

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

12/2/2021: This 2020 AAP was recreated as a standalone APP to solve the data flow issue we were having concerning the 2020 C.A.P.E.R. The original 2020 APP was located within the 2021 Consolidated Plan. All project information has been copied over to this standalone Annual Action Plan.

11/9/20: revising AAP to reflect HUD allocation formula error. Reducing Planning and Admin by \$61.

Our selection of projects for the 2020 CDBG program year reflects our best attempt to address the needs determined to be the highest priority in our community. The total amount of funding requests from CDBG applicants far outweighed our anticipated funding. Our application pool was strong, and included submissions from organizations that the City regularly partners with as well as organizations making their first submission.

Applications were made available on March 16, 2020. Notices of the applications availability were published in the local newspaper and on the City website. Additionally, applications were mailed and emailed to organizations who have applied in the past or those who requested to be notified when applications were available. The application window closed on May 4, 2020. In total, 13 applications were received.

Applications were reviewed at the staff level for program eligibility and then, following the procedure described in our Citizen Participation Plan, evaluated by a team consisting of the CDBG Administrator, Director of Development, and the Mayor.

Because the Comprehensive Plan was still being drafted as applications were being submitted, applicants consulted the previous plan for guidance.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Although the City has many needs, this plan identifies and prioritizes the following critical components to the City's development strategy:

Provide Safe, Affordable Housing - The City has some of the oldest housing stock in the nation and has identified the need to assist residents make their homes safe and habitable. This will be accomplished by providing emergency roof repair assistance, first time homebuyer downpayment and closing cost assistance, and housing rehab. Approximately 16 low-moderate income residents will benefit from these activities.

Infrastructure Improvements - In order to maintain a safe, healthy, enjoyable environment, the City needs to improve and to modernize its infrastructure, including roadways, streetscapes, community facilities, and parks. Approximately 1000 low-moderate income residents will benefit from these activities.

Improve Community Outreach - The City of Dunkirk has an extremely diverse population with close to 30% of our residents being hispanic many of whom either speak very little or no English. To help those residents become aware of services available and feel more a part of the community, the City is investing in a bi-lingual community center. In addition, the City is investing in a community food pantry to help alleviate hunger and food insecurities in our community.

Provide Education Programming for Students - This plan addresses the need for academic assistance for our youth by investing in after-school tutoring and mentoring programs for LMI youth ages 6 - 18. The goals here are to help students remain in school, provide positive role models for our youth, and expose them to new learning and career opportunities. Approximately 70 youth will benefit from these activities

Creative Economic Development Solutions - With the impact of the COVID pandemic, small businesses have been forced to reduce work staff or close their doors permanently. This plan helps address the needs of small businesses to help keep their doors open and jobs for staff.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City of Dunkirk achieved the following accomplishments in 2019:

Boys & Girls Bridging The Gap: This program addressed the academic needs of 131 low/mod income children and teens ages 5 - 18 by providing tutors, extended learning time, and other supports to help improve performance and reduce high school dropout rates

Adams Center Rehab: Access to the Arts / Adams Center was awarded \$24,000 in 2018 and so far they have been able to make plumbing and electrical repairs, and have begun rehabbing the interior of the building to stop immediate deterioration. This project is ongoing.

CHRIC Roof Repair: This project assists LMI residents in Dunkirk make necessary repairs to the roofs of their homes that they could not do on their own. It's an important project and CHRIC has an extensive waiting list for assistance. With their 2018 award, CHRIC is in the process of securing contractors to complete the work so this project is on target.

CHRIC Closing Cost Assistance Program: This project has just started as CHRIC is working through the application process and is identifying eligible participants. Last year 8 first time home buyers were assisted with this project.

CHRIC Acquisition/Rehab/Resale Project: In consultation with the City, CHRIC will acquire 1 home from foreclosure or the Chautauqua County Land Bank for the purpose of rehabbing and reselling to a LMI first time homebuyer.

Pier Improvements: Created a more pedestrian friendly pier that will allow for increased recreational activities and better access to the waterfront not only to reduce a blighted parking lot but to create a more pedestrian friendly environment for City residents.

Dog Park Acquisition: Acquired land for new dog park to be utilized by 12,563 persons in the area.

Dunkirk Historical Museum: Rehabbing the museum to make necessary repairs to bring the building up to safety code. Significant and necessary repairs have been made to the museum building, indirectly also resulting in upgrading the low-income Washington Park neighborhood in which the museum is located.

Hope Center: A community center was rehabbed and continues to provide space for bi-lingual public services and case management.

Salvation Army Food Pantry: This project provided funds to pay salary of 1 PTE to run the Food Pantry program at Salvation Army to reduce hunger and food insecurities.

Wright Park Baseball Field Bathrooms: (CV3 Funds) In response to the lingering impacts of COVID restrictions on the communities physical and mental well-being, this project is currently promoting mental and physical wellness by upgrading to the ball field bathrooms for ADA compliance and repair / replace the roof to fix the leaks for better, healthier access for 12000 people in the area.

COVID Response: (CV3 Funds) COVID Response project continues to cover the costs associated with the City of Dunkirk's COVID response.

Small Business Loans: (CV1 Funds) In response to the COVID-19 Pandemic, this project is providing grant assistance to 35 City of Dunkirk small businesses to mitigate business closures and instead assist them to reopen and retain workers.

COI Rental Assistance: (CV1 Funds) COI is assisting up to 20 clients impacted by COVID-19 who are at-risk of losing their homes with a maximum of 3 months rental or mortgage assistance.

4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The City believes in continual communication with its local nonprofits, community leaders, and citizens. To guide this communication, the City of Dunkirk adopted a Citizen Participation Plan (CPP) which was prepared in accordance with Section 104(a)(3) of the Housing and Community Development Act of 1974, as amended, and federal regulation 24 CFR 91.105. The CPP has been amended to make this process even more effective, and was formally adopted on April 7, 2015 after a 30-day public comment period.

The City held 4 Public Hearings/ Meetings during the drafting of its 2020 Annual Action Plan on February 3 2020, March 2 2020, March 3 2020 and July 15 2020. The City also held one virtual Technical Assistance meeting for potential CDBG subrecipients on April 1, 2020. Legal Notices were published in the Observer. The public hearing and comment period for the 2020 AAP was from July 3 2020 to August 3 2020. A draft Annual Action Plan was posted on the City of Dunkirk's website and was also available in the Planning office, City Clerk's office and the public library. Written and verbal comments were accepted in City Hall by email, phone call, in writing, or in person.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

We received no public comments.

6. Summary of comments or views not accepted and the reasons for not accepting them

All comments are accepted as we're always looking for feedback from non-profits, businesses, and residents. At this time the City has not received any comments or views that have been denied for any reason.

