment and closing cost assistance to 8 individual / family first time homebuyers with a HH Income of 80% or below the AMI. HUD Matrix Code 05R; National Objective = LMH
	<b>Target Date</b>	8/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	8
	<b>Location Description</b>	City of Dunkirk



	<b>Planned Activities</b>	CHRIC will provide closing cost / down payment assistance for low / moderate-income first-time homebuyers.
<b>3</b>	<b>Project Name</b>	CHRIC Emergency Roof Repair Program
	<b>Target Area</b>	DUNKIRK
	<b>Goals Supported</b>	Provide Safe, Affordable Housing
	<b>Needs Addressed</b>	Quality, Affordable Housing
	<b>Funding</b>	CDBG: \$55,200
	<b>Description</b>	CHRIC will assist at least 5 LMI homeowners with emergency roof repairs / replacement. HUD Matrix Code 14A National Objective = LMH
	<b>Target Date</b>	8/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	A minimum of 5 LMI homeowners will be assisted with this proposed activity
	<b>Location Description</b>	City of Dunkirk
	<b>Planned Activities</b>	CHRIC will provide assistance in securing contractors to repair or replace failing roofs of a minimum of 5 LMI owner occupied homeowners in the City of Dunkirk.
<b>4</b>	<b>Project Name</b>	Planning and Administration
	<b>Target Area</b>	DUNKIRK
	<b>Goals Supported</b>	Planning and Administrative
	<b>Needs Addressed</b>	
	<b>Funding</b>	CDBG: \$89,363
	<b>Description</b>	This activity will fund staffing and other administrative costs to administer the City's CDBG program. HUD Matrix Code 21A
	<b>Target Date</b>	8/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	
	<b>Location Description</b>	
	<b>Planned Activities</b>	
<b>5</b>	<b>Project Name</b>	Dunkirk Public Library After-School Snack Program

	<b>Target Area</b>	DUNKIRK
	<b>Goals Supported</b>	Provide Public and Youth Community Services
	<b>Needs Addressed</b>	Children's Education / Youth Programming
	<b>Funding</b>	CDBG: \$1,500
	<b>Description</b>	Dunkirk Public Library provides after school snacks and programming for the middle and high school youth living in the surrounding LMI neighborhood. HUD Matrix Code 05D National Objective = LMC
	<b>Target Date</b>	8/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	50 LMI youth will benefit from this program
	<b>Location Description</b>	City of Dunkirk, primarily Block Group One Census Tract 357
	<b>Planned Activities</b>	Afterschool snacks will be provided to LMI youth in an effort to alleviate food insecurities and encourage youth to visit and use the library services.
6	<b>Project Name</b>	Lake Shore Drive Complete Streets Improvements
	<b>Target Area</b>	DUNKIRK
	<b>Goals Supported</b>	Improve Infrastructure/ Pedestrian Infrastructure
	<b>Needs Addressed</b>	Infrastructure / Blight Removal
	<b>Funding</b>	CDBG: \$85,000
	<b>Description</b>	The City of Dunkirk secured \$1.5 million of grant funding to do a complete streets improvement project for Lake Shore Drive, a main corridor that runs entirely through three of the City's LMI block group areas. Planned activities include using these funds as match for the street improvements, which may include sidewalks, public benches, and tree planting. HUD Matrix Code 03K National Objective = LMA
	<b>Target Date</b>	8/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	12000 Dunkirk residents will benefit from this activity
	<b>Location Description</b>	Improvements will occur on Lake Shore Drive between Main Street and Brigham Road

	<b>Planned Activities</b>	The entire project will include sheltered bike lanes, median, curbing and green infrastructure with pedestrian refuge islands designed to better connect the low income areas of the City and Downtown Business District with the lakefront and City Pier and to allow for traffic-calming measures and to improve pedestrian and cyclist safety for Housing Authority high-rise residents as well as resident and visitors accessing Memorial Park, Pier and the Boardwalk. The \$85,000.00 of CDBG funds will be used for the City's matching funds for the street improvement project, which may include sidewalk improvements and the purchase of additional trees and public benches along the project roadway.
7	<b>Project Name</b>	The Resource Center SAFER Program
	<b>Target Area</b>	DUNKIRK
	<b>Goals Supported</b>	Creative Economic Development Solutions
	<b>Needs Addressed</b>	Economic Development
	<b>Funding</b>	CDBG: \$10,000
	<b>Description</b>	Supporting and Facilitating Employee Retention (SAFER) program will provide support to employers and primarily poverty level employees to reduce the need for public assistance and support them in career growth. HUD Matrix Code 05H National Objective = LMCSV
	<b>Target Date</b>	8/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	6 businesses and 50 employees
	<b>Location Description</b>	City of Dunkirk
	<b>Planned Activities</b>	Success and Business Coaching, bi-lingual support. Coaches will collaborate and develop tools to meet the needs of businesses and employees to promote retention. Coaches will meet with employees to assess needs and create a plan to provide supports. Learning topics include financial literacy, home ownership, proper lifting techniques, health insurance options, stress management and more.
8	<b>Project Name</b>	Kids at Promise Building Study and Renovations
	<b>Target Area</b>	DUNKIRK
	<b>Goals Supported</b>	Provide Public and Youth Community Services
	<b>Needs Addressed</b>	Children's Education / Youth Programming

	<b>Funding</b>	CDBG: \$10,000
	<b>Description</b>	To complete a professional evaluation on the Youth Center building to assess condition and begin needed renovations. HUD Matrix Code 03D National Objective = LMC
	<b>Target Date</b>	8/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	50
	<b>Location Description</b>	Dunkirk
	<b>Planned Activities</b>	Contract with a professional engineering company to complete an assessment of the Youth Center building to include evaluation of condition and begin necessary repairs.
<b>9</b>	<b>Project Name</b>	Chautauqua County Land Bank Lead Removal
	<b>Target Area</b>	DUNKIRK
	<b>Goals Supported</b>	Provide Safe, Affordable Housing
	<b>Needs Addressed</b>	Quality, Affordable Housing
	<b>Funding</b>	CDBG: \$30,000
	<b>Description</b>	Funding toward Lead paint abatement rehab project for a home that is being rehabbed ultimately to be sold to a LMI homebuyer. HUD Matrix Code 14I National Objective = LMH
	<b>Target Date</b>	8/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	1
	<b>Location Description</b>	Dunkirk
	<b>Planned Activities</b>	The lead abatement program is a home that will undergo a full rehab and then sold to a LMI family.
<b>10</b>	<b>Project Name</b>	Chautauqua County Land Bank Hands On Neighborhoods
	<b>Target Area</b>	DUNKIRK
	<b>Goals Supported</b>	Provide Public and Youth Community Services

	<b>Needs Addressed</b>	Expanded Community Outreach
	<b>Funding</b>	CDBG: \$10,000
	<b>Description</b>	Hands on Neighborhoods is a comprehensive program created to empower residents to nurture healthy and safe neighborhoods by hosting training events for residents to learn crime prevention strategies, home maintenance skills, and nurture cooperation to work towards strengthening their neighborhoods through clean up events and other initiatives. HUD Matrix Code 05I National Objective = LMA
	<b>Target Date</b>	
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	50 LMI residents within the neighborhood will benefit from this program.
	<b>Location Description</b>	Targeted block group neighborhoods in Dunkirk
	<b>Planned Activities</b>	Hands on Neighborhoods is a comprehensive program created to empower residents to nurture healthy and safe neighborhoods by hosting training events for residents to learn crime prevention strategies, home maintenance skills, and nurture cooperation to work towards strengthening their neighborhoods through clean up events and other initiatives.
<b>11</b>	<b>Project Name</b>	Point Gratiot Park Improvements
	<b>Target Area</b>	DUNKIRK
	<b>Goals Supported</b>	Enhanced Recreational Opportunities
	<b>Needs Addressed</b>	Recreational Opportunities
	<b>Funding</b>	CDBG: \$62,300
	<b>Description</b>	To make upgrades and improvements to the pavilions, restrooms, and recreation space at Point Gratiot Park. HUD Matrix Code 03F National Objective = LMA
	<b>Target Date</b>	8/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	12563 Dunkirk City residents
	<b>Location Description</b>	Point Gratiot Park, Dunkirk