7. Summary

The City of Dunkirk strives to recognize the issues currently facing residents of the City of Dunkirk, and address these issues to the best of its ability. Community Development Block Grant (CDBG) funds, in

conjunction with other resources, give the City of Dunkirk the opportunity to improve the lives of people within Dunkirk. In 2020, we will look to undertake also a planning project as well as those involving infrastructure development, public facility improvements, public service, and redevelopment within the City, with the stated goal of creating a happier, healthier community and environment throughout the City.

Dunkirk will also be implementing heavily researched plans, including a Comprehensive Plan, residential conditions survey, and new Consolidated Plan to provide a clearer picture of its community as a whole. We have also received a tree inventory grant which will allow us to assess the condition of our trees and take a critical look at the City's environmental health. Citizen participation and community input has been, and will continue to be, essential for developing targeted strategies to shape the City's vision and to ensure that federal resources provide the maximum benefit to those citizens in need.

PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	Dunkirk	
CDBG Administrator	Dunkirk	Department of Planning & Development
HOPWA Administrator		
HOME Administrator		
HOPWA-C Administrator		

Table 1 – Responsible Agencies

Narrative (optional)

Consolidated Plan Public Contact Information

Rebecca Wurster, Director of Planning & Development: 366-9879 rwurster@cityofdunkirk.com

Jill Meaux, Community Development Planner & CDBG Program Administrator: 366-9878 jmeaux@cityofdunkirk.com

Copy of 2020 Stand Alone AAP:

Vince DeJoy, Director of Planning & Development: 366-9879 vdejoy@cityofdunkirk.com

Nicole Clift, CDBG Program Administrator: 366-9878 nclift@cityofdunkirk.com

AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The City of Dunkirk recognizes the importance of coordination and consultation with institutions within the community. As a result, in formulating this plan, the City of Dunkirk made all attempts to work with all organizations through public meetings and hearings, as well as working with organizations at their best convenience. The City also hosts a Business Roundtable in which City businesses and non- profits are invited to voice concerns and contribute ideas for the overall success of the City.

Dunkirk is required by the U.S. Department of Housing and Urban Development (HUD) to create its own Consolidated Plan and Annual Action Plan in order to be considered for entitlement grant funding. Last year the City of Dunkirk completed its 2018-2020 Consolidated Plan, which clarifies and defines the City's future objectives and illustrates how those objectives align with CDBG program objectives. This Annual Action Plan utilizes the priorities outlined in the Consolidated Plan and specifies the intended uses of CDBG funding for Dunkirk's third program year (2020) under the current plan.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

The City of Dunkirk strives to provide fair and accurate copies of its plans at every stage of their development. As such, the City provided public notices about public hearings via local media sources including print, online, social media, community meetings, posters, and word of mouth sources. The City also worked with, and made all attempts to work with, housing providers such as Chautauqua Opportunities, Inc., the Dunkirk Housing Authority, as well as other agencies involved in healthcare and housing in some form. Notices of public meetings and copies of the City's 2020 CDBG Application were mailed to: Boys & Girls Club of Northern Chautauqua County, Dunkirk Housing Authority, Dunkirk-Fredonia Meals on Wheels, Chautauqua County Office for the Aging, Chautauqua County Department of Health & Human Services, Chautauqua County Department of Mental Hygiene, Chautauqua County Rural Ministry, Chautauqua Opportunities, Inc., Chautauqua Home Rehabilitation and Improvement Corp., Northern Chautauqua Community Foundation, Senior Center of Dunkirk, Southern Tier Environments for Living, the Resource Center, Chautauqua Striders, HOPE Center, Dunkirk Historical Society, Salvation Army, Access to the Arts, and Revitalize Dunkirk. Copies of the draft 2020 Annual Action Plan will be sent to these same agencies to garner their input and feedback. No one was intentionally left out and we encourage interactions, questions, and suggestions from all agencies.

The City of Dunkirk does not currently manage any public housing. Public housing within the City's jurisdiction is managed by the Dunkirk Housing Authority (DHA). The DHA currently maintains 243 housing units. City staff also maintains close relationships with local Community Housing Development Organizations (CHDO), including Chautauqua Opportunities, Inc. (COI).

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Homelessness and chronic homelessness are issues individuals face throughout the United States. Residents of Dunkirk are, unfortunately, no exception to this rule. While efforts are ongoing to improve data collection on the exact amount of homelessness and chronic homelessness exists within the City, a support network has been established for those experiencing homelessness, chronic homelessness, or risk of becoming homeless. Chautauqua Opportunities, Inc. (COI) is the City's Continuum of Care provider. In October of 2014 the Chautauqua County Homeless Coalition signed and adopted a 10-year plan to end homelessness in Chautauqua County.

Chautauqua Lake Central School District, which is within the CoC geography, has a McKinney-Vento funding award from the NYS education Department to provide educational services to homeless children. The McKinney-Vento Liaisons in all of the county's 18 school districts have been contacted and asked to join the CoC or be provided with information about homeless services. Chautauqua County has a NY State licensed runaway and homeless youth shelter that is funded by the U.S. Department of Health and Human Services and operated by the CoC lead agency.

Providers regularly refer families to other providers when their family composition does not allow them to be accommodated in their facility. The CoC has also held a McKinney-Vento training in coordination with local school districts to improve coordination of services with school districts, and whenever possible, keep children in their school of origin.

If housing is not available for a specific family type, the local Department of Social Services will utilize a local hotel to keep the family together while other housing options are explored.

The Veteran's Administration's regional representative is a member of the CoC decision-making body.

The lead agency also coordinates with VASH as the Section 8 Housing Choice Voucher administrator for the county, and was recently awarded a Supportive Services for Veteran Families grant from the U.S. Department of Veteran Affairs to prevent homelessness among veteran families. The CoC participated in the Veteran's Administration needs assessment. All HUD-funded agencies in the CoC serve veterans as an integrated service.

The CoC lead agency operates a NYS licensed Runaway and Homeless Youth Shelter that is funded with DHHS Basic Center funding, as well as a DHHS funded Street Outreach Program that makes contact with over 7,500 youth in the county annually, and a Transitional Living Program for youth ages 16-21 (DHHS funding). These programs frequently collaborate with other providers that serve the youth population such as Boys and Girls Clubs, YMCA's and YWCA's, church teen groups, municipal recreation programs, and other non-profit organizations. The lead organization and other youth serving providers will

participate in strategic planning meetings to ensure that the needs of homeless youth are addressed in future plans.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

The City of Dunkirk does not receive ESG funds

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	CHAUTAUQUA OPPORTUNITIES INC.
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-Persons with HIV/AIDS Services-homeless Services-Health Services-Education Service-Fair Housing Child Welfare Agency Regional organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Non-Homeless Special Needs HOPWA Strategy Anti-poverty Strategy Lead-based Paint Strategy Child care needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice of public hearing to Chautauqua Opportunities, Inc. (COI) along with a copy of the 2020 CDBG Application. COI also leads the County in Fair Housing efforts, Continuum of Care, and hosts the Homeless Coalition meetings, in which the City of Dunkirk attends.