	<b>Planned Activities</b>	Repair / replacement of rotting pavilion and roof, replace unsafe storage unit, and rewire electrical in pavilion for safety and code compliance.
<b>12</b>	<b>Project Name</b>	Wright Park Bathroom upgrades
	<b>Target Area</b>	DUNKIRK
	<b>Goals Supported</b>	Enhanced Recreational Opportunities
	<b>Needs Addressed</b>	Recreational Opportunities
	<b>Funding</b>	CDBG: \$10,000
	<b>Description</b>	Replace rotting doors of Wright Park bathrooms. HUD Matrix Code 03F National Objective = LMA
	<b>Target Date</b>	8/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	12563 City residents
	<b>Location Description</b>	Wright Park
	<b>Planned Activities</b>	Replace rusted, rotting doors for the 2 main bathrooms at Wright Park
<b>13</b>	<b>Project Name</b>	Tree Planting
	<b>Target Area</b>	DUNKIRK
	<b>Goals Supported</b>	Improve Infrastructure/ Pedestrian Infrastructure
	<b>Needs Addressed</b>	Infrastructure / Blight Removal
	<b>Funding</b>	CDBG: \$5,455
	<b>Description</b>	Plant approximately 25 new trees throughout the City. HUD Matrix Code 03N National Objective = LMA
	<b>Target Date</b>	8/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	12563
	<b>Location Description</b>	Dunkirk
	<b>Planned Activities</b>	Purchase and plant 25 new trees in LMI Block Groups throughout the City. Most likely will go towards the Lake Shore Complete Streets Project's landscaping.
<b>14</b>	<b>Project Name</b>	Sidewalk Repair and Replacement Program

<b>Target Area</b>	DUNKIRK
<b>Goals Supported</b>	Improve Infrastructure/ Pedestrian Infrastructure
<b>Needs Addressed</b>	Infrastructure / Blight Removal
<b>Funding</b>	CDBG: \$42,584
<b>Description</b>	Unspent funding from this project (\$7,584.00) is being reprogrammed along with PY18 H.O.P.E. (13,595.32) unspent funding to PY22 Senior Center Improvements, making that project's new total \$45,587.50. Funding to repair or replace broken sidewalks within eligible block group areas. HUD Matrix Code 03L National Objective = LMA
<b>Target Date</b>	8/31/2022
<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Approximately 250 neighborhood residents will benefit from these improvements.
<b>Location Description</b>	Varying sidewalks located in eligible block group neighborhoods in Dunkirk
<b>Planned Activities</b>	Repair / Replace broken sidewalks in eligible block group neighborhoods.

## **AP-50 Geographic Distribution – 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

For the 2021 program year, CDBG assistance will be directed to those areas of the City that demonstrate the highest levels of need. These neighborhoods are concentrated near downtown and/or along the Main St. corridor. Programs targeted to these areas include a sidewalk repair / replacement, park improvements, housing rehabilitation, Hands on Neighborhoods, and low-income youth services.

### **Geographic Distribution**

Target Area	Percentage of Funds
DUNKIRK	100

**Table 8 - Geographic Distribution**

### **Rationale for the priorities for allocating investments geographically**

Our rationale for directing assistance to these neediest areas is two-fold. First, it represents our best attempt to address the needs outlined in the Plan exactly where it is most needed. This aligns with the CDBG program objective of benefitting low-to-moderate incomes persons, who make up the majority of households in these neighborhoods. Second, it reflects where CDBG applicants have applied for their projects to occur. Our choice in where to distribute projects is constrained in part by the location of projects that have been submitted by applicants.

### **Discussion**

Although directing program funds to the areas of Dunkirk that demonstrate the highest levels of need will always be justifiable, we also strive to be strategic in how exactly funds are distributed geographically. For instance, because many Dunkirk residents have limited transportation options, especially low-income residents, locating a facility to provide assistance to low-income residents near where these residents live make a lot of sense.



## Affordable Housing

### AP-55 Affordable Housing – 91.220(g)

#### Introduction

As demonstrated elsewhere in our Consolidated Plan, there is a strong need in Dunkirk for additional affordable housing options. Through the programs applied for through our CDBG process, we hope to continue tackling the issue of affordable housing.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	15
Special-Needs	0
Total	15

**Table 9 - One Year Goals for Affordable Housing by Support Requirement**

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	15
Acquisition of Existing Units	0
Total	15

**Table 10 - One Year Goals for Affordable Housing by Support Type**

## **Discussion**

The programs that will help us reach these one-year goals for affordable housing include:

- Chautauqua County Land Bank Lead Abatement
- CHRIC'S Down Payment and Closing Cost Assistance Program
- CHRIC's Roof Repair Program

While we recognize that the number of households helped by these programs is a drop in the bucket relative to the need for affordable housing in our community, these programs will make a meaningful impact in the lives of the households assisted. We hope that they can be a catalyst for additional improvements going forward.

## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

The Dunkirk Housing Authority (DHA) provides a valuable supply of affordable housing options in our community. In the upcoming program year, we will look for ways to support and partner with the DHA and its residents.