2	Agency/Group/Organization	Dunkirk Housing Authority
	Agency/Group/Organization Type	Housing Services - Housing Services-Elderly Persons Services-Persons with Disabilities Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Public Housing Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice of public hearing to the Dunkirk Housing Authority (DHA) along with a copy of the 2020 CDBG Application. The DHA is also involved in the Homeless Coalition meetings, hosted by COI, which also include the Chautauqua County Department of Social Services and the Chautauqua- Cattaraugus Library System.
3	Agency/Group/Organization	CHAUTAUQUA HOME REHABILITATION AND IMPROVEMENT CORPORATION
	Agency/Group/Organization Type	Housing Services - Housing Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy Lead-based Paint Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice of public hearing to Chautauqua Home Rehabilitation Corporation (CHRIC) along with a copy of the 2020 CDBG Application. We also met with their Director Josh Freifeld and Eileen Powers (Roof Repair Project Manager) at their office in Mayville to discuss market and housing stock conditions. We are also in frequent contact via phone and email with this agency.

4	Agency/Group/Organization	Boys and Girls Club of Northern Chautauqua County
	Agency/Group/Organization Type	Services-Children
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Non-Homeless Special Needs Market Analysis Anti-poverty Strategy Academic and positive role model needs for children
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice of public hearing to the Boys and Girls Club of Northern Chautauqua along with a copy of the 2020 CDBG Application. CDBG Administrator also met with their Executive Director to discuss challenges they see particularly for our low income families and youth in the community.
5	Agency/Group/Organization	Chautauqua County Office for the Aging
	Agency/Group/Organization Type	Services-Elderly Persons Services-Persons with Disabilities Other government - County
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs Senior Citizen Needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City has been working closely with the Chautauqua County Office for the Aging on a major facility upgrade project for the Dunkirk Senior Center. In addition, members from the City's Planning and Development department met with them to discuss the Senior Center's needs and vision for the residents they serve.
6	Agency/Group/Organization	Revitalize Dunkirk
	Agency/Group/Organization Type	Civic Leaders Neighborhood Organization

	What section of the Plan was addressed by Consultation?	Community Pride
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City is in frequent contact with Revitalize Dunkirk, a citizen public service organization that does various beautification projects throughout the city. They attend most public meetings and are helpful partners in identifying underserved areas and community needs. The City mailed a notice of public hearing to Revitalize Dunkirk along with a copy of the 2020 CDBG Application.
7	Agency/Group/Organization	Mayor's Business Roundtable
	Agency/Group/Organization Type	Other government - Local Planning organization Business and Civic Leaders Community Development Financial Institution Major Employer Private Sector Banking / Financing
	What section of the Plan was addressed by Consultation?	Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Mayor Rosas hosts a monthly Business Roundtable event so the City can solicit feedback from the business community on the economic development needs of the City. Feedback from these events was considered in creating this plan.
8	Agency/Group/Organization	SOUTHERN TIER ENVIRONMENTAL LIVING, INC.
	Agency/Group/Organization Type	Housing Services - Housing Service-Fair Housing

	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice of public hearing to Southern Tier Environments for Living (STEL) along with a copy of the 2020 CDBG Application. Members from STEL are in constant communication with our office as they were awarded a \$17million grant to demolish and rebuild several dilapidated homes in the City of Dunkirk.

Identify any Agency Types not consulted and provide rationale for not consulting

The City of Dunkirk contacted agencies and organizations associated with housing rehabilitation, economic development, community development, infrastructure development, or skills development. All applicable agencies were consulted and no person or group was intentionally omitted. Any and all agencies involving the needs of the people of the City of Dunkirk are always welcome to discuss the plan with City Department of Planning and Economic Development staff and were given advance notice regarding the public hearings, release of draft plan, and public comment period.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Chautauqua Opportunities Inc.	In consultation with the Chautauqua County Continuum of Care provider (COI), the City has designed its consolidated plan to reflect the needs and concerns laid out in the CoC. We are working with them to find other solutions to the homeless problem including finding shelters for heating and cooling centers in incimate weather.

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Visualizing our Options: Creating a Better Future	Chautauqua County Department of Planning & Economic Development	This plan is of design guidelines that will allow growth to continue in Chautauqua County communities while maintaining and protecting rural community character and working landscapes. The City of Dunkirk abides by the design guidelines dictated in this plan, and has used it to help spur our goal of economic development.
Brownfield Opportunity Area (BOA)	TVGA Consultants	This plan is used by the City of Dunkirk to identify and remediate brownfields throughout the City of Dunkirk. We have held numerous public meetings to discuss parcels with which to concentrate development and the consultants and City officials have been regularly meeting with stakeholders and property owners to discuss next steps in redeveloping brown field sites.
Waterfront Enhancement Planning	Woolpert	This is a historical plan for the waterfront of the City of Dunkirk which shows the sheer potential economic development within the City of Dunkirk utilizing Lake Erie as its primary resource

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

The City continually consults with Chautauqua Opportunities, Inc., Dunkirk Housing Authority, and Chautauqua Home Rehabilitation & Improvement Corporation (CHRIC) executives to better understand their needs. Through continued partnership with these organizations, the City anticipates improved quality and quantity of services and affordable housing for the homeless and low/moderate-income persons.

We have also undertaken new initiatives to further economic development as well as housing conditions within the City. We were awarded a LISC Zombie Property grant which we used for a survey of housing conditions in the City as well as taking a look at utility bills, sidewalk conditions, foreclosure information, and build dates for the homes. We're using this information in conjunction with the Chautauqua County Landbank and Chautauqua Opportunities in order to direct homeowners on the verge of foreclosure to programs that can keep them in their homes, thereby preventing increased vacancy. This will also allow us to identify where the greatest needs are and to better allocate CDBG funding in the future.

The City is also exploring Certified Local Government (CLG) status. This will allow the city and its residents to control the future of its historical building stock, protect what's important, and guide future development and reuse proposals, including infill in historic neighborhoods. It is the goal of some neighborhood groups to use this tool to establish historic districts which would afford property owners historic tax credits for rehabilitation of their homes.

This year was the fourth year that the City hosted a Small Business Saturday event. We had over 30 local businesses offer a special that day. We paired this with the City's annual holiday tree lighting. By hosting this event, we brought hundreds of people from downtown on a snowy, cold November Saturday and showcased the importance of shopping at our locally owned businesses and restaurants.

AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The May, 2020 amendment adds in CDBG-CV funds and related projects and was put out for a 5-day public comment period in accordance with COVID-19 CARES act regulations. Comments were accepted via email and postal mail.

The City believes in continual communication with its local nonprofits, community leaders, and citizens. To guide this communication, the City of Dunkirk adopted a Citizen Participation Plan (CPP) which was prepared in accordance with Section 104(a)(3) of the Housing and Community Development Act of 1974, as amended, and federal regulation 24 CFR 91.105. A DRAFT Amended Citizen Participation Plan was put out for a 30-day public comment period and was formally adopted on April 7, 2015.