### **Actions planned during the next year to address the needs to public housing**

Our consultation process in preparing this plan suggests that public housing needs are less urgent than other housing needs in the community. The DHA, while acknowledging that some ongoing issues do exist, has conveyed that public housing in Dunkirk is in stable condition. With this in mind, we feel that other more pressing housing needs should be the focus of our efforts. The DHA currently has a plan to encourage and increase tenant participation on committees and coalitions by creating a Tenant Advisory Board (TAB) that spearheads the flow of information and communication among other developments and their tenants. Out of the TAB grow leaders interested in learning and communicating their role in the management of the HA.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

We will continue working with local organizations including the DHA, Chautauqua Opportunities, Inc. (COI), and Chautauqua Home Rehabilitation and Improvement Corps (CHRIC) to make public housing residents aware of available housing programs. We also recognize that the majority of public housing residents in Dunkirk are Hispanic. In the next year we intend to look for ways to engage with and provide resources for our community's Hispanic population. We will be sure to include the DHA and its residents in any of this outreach. The DHA reports that they share the process of first-time homeownership and the availability of local resources that can assist through the general systems of communication such as a monthly newsletter and monthly tenant meetings. They also invite guest speakers familiar with the process to facilitate informational sessions.

### **If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

The Dunkirk Housing Authority is not designated as troubled.

### **Discussion**

The housing challenges that impact our community are deep and widely felt. The resources necessary to

ameliorate the full extent of housing challenges are far greater than the resources available to our community to address these challenges. With this in mind, and considering the generally good condition of public housing in Dunkirk, we have prioritized funding for other housing programs during this program year. We will continue, though, to seek out opportunities to engage public housing residents in our community and to connect them with resources and services that may benefit them.

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

We recognize that homelessness is a real challenge for some members of our community. The data presented elsewhere in this Plan indicate that a variety individuals and families experience homelessness for a variety of reasons. There are challenges that the local Continuum of Care and other local service providers face in addressing the needs of homeless persons, including the lack of a dedicated homeless facility in northern Chautauqua County. We are aware of these challenges and will look for opportunities to assist these organizations where possible.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

Given the urgency of other housing challenges and the programs applied for by local agencies, our funding for this program year does not include homeless programs. That being said, we will continue working with our local Continuum of Care to provide assistance in reaching out to homeless persons and assessing their individual needs.

#### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Addressing the emergency shelter and transitional housing needs of homeless persons is an ongoing effort in Dunkirk. These needs are largely addressed by our local Continuum of Care, which is coordinated by Chautauqua Opportunities, Inc. (COI). We will work with COI to provide assistance in this effort where possible.

#### **Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

One of the ways we have been working towards this goal is by working with Southern Tier Environments for Living, Inc. (STEL) on their Dunkirk Renovation and Ownership Program, which in Phase 1 has created close to 50 new housing units in our community, a number of which have been targeted to homeless persons. Adding these new housing units and providing associated services have helped homeless persons make the transition to permanent housing and independent living. STEL is also planning a Phase II of this project and intends to apply for funding to from New York State to continue this

important initiative.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

We will continue working with our local Continuum of Care to find ways to help low-income individuals and families avoid becoming homeless.

### **Discussion**

Our first strategic plan goal is to provide safe, affordable housing for Dunkirk residents. With this in mind, in future program years we will consider funding any programs aimed at assisting low-income individuals and families avoid becoming homeless.

## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction:**

As stated elsewhere in this Plan, quality affordable housing is one of the most pressing needs in our community. We are committed to providing expanded opportunities for affordable housing as well as removing any potential barriers to affordable housing.

**Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

We are currently unaware of any public policies that serve as barriers to affordable housing. However, given the importance of affordable housing to our community, we will continue to monitor for any policies that do have the effect of creating barriers to affordable

### **Discussion:**

We will continue to look for potential barriers to affordable housing and to remove those barriers where appropriate. For instance, one of the efforts we undertook in 2018 was to examine housing challenges in our community. We worked with a planning consultant, czb, LLC, to identify and develop strategies to deal with vacant and distressed housing. As part of this project, czb provided recommendations to the City regarding housing policies and programs. If any of these recommendations can help us improve accessibility to affordable housing then we will strongly consider implementing them.

## **AP-85 Other Actions – 91.220(k)**

### **Introduction:**

This section outlines other actions that we will undertake to address the needs and advance the goals set forth in this Consolidated Plan. These actions include efforts to better manage our CDBG program internally as well as actions to better coordinate our CDBG work with other community agencies.