The City held 4 Public Hearings/ Meetings during the drafting of its 2020 Annual Action Plan on February 3 2020, March 2 2020, March 3 2020 and July 15 2020. The City also held one virtual Technical Assistance meeting for potential CDBG subrecipients on April 1, 2020. Legal Notices were published in the Observer. The public hearing and comment period for the 2020 AAP was from July 3 2020 to August 3 2020. A draft Annual Action Plan was posted on the City of Dunkirk's website and was also available in the Planning office, City Clerk's office and the public library.

Notices of public meetings and copies of the City's 2020 CDBG Application were mailed to: Boys & Girls Club of Northern Chautauqua County, Dunkirk Housing Authority, Dunkirk-Fredonia Meals on Wheels, Chautauqua County Office for the Aging, Chautauqua County Department of Health & Human Services, Chautauqua County Department of Mental Hygiene, Chautauqua County Rural Ministry, Chautauqua Opportunities, Chautauqua Home Rehabilitation and Improvement Corp., Northern Chautauqua Community Foundation, Salvation Army, Senior Center of Dunkirk, Southern Tier Environment for Living, Revitalize Dunkirk, and Chautauqua Striders.

Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Meeting	Non-targeted/broad community	July 15, 2020: The CDBG process and AAP was presented to the public in the Mayor's Conference Room. Attendees included 3 city residents.	No one had any comments or questions	n/a	
2	Legal Notice in Dunkirk Observer	Non-targeted/broad community	July 7 2020: A legal notice was posted in the Observer Newspaper.	We had no response to the Legal Notice.	n/a	
3	Internet Outreach	Non-targeted/broad community	July 3, 2020: 2020 AAP Draft was posted on the City website.	We received no comments on the 2020 AAP Website posting.	n/a	
4	Public Meeting	Non-targeted/broad community	March 2, 2020: The CDBG process and AAP was presented to the public in the Mayor's Conference Room. Attendees included 1 city resident.	No one had any comments or questions	n/a	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

Allocation announcements from HUD were made in early of 2020. The City of Dunkirk was awarded \$465,061 for the 2020 program year. There was 12808.70 left to commit in the Revolving Loans fund. Previous year 2019 left over funds = \$38,957.12.

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	465,061	0	51,766	516,827	6,705	Annual Allocation Total = \$465,061.00. Prior Year Resources Total Includes: 2019 Left Over of \$38,957.12 + Revolving Loan Left Over Of \$12,808.70

Table 5 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City's Planning and Development Department is constantly looking for grant opportunities to improve our community. Where appropriate we will make every effort to utilize CDBG funding to leverage additional funding for projects.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The desire for additional recreation opportunities has been identified as a need in our community. If City-owned land can be used to help create additional recreation opportunities, this is an option we will strongly consider.

Discussion

Our community is one with high levels of need relative to available resources. We are keenly aware of this fact. Keeping this fact in mind, we will strive to spend available CDBG funds effectively and when possible will leverage additional funds with our CDBG award.

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Provide Safe, Affordable Housing	2018	2020	Affordable Housing Homeless	DUNKIRK	Quality, Affordable Housing	CDBG: \$175,000	Homeowner Housing Rehabilitated: 8 Household Housing Unit
2	Improve Infrastructure/Pedestrian Infrastructure	2018	2020	Non-Housing Community Development	DUNKIRK	Infrastructure/Pedestrian Infrastructure	CDBG: \$52,398	Buildings Demolished: 2 Buildings Other: 15 Other
3	Provide Education Programming for Students	2018	2020	Public Services for Youth	DUNKIRK	Children's Education/After School Programs	CDBG: \$32,700	Public service activities other than Low/Moderate Income Housing Benefit: 70 Persons Assisted
4	Improve Community Outreach	2018	2020	Affordable Housing Public Housing Homeless Non-Homeless Special Needs Non-Housing Community Development	DUNKIRK	Expanded Community Outreach	CDBG: \$27,000	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 30 Households Assisted Public service activities other than Low/Moderate Income Housing Benefit: 270 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Creative Economic Development Solutions	2018	2020	Non-Housing Community Development Economic Development	DUNKIRK	Economic Development	CDBG: \$70,000	Jobs created/retained: 11 Jobs
6	Enhanced Recreation Opportunities	2018	2020	Non-Housing Community Development	DUNKIRK	Recreation Opportunities	CDBG: \$100,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 1300 Persons Assisted Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 200 Households Assisted

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Provide Safe, Affordable Housing
	Goal Description	
2	Goal Name	Improve Infrastructure/Pedestrian Infrastructure
	Goal Description	

3	Goal Name	Provide Education Programming for Students
	Goal Description	
4	Goal Name	Improve Community Outreach
	Goal Description	
5	Goal Name	Creative Economic Development Solutions
	Goal Description	
6	Goal Name	Enhanced Recreation Opportunities
	Goal Description	

Projects

AP-35 Projects – 91.220(d)

Introduction

12/2/2021: This 2020 AAP was recreated as a standalone APP to solve the data flow issue we were having concerning the 2020 C.A.P.E.R. The original 2020 APP was located within the 2021 Consolidated Plan. All project information has been copied over to this standalone Annual Action Plan.

11/9/20: revising AAP to reflect HUD allocation formula error. Reducing Planning and Admin by \$61.

Our selection of projects for the 2020 CDBG program year reflects our best attempt to address the needs determined to be the highest priority in our community. The total amount of funding requests from CDBG applicants far outweighed our anticipated funding. Our application pool was strong, and included submissions from organizations that the City regularly partners with as well as organizations making their first submission.

Applications were made available on March 16, 2020. Notices of the applications availability were published in the local newspaper and on the City website. Additionally, applications were mailed and emailed to organizations who have applied in the past or those who requested to be notified when applications were available. The application window closed on May 4, 2020. In total, 13 applications were received.

Applications were reviewed at the staff level for program eligibility and then, following the procedure described in our Citizen Participation Plan, evaluated by a team consisting of the CDBG Administrator, Director of Development, and the Mayor.

Because the Comprehensive Plan was still being drafted as applications were being submitted, applicants consulted the previous plan for guidance.

Dec 2021 Meals on Wheels: CDBG-CV Activity Cancelled and will/is reallocated to Wright Park Bathroom Upgrades.

\$70,000 Allocated in Revolving Loan Fund (3 businesses)

Total Revolving Loan Fund Account= \$82,808.70

September, 2020 Amendment reallocates \$33,093.52 from cancelled and/or under budget projects.

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This reallocation includes:

\$10,000 from 2018 cancelled public art activity

\$15,360 from 2018 Sidewalks activity

\$7733.52 from 2016 Rehab activity

May, 2020 Amendment adds Meals on Wheels, Rental Assistance, and Small Business Assistance projects in response to COVID-19 utilizing CDBG-CV allocated funds. These projects meet the National Objective of Low/Mod benefit and are budgeted as:

Meals on Wheels: \$10,400 (L/M limited clientele - elderly and disabled)

Rental Assistance: \$35,000 (LMI households as defined by HUD area median income for Chautauqua County)

Small Business Reopening Assistance: \$234,541 (small grants available to small businesses effected by COVID-19 to assist with retaining LMI jobs. This activity is comprised of \$6319 of reallocated 2019 funds and \$228,222 of CDBG-CV funds)

The City did not elect to allocate any additional Admin from the CDBG-CV funds.