### **Actions planned to address obstacles to meeting underserved needs**

The largest obstacle to meeting underserved needs in our community is a lack of funding. We will diligently look for additional grant opportunities, especially those that will allow us to meet underserved needs, of which there are many in our community. For instance, we will seek out additional future funding for CHRIC's Roof Repair Program through New York State's Affordable Housing Corporation

### **Actions planned to foster and maintain affordable housing**

A number of the projects/programs that are proposed for funding in this program year are aimed at increasing housing accessibility or maintaining affordable housing for low- to moderate-income Dunkirk residents. These include:

- Chautauqua County Land Bank Neighborhood Pride Program
- CHRIC'S Down Payment and Closing Cost Assistance Program
- CHRIC's Roof Repair Program

### **Actions planned to reduce lead-based paint hazards**

We will continue working with local agencies, including Chautauqua Home Rehabilitation and Improvement Corps (CHRIC), the Chautauqua County Land Bank, and the Chautauqua County Department of Health and Human Services, that aim to reduce lead-based paint hazards in our community. Where possible, we will also seek out grant opportunities to address lead-based paint hazards.

### **Actions planned to reduce the number of poverty-level families**

The most effective way to reduce the number of poverty-level families is to improve the local economy. The City's Planning and Development Department works constantly to do just that by supporting local businesses, finding ways to attract new businesses, and seeking out available grant opportunities to improve our community and its economy. This work will continue going forward.

### **Actions planned to develop institutional structure**



The City of Dunkirk will continue to look for ways to improve our institutional structure both within City Hall and with community organizations. We will seek ways to communicate better amongst City departments and with the organizations doing important work in the community. Among the actions currently planned are:

- Creating checklists and procedures to better follow CDBG processes
- Continuing education of the CDBG program, taking advantage of learning resources provided by HUD
- Creation of systems and internal structure to guide the City's CDBG program in the future
- Continuing information sharing between organizations and City staff to ensure that all are aware of resources available and can guide residents appropriately
- Continuing to participate in the HUD Field Office CoC informational and planning discussions

### **Actions planned to enhance coordination between public and private housing and social service agencies**

Prior to COVID the City's Planning and Development Department had started a business roundtable series that opens a dialogue between representatives from different sectors of the local economy. This idea could be extended to include public and private housing and social service agencies, which would help enhance coordination between the agencies and as the restrictions of COVID are loosening this is an idea that the City will look to pursue again.

City Planning and Development staff have also started participating in a homelessness workgroup run by the local Continuum of Care and attended by most of the local social service/housing agencies. This participation will further enhance coordination between the City and these agencies.

### **Discussion:**

We will continue to look for ways to improve our administration of the CDBG program and our efforts towards meeting the CDBG programs goals. While the CDBG program is an effective tool in and of itself, we realize that the work undertaken through CDBG occurs in the context of other work being done in our community by a variety of agencies. All of these agencies provide a valuable contribution towards the well-being of our community, especially those higher needs residents requiring additional services. We will continue to work to ensure that our CDBG program is well-coordinated with other work being done in our community.

## Program Specific Requirements

### AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

#### Introduction:

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	85.00%



## Attachments

## Grantee Unique Appendices

### CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3 --** It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

  
Signature of Authorized Official

8/24/21  
Date

MAYOR  
Title



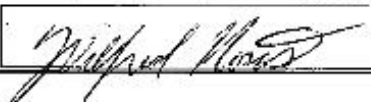
# Grantee SF-424's and Certification(s)