CDBG-CV allocation = \$273,622

Reallocated 2019 CDBG regular funds = \$6319

Projects

#	Project Name
1	Boys and Girls Club Bridging the Gap
2	Adams Center for Collaborative Design
3	COI Owner Occupied Housing Rehab
4	Chautauqua County Land Bank Acquisition of In Rem Property
5	Planning and Administration
6	Food Pantry
7	Owner - Occupied Roof Repair
8	Down Payment / Closing Cost Assistance (CHRIC)
9	CHRIC Acquisition / Rehab / Resale
10	Dunkirk Historical Museum Repairs
11	Dunkirk Public Library After School Snack Program
12	Demolitions

#	Project Name
13	Tree Planting
14	Wright Park Phase II
15	Revolving Loan Fund 2021

Table 7 - Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Our allocation priorities were decided based on a number of factors, including:

- Level of need for proposed activity
- Expected timeliness in spending
- Past history with subrecipients
- Measurability of outcomes
- Number of people served

We acknowledge that there is an imperfect match between our selected projects for 2019 and the needs/goals identified in the Comprehensive Plan. That being said, we have done our best to balance the factors listed above in selecting projects.

Obstacles to addressing underserved needs include:

- Availability of funding
- The limited number of potential subrecipients in the Dunkirk area to assist with projects/programs
- Insufficient data on some populations, including homeless
- Lacking public participation in the planning process

Where possible, we will continue working to improve these underserved needs.

AP-38 Project Summary
Project Summary Information

1	Project Name	Boys and Girls Club Bridging the Gap
	Target Area	DUNKIRK
	Goals Supported	Provide Education Programming for Students
	Needs Addressed	Children's Education/After School Programs
	Funding	:
	Description	Bridging the Gap is an education and career program that focuses on the academic and career development needs of Dunkirk children ages 5 - 18. The program addresses academic needs of low income students on a daily basis and is designed to extend learning time, reduce high-school drop-out rate, improve attendance, increase graduation rates, and prepare students for a post-secondary education. HUD Matrix Code 05D National Objective = LMC
	Target Date	8/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	704 Central Ave., Dunkirk, NY
	Planned Activities	The Boys and Girls Club of Northern Chautauqua County will provide after-school tutoring and mentoring to youth ages 5 - 18
2	Project Name	Adams Center for Collaborative Design
	Target Area	DUNKIRK
	Goals Supported	Enhanced Recreation Opportunities
	Needs Addressed	Recreation Opportunities
	Funding	:
	Description	Provide funding to repair the failing roof or other rehabilitation of the Adams Center for Collaborative Design. HUD Matrix Code 03E LMA - LOW/MOD AREA BENEFIT
	Target Date	8/31/2021

	Estimate the number and type of families that will benefit from the proposed activities	100 persons
	Location Description	600 Central Avenue, Dunkirk, NY
	Planned Activities	Funds will be utilized to stabilize the failing roof and other building rehabilitation projects to move the building towards full usability.
3	Project Name	COI Owner Occupied Housing Rehab
	Target Area	DUNKIRK
	Goals Supported	Provide Safe, Affordable Housing
	Needs Addressed	Quality, Affordable Housing
	Funding	:
	Description	To provide owner-occupied home repairs to low income households. HUD Matrix Code 14A - Rehab; Single-Unit Residential LMH - LOW/MOD HOUSING BENEFIT
	Target Date	8/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	1 Household
	Location Description	Locations have yet to be determined but will be selected in accordance with the City and will be located within one of the designated LMI block groups.
4	Planned Activities	COI will assist low income homeowners with emergency home repairs that may include plumbing, electrical, handicapped accessibility, windows/weatherization and more.
	Project Name	Chautauqua County Land Bank Acquisition of In Rem Property
	Target Area	DUNKIRK
	Goals Supported	Provide Safe, Affordable Housing
	Needs Addressed	Quality, Affordable Housing
	Funding	:

	Description	The Land Bank will work with the City to acquire foreclosed properties and make essential repairs necessary to maintain the habitability of housing units acquired through tax foreclosure proceedings in order to prevent abandonment and deterioration of such housing in primarily low and moderate income neighborhoods. Properties will then be appraised and sold. Targeted properties will take place in LMI census tracts. HUD Matrix Code 14G - Acquisition for Rehabilitation LMH - LOW/MOD HOUSING BENEFIT
	Target Date	8/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	1 Household
	Location Description	Address of project has yet to be determined but will fall within one of the designated LMI block groups
	Planned Activities	The Chautauqua County Land Bank will work with the City to acquire foreclosed properties and make essential repairs necessary to stabilize and maintain the habitability and improve curb appeal of housing units acquired through tax foreclosure proceedings in order to prevent abandonment and deterioration of such housing in primarily low and moderate income neighborhoods. Properties will then be appraised and sold. Targeted properties will take place in LMI census tracts.
5	Project Name	Planning and Administration
	Target Area	DUNKIRK
	Goals Supported	Provide Safe, Affordable Housing Improve Infrastructure/Pedestrian Infrastructure Provide Education Programming for Students Improve Community Outreach Creative Economic Development Solutions Enhanced Recreation Opportunities
	Needs Addressed	Quality, Affordable Housing Economic Development Children's Education/After School Programs Expanded Community Outreach Infrastructure/Pedestrian Infrastructure Recreation Opportunities

	Funding	:
	Description	This activity will fund staffing to administer the City's CDBG program. HUD Matrix Code
	Target Date	8/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	
	Planned Activities	This activity will fund salaries and fringe of 1 FTE CDBG administrator, 1 PT secretary, and a Phase 2 of the NRG feasibility study to assess environmental impact and redevelopment options for the old NRG plant.
6	Project Name	Food Pantry
	Target Area	DUNKIRK
	Goals Supported	Improve Community Outreach
	Needs Addressed	Expanded Community Outreach
	Funding	:
	Description	Salvation Army will administer a food pantry program for LMI residents of the City. HUD Matrix Code 05W LMA - LOW/MOD AREA BENEFIT
	Target Date	8/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	200 Persons
	Location Description	704 Central Ave., Dunkirk, NY
	Planned Activities	This activity will provide salary support for 1 PTE to run the emergency food pantry.
7	Project Name	Owner - Occupied Roof Repair
	Target Area	DUNKIRK
	Goals Supported	Provide Safe, Affordable Housing
	Needs Addressed	Quality, Affordable Housing

	Funding	:
	Description	Chautauqua Home Rehabilitation and Improvement Corp (CHRIC) will assist at least 5 low- or moderate-income homeowners with roof repairs. HUD Matrix Code 14A LMH - LOW/MOD HOUSING BENEFIT
	Target Date	8/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	5 Households
	Location Description	The location of proposed households is yet to be determined but will be based on CHRIC's existing waiting list of addresses for roof repair services.
	Planned Activities	Roof repairs may be undertaken to as much of the roof as necessary to assure that the roof is weather-tight and will remain weather-tight for a minimum of 20 years. Where the existing roof has failed, a full tear-off will be undertaken, failed sheathing will be replaced as necessary and new roofing materials will be installed. If the existing gutters are in good condition, they will be re-hung. Otherwise, new gutters will be included in the scope of work when it is bid. Soffits and fascias will not be addressed under this program. Repairs/replacements may be undertaken on the entire roof or to only a portion as existing conditions dictate.
8	Project Name	Down Payment / Closing Cost Assistance (CHRIC)
	Target Area	DUNKIRK
	Goals Supported	Provide Safe, Affordable Housing
	Needs Addressed	Quality, Affordable Housing
	Funding	:
	Description	Chautauqua Home Rehabilitation and Improvement Corp (CHRIC) will assist 6 low-to moderate- income individuals/families with down payment and/or closing cost assistance for the purchase of a home in the City of Dunkirk. HUD Matrix Code 05R LMH - LOW/MOD HOUSING BENEFIT
	Target Date	11/8/2021
	Estimate the number and type of families that will benefit from the proposed activities	6 Households

	Location Description	The locations of the proposed homes are yet to be determined
	Planned Activities	CHRIC will assist 6 individuals / families with down payment and/or closing cost assistance for the purchase of a home in the City of Dunkirk. Assistance will be provided to first time homebuyers who have incomes at or below 80% of the area median income.
9	Project Name	CHRIC Acquisition / Rehab / Resale
	Target Area	DUNKIRK
	Goals Supported	Provide Safe, Affordable Housing
	Needs Addressed	Quality, Affordable Housing
	Funding	:
	Description	Chautauqua Home Improvement and Rehabilitation Corp (CHRIC) will acquire 1 foreclosed or Land Bank-owned property, rehabilitate it, and sell it to a first-time homebuyer. HUD Matrix Code 14G LMH - LOW/MOD HOUSING BENEFIT
	Target Date	8/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	1 Household
	Location Description	The exact location of this property has yet to be determined but will fall within an eligible block group in the City of Dunkirk
10	Planned Activities	CHRIC's Executive Director will work with the Chautauqua County Land Bank to purchase a property from the County tax foreclosure auction, or alternatively will utilize a Land Bank-owned property. The Project Manager for the project will perform a lead based paint risk inspection, write a scope of work, have contractors bid on the project, perform site visits, and ensure that all necessary permits are filed. CHRIC's Homeownership Program Manager will have a first-time homebuyer ready to purchase the home once it has been rehabilitated and will guide that client through the purchase process.
	Project Name	Dunkirk Historical Museum Repairs
	Target Area	DUNKIRK
	Goals Supported	Enhanced Recreation Opportunities
	Needs Addressed	Recreation Opportunities

	Funding	:
	Description	Funding will be used for necessary masonry and other repairs to museum building to address ADA compliance and other safety concerns. Funds will also be used to support an architectural site study to address ADA accessibility issues. HUD Matrix Code 16B LMA - LOW/MOD AREA BENEFIT
	Target Date	8/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	200 Persons
	Location Description	513 Washington Ave., Dunkirk, NY 14048.
	Planned Activities	Funding will be used to support Masonry repairs to address public safety and an architectural study to assess ADA compliance issues.
11	Project Name	Dunkirk Public Library After School Snack Program
	Target Area	DUNKIRK
	Goals Supported	Improve Community Outreach
	Needs Addressed	Children's Education/After School Programs
	Funding	:
	Description	This project will provide after-school snacks for neighborhood students who visit the library on their walk home from school. Many of these children stay at the library for hours until dinner time and live in the neighboring census tract 357 (77% LMI). HUD Matrix Code 05W LMA - LOW/MOD AREA BENEFIT
	Target Date	8/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	30 Persons
	Location Description	536 Central Ave., Dunkirk, NY
	Planned Activities	Funds will be used to purchase snacks for the free snack racks.
12	Project Name	Demolitions

	Target Area	DUNKIRK
	Goals Supported	Improve Infrastructure/Pedestrian Infrastructure
	Needs Addressed	Infrastructure/Pedestrian Infrastructure
	Funding	:
	Description	To remove unsafe and un-repairable buildings within the City of Dunkirk. HUD Matrix Code 04 SBS - SLUM/BLIGHT SPOT BENEFIT
	Target Date	8/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	2 Buildings Demolished
	Location Description	Exact locations have not yet been selected but will fall within a CDBG designated LMI block group
	Planned Activities	This activity will conduct asbestos surveys and demolish unsafe, vacant buildings in eligible block group areas of Dunkirk
13	Project Name	Tree Planting
	Target Area	DUNKIRK
	Goals Supported	Improve Infrastructure/Pedestrian Infrastructure
	Needs Addressed	Infrastructure/Pedestrian Infrastructure
	Funding	:
	Description	City of Dunkirk will plant approximately 15 trees in LMI neighborhoods. HUD Matrix Code 03N LMA - LOW/MOD AREA BENEFIT
	Target Date	8/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	
	Location Description	Specific sites will be selected using the City of Dunkirk Tree Inventory Management Plan and will be located in low - moderate neighborhoods in the City.

	Planned Activities	Funds will be used to purchase and plant approximately 15 trees in low income / environmental justice neighborhoods in Dunkirk. Trees promote aesthetically more pleasing neighborhoods and offer many environmental and economic benefits.
14	Project Name	Wright Park Phase II
	Target Area	DUNKIRK
	Goals Supported	Enhanced Recreation Opportunities
	Needs Addressed	Recreation Opportunities
	Funding	:
	Description	This project will continue to build on the improvements of Wright Park and will include a lakeside all-inclusive playground, a Creekside nature play area, pavilion & lighting upgrades and enhanced pedestrian and bike paths. The City is excited to continue to improve recreational activities and help us reach our goal of tying the waterfront, park and area neighborhoods in with the Seaway Trail. HUD Matrix Code03F LMA - LOW/MOD AREA BENEFIT
	Target Date	8/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	1200 Persons
	Location Description	Wright Park, Dunkirk, NY
	Planned Activities	This project will continue to build on the improvements of Wright Park and will include a lakeside all inclusive playground, a Creekside nature play area, pavilion & lighting upgrades and enhanced pedestrian and bike paths. The City is excited to continue to improve recreational activities and help us reach our goal of tying the waterfront, park and area neighborhoods in with the Seaway Trail.
15	Project Name	Revolving Loan Fund 2021
	Target Area	DUNKIRK
	Goals Supported	Creative Economic Development Solutions
	Needs Addressed	Economic Development
	Funding	:

	Description	Small Business Revolving Loan Program. HUD Matrix Code 18A National Objective = LMJ
	Target Date	8/31/2021
	Estimate the number and type of families that will benefit from the proposed activities	4 Jobs Retained
	Location Description	APG Productions/Chadwick Party Rentals/Sun Daze, PO BOX 1189, Dunkirk, NY 14048 Jim's Dry Cleaners, 128 S. Jerboa Street, Dunirk, NY 14048 Ramos Tacos, 57 N. Martin Street, Dunkirk, NY 14048
	Planned Activities	Small Business Loan Program funded using existing, ongoing revolving loan funds

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

For the 2020 Program Year, CDBG assistance will be directed to those areas of the City that demonstrated the highest levels of need. These neighborhoods are concentrated near downtown and/or along the Main Street and Lake Shore Drive corridors. Programs targeted to these areas include an all-inclusive playground, housing rehabilitation, and youth mentoring/tutoring services.

Geographic Distribution

Target Area	Percentage of Funds
DUNKIRK	100

Table 8 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

Our rationale for directing assistance to these neediest areas is that it represents our best attempt to address the needs outlined in the Plan exactly where it is most needed. This aligns with the CDBG program objective of benefiting low-to-moderate incomes persons, who make up the majority of households in these neighborhoods.

Discussion

Although directing program funds to the areas of Dunkirk that demonstrate the highest levels of need will always be justifiable, we also strive to be strategic in how exactly funds are distributed geographically. For instance, because many Dunkirk residents have limited transportation options, especially low-income residents, locating a facility to provide assistance to low-income residents near where these residents live makes a lot of sense.

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

As demonstrated elsewhere in our Consolidated Plan, there is a strong need in Dunkirk for additional affordable housing options. Through the programs applied for through our CDBG process, we hope to continue tackling the issue of affordable housing.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	13
Special-Needs	0
Total	13

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	7
Acquisition of Existing Units	6
Total	13

Table 10 - One Year Goals for Affordable Housing by Support Type

Discussion

The programs that will help us reach these one year goals for affordable housing include:

- Chautauqua Housing Rehabilitation and Improvement Corp's (CHRIC) Acquisition/Rehab/Resale Program
- CHRIC'S Down Payment and Closing Cost Assistance Program
- CHRIC's Roof Repair Program

While we recognize that the number of households helped by these programs is a drop in the bucket relative to the need for affordable housing in our community, these programs will make a meaningful impact in the lives of the households assisted. We hope that they can be a catalyst for additional improvements going forward.

AP-60 Public Housing – 91.220(h)

Introduction

The Dunkirk Housing Authority (DHA) provides a valuable supply of affordable housing options in our community. In the upcoming program year, we will look for ways to support and partner with the DHA and its residents.

Actions planned during the next year to address the needs to public housing

Our consultation process in preparing this plan suggests that public housing needs are less urgent than other housing needs in the community. The DHA, while acknowledging that some ongoing issues do exist, has conveyed that public housing in Dunkirk is in stable condition. With this in mind, we feel that other more pressing housing needs should be the focus of our efforts.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

We will continue working with local organizations including the DHA, Chautauqua Opportunities, Inc. (COI), and Chautauqua Home Rehabilitation and Improvement Corps (CHRIC) to make public housing residents aware of available housing programs.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Dunkirk Housing Authority is not designated as troubled.

Discussion

The housing challenges that impact our community are deep and widely felt. The resources necessary to ameliorate the full extent of housing challenges are far greater than the resources available to our community to address these challenges. With this in mind, and considering the generally good condition of public housing in Dunkirk, we have prioritized funding for other housing programs during this program year.

We will continue, though, to seek out opportunities to engage public housing residents in our community and to connect them with resources and services that may benefit them.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

We recognize that homelessness is a real challenge for some members of our community. The data presented elsewhere in this Plan indicate that a variety individuals and families experience homelessness for a variety of reasons. There are challenges that the local Continuum of Care and other local service providers face in addressing the needs of homeless persons, including the lack of a dedicated homeless facility in northern Chautauqua County. We are aware of these challenges and will look for opportunities to assist these organizations where possible.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Given the urgency of other housing challenges and the programs applied for by local agencies, our funding for this program year does not include homeless programs. That being said, we will continue working with our local Continuum of Care to provide assistance in reaching out to homeless persons and assessing their individual needs.

Addressing the emergency shelter and transitional housing needs of homeless persons

Addressing the emergency shelter and transitional housing needs of homeless persons is an ongoing effort in Dunkirk. These needs are largely addressed by our local Continuum of Care, which is coordinated by Chautauqua Opportunities, Inc. (COI). We will work with COI to provide assistance in this effort where possible.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

One of the ways we are working towards this goal in the upcoming program year is to continue working with Southern Tier Environments for Living, Inc. (STEL) on their Dunkirk Renovation and Ownership Program, which is creating close to 50 new housing units in our community, a number of which will be targeted to homeless persons. Adding these new housing units and providing associated services will hopefully help homeless persons make the transition to permanent housing and independent living.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

We will continue working with our local Continuum of Care to find ways to help low-income individuals and families avoid becoming homeless.

Discussion

Our first strategic plan goal is to provide safe, affordable housing for Dunkirk residents. With this in mind, in future program years we will consider funding any programs aimed at assisting low-income individuals and families avoid becoming homeless.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

As stated elsewhere in this Plan, quality affordable housing is one of the most pressing needs in our community. We are committed to providing expanded opportunities for affordable housing as well as removing any potential barriers to affordable housing.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

We are currently unaware of any public policies that serve as barriers to affordable housing. However, given the importance of affordable housing to our community, we will continue to monitor for any policies that do have the effect of creating barriers to affordable housing. If such policies are discovered, we will make any necessary changes to ameliorate them.

Discussion:

We will continue to look for potential barriers to affordable housing and to remove those barriers where appropriate. This year we updated our Impediments to Fair Housing Analysis and completed our City of Dunkirk Comprehensive Plan, a great deal of which is dedicated to analyzing housing challenges in the City. In addition, in late 2017 and early 2018 we worked with a planning consultant, czb, LLC, to identify and develop strategies to deal with vacant and distressed housing. As part of this project, czb provided recommendations to the City regarding housing policies and programs.

AP-85 Other Actions – 91.220(k)

Introduction:

This section outlines other actions that we will undertake to address the needs and advance the goals set forth in this Consolidated Plan. These actions include efforts to better manage our CDBG program internally as well as actions to better coordinate our CDBG work with other community agencies.

Actions planned to address obstacles to meeting underserved needs

The largest obstacle to meeting underserved needs in our community is a lack of funding. We will diligently look for additional grant opportunities, especially those that will allow us to meet underserved needs, of which there are many in our community. For instance, we will seek out additional future funding for CHRIC's Roof Repair Program through New York State's Affordable Housing Corporation.

Actions planned to foster and maintain affordable housing

A number of the projects/programs that are proposed for funding in this program year are aimed at increasing housing accessibility or maintaining affordable housing for low- to moderate-income Dunkirk residents. These include:

- Chautauqua Housing Rehabilitation and Improvement Corp's (CHRIC) / Chautauqua Opportunities (COI) / and Chautauqua County Land Bank Acquisition/Rehab/Resale Programs
- CHRIC'S Down Payment and Closing Cost Assistance Program
- CHRIC's Roof Repair Program

Actions planned to reduce lead-based paint hazards

We will continue working with local agencies, including Chautauqua Home Rehabilitation and Improvement Corps (CHRIC) and the Chautauqua County Department of Health and Human Services, that aim to reduce lead-based paint hazards in our community. Where possible, we will also seek out grant opportunities to address lead-based paint hazards.

Actions planned to reduce the number of poverty-level families

The most effective way to reduce the number of poverty-level families is to improve the local economy. The City's Planning and Development Department works constantly to do just that by supporting local businesses, finding ways to attract new businesses, and seeking out available grant opportunities to improve our community and its economy. This work will continue going forward.

Actions planned to develop institutional structure

The City of Dunkirk will continue to look for ways to improve our institutional structure both within City Hall and with community organizations. We will seek ways to communicate better among City departments and with the organizations doing important work in the community. Among the actions currently planned are:

- Creating checklists and procedures to better follow CDBG processes
- Continuing education of the CDBG program, taking advantage of learning resources provided by HUD
- Creation of systems and internal structure to guide the City's CDBG program in the future
- Continuing information sharing between organizations and City staff to ensure that all are aware of resources available and can guide residents appropriately

Actions planned to enhance coordination between public and private housing and social service agencies

The City's Planning and Development Department has started a business roundtable series that opens a dialogue between representatives from different sectors of the local economy. This idea could be extended to include public and private housing and social service agencies, which would help enhance coordination between the agencies.

City Planning and Development staff have also started participating in a homelessness workgroup run by the local Continuum of Care and attended by most of the local social service/housing agencies. This participation will further enhance coordination between the City and these agencies.

Discussion:

We will continue to look for ways to improve our administration of the CDBG program and our efforts towards meeting the CDBG programs goals. While the CDBG program is an effective tool in and of itself, we realize that the work undertaken through CDBG occurs in the context of other work being done in our community by a variety of agencies. All of these agencies provide a valuable contribution towards the well-being of our community, especially those higher needs residents requiring additional services. We will continue to work to ensure that our CDBG program is well-coordinated with other work being done in our community.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

Introduction:

N/A

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	85.00%

N/A

Attachments

Citizen Participation Comments



HON. MAYOR WILFRED HUSAS

Summary of Citizen Participation Comments

City of Dunkirk

2019 Annual Action Plan Revision - Community Development Block Grant (CDBG) Program

Completed February 21, 2020

Process

In preparing this revision, we made a strong effort to gather wide input from the Dunkirk community and utilize the knowledge and expertise of the organizations that are actively working in our community. In accordance with our Citizen Participation Plan, we made the proposed revision publically available and hosted a public meeting that was advertised in the Legal Notices of the Observer newspaper, in the Community Notebook section also in the Observer newspaper, on the City website and through eblasts of the community calendar from the Clerk of Court office. In addition, we emailed all subrecipients notice of the change and meeting.

A draft version of this revision was made available to the public for a thirty-day comment period on January 8, 2020, and a public hearing was held on February 3, 2020. Six people attended this public hearing, one attendee suggested that the City also consider adding cigarette butt containers and sunshades at the Pier. Another attendee suggested adding public art trash receptacles. No other comments specific to the Annual Action Plan were received before the comment period closed after February 15, 2020.

The revised draft of the plan was then put before Dunkirk Common Council to vote on during their February 18, 2020 meeting. The resolution to approve the reallocation passed unanimously.

Comment not Accepted

There were no comments received that were not accepted



HON. MAYOR WILFRED HUSAS

**Summary of Citizen Participation Comments
City of Dunkirk**

2019 Annual Action Plan Revision - Community Development Block Grant (CDBG) Program

Completed February 21, 2020

Process

In preparing this revision, we made a strong effort to gather wide input from the Dunkirk community and utilize the knowledge and expertise of the organizations that are actively working in our community. In accordance with our Citizen Participation Plan, we made the proposed revision publically available and hosted a public meeting that was advertised in the Legal Notices of the Observer newspaper, in the Community Notebook section also in the Observer newspaper, on the City website and through eblasts of the community calendar from the Clerk of Court office. In addition, we emailed all subrecipients notice of the change and meeting.

A draft version of this revision was made available to the public for a thirty-day comment period on January 8, 2020, and a public hearing was held on February 3, 2020. Six people attended this public hearing, one attendee suggested that the City also consider adding cigarette butt containers and sunshades at the Pier. Another attendee suggested adding public art trash receptacles. No other comments specific to the Annual Action Plan were received before the comment period closed after February 15, 2020.

The revised draft of the plan was then put before Dunkirk Common Council to vote on during their February 18, 2020 meeting. The resolution to approve the reallocation passed unanimously.

Comment not Accepted

There were no comments received that were not accepted



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**City of Dunkirk
2020 Community Development Block Grant
Back to Business Grant Guidelines**

- **This grant program is a first-come, first-served basis.**
- Eligible businesses include for-profit corporations, partnerships, sole proprietorships (including independent contractors), LLCs, and Joint Ventures
- Business must be in Good Standing with the Secretary of State's Office; If not incorporated a business/professional license, sales tax permit, utility bill or bank statement in the name of the business is required
- Business and owners must NOT be delinquent on any state or local taxes
- Applications will only be considered when a complete application is submitted with all required attachments.
 - Required attachments:
 - Driver's License of authorized business representative,
 - completed and signed W9,
 - Certificate of Good Standing from Secretary of State (dated within last 30 days),
 - Most current Federal Business Tax Returns for the past year,
 - Current Year to Date financials,
 - Itemized list of expenses you intend to pay with the grant funds
- Grant amounts will be based on the annual revenue of a business:
 - \$0 - \$25,000 = up to a \$2,500 grant
 - \$25,001 - \$50,000 = up to a \$4,500 grant
 - \$50,001 - \$100,000 = up to a \$6,500 grant
 - \$100,001 - \$300,000 = up to a \$8,500 grant
 - \$300,001 - \$500,000 = up to a \$10,000 grant
- Grant amounts could be less depending upon number of applications and available funding
- Maximum grant amount is \$10,000
- Eligible expenditures must be made between March 1, 2020 – December 30, 2020



City of Dunkirk
2020 Community Development Block Grant
Back to Business Grant Guidelines

- Businesses will be required to verify funds were used for eligible expenditures and shall be required to repay all or a portion of the grant if business is found not in compliance with grant requirements
- Businesses that have previously been awarded assistance through other programs or SBA programs are **not** prohibited from applying for the Ready for Business