OMB Number: 4010-0004  
Expiration Date: 12/31/2002

Application for Federal Assistance SF-424			
<b>* 1. Type of Submission:</b> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		<b>* 2. Type of Application:</b> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	
		<b>* 3. Revision, select appropriate letter(s):</b> <input type="text"/> <b>* Other (Specify):</b> <input type="text"/>	
<b>* 3. Date Received:</b> 07/22/2021		<b>4. Applicant Identifier:</b> <input type="text"/>	
<b>5a. Federal Entity Identifier:</b> <input type="text"/>		<b>5b. Federal Award Identifier:</b> <input type="text"/>	
<b>State Use Only:</b>			
<b>6. Date Received by State:</b> <input type="text"/>		<b>7. State Application Identifier:</b> <input type="text"/>	
<b>8. APPLICANT INFORMATION:</b>			
<b>* a. Legal Name:</b> City of Dunkirk			
<b>* b. Employer/Supplier Identification Number (EIN/TIN):</b> 6-6002040		<b>* c. Organizational DUNS:</b> 0542765100000	
<b>d. Address:</b>			
<b>* Street1:</b> 342 Central Ave		<input type="text"/>	
<b>Street2:</b> <input type="text"/>		<input type="text"/>	
<b>* City:</b> Dunkirk		<input type="text"/>	
<b>County/Parish:</b> <input type="text"/>		<input type="text"/>	
<b>* State:</b> NY: New York		<input type="text"/>	
<b>Province:</b> <input type="text"/>		<input type="text"/>	
<b>* Country:</b> USA: UNITED STATES		<input type="text"/>	
<b>* Zip/Postal Code:</b> 14048		<input type="text"/>	
<b>e. Organizational Unit:</b>			
<b>Department Name:</b> Planning & Development		<b>Division Name:</b> <input type="text"/>	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>			
<b>Prefix:</b> Mr.		<b>* First Name:</b> Bill	
<b>Middle Name:</b> <input type="text"/>		<input type="text"/>	
<b>* Last Name:</b> Hensch		<input type="text"/>	
<b>Suffix:</b> <input type="text"/>		<input type="text"/>	
<b>Title:</b> Director of Planning and Development			
<b>Organizational Affiliation:</b> <input type="text"/>			
<b>* Telephone Number:</b> 716-368-8876		<b>Fax Number:</b> <input type="text"/>	
<b>* Email:</b> mhensch@cityofdunkirk.com			

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="City or Township Government"/> <b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/> <b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/> <b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text"/> <b>CFDA # is:</b> <input type="text"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text"/> <b>* Title:</b> <input type="text" value="2021 Annual Action Plan"/>	
<b>13. Competition Identification Number:</b> <input type="text"/> <b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <div> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </div>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="City of Dunkirk, NY Entitlement Community 2021 Annual Action Plan"/>	
Attach supporting documents as specified in agency instructions. <div> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div>	



<b>Application for Federal Assistance SF-424</b>	
<b>16. Congressional Districts Of:</b>	
* a. Applicant: <input type="text" value="27"/>	* b. Program/Project: <input type="text" value="0006"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>17. Proposed Project:</b>	
* a. Start Date: <input type="text" value="09/01/2021"/>	* b. End Date: <input type="text" value="08/31/2022"/>
<b>18. Estimated Funding (\$):</b>	
* a. Federal	<input type="text" value="453,402.00"/>
* b. Applicant	<input type="text" value="0.00"/>
* c. State	<input type="text" value="0.00"/>
* d. Local	<input type="text" value="0.00"/>
* e. Other	<input type="text" value="0.00"/>
* f. Program Income	<input type="text" value="0.00"/>
* g. TOTAL	<input type="text" value="453,402.00"/>
<b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b> <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
<b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
<b>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)</b> <input checked="" type="checkbox"/> ** I AGREE <small>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</small>	
<b>Authorized Representative:</b>	
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Wilfred"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Pogee"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Mayor, City of Dunkirk"/>	
* Telephone Number: <input type="text" value="716-365-9881"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="wvass@cityofdunkirk.com"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="8/2/21"/>

# ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-C042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

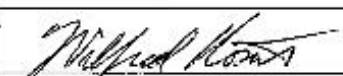
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4501 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 do-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-97)  
Prescribed by GMB Circular A-102

11. Will comply, or has already complied with, the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-845) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(s) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties) and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1998 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect; or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Oaklark	8/02/2021

SF-424D (Rev. 7-97) Back

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

  
Signature of Authorized Official

8/2/21  
Date

Mayor, City of Dunkirk  
Title

### **Specific Community Development Block Grant Certifications**

The Entitlement Community certifies that:

**Citizen Participation** – It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** – Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** – It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** – It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2021 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

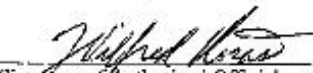
**Excessive Force** – It has adopted and is enforcing:

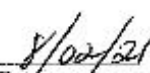
1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Compliance with Laws** -- It will comply with applicable laws.

  
\_\_\_\_\_  
Signature of Authorized Official

  
\_\_\_\_\_  
Date

Mayor, City of Dunkirk  
\_\_\_\_\_  
Title

**OPTIONAL Community Development Block Grant Certification**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

  
Signature of Authorized Official

8/2/21  
Date

Mayor, City of Dunkirk  
Title

## APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

#### **Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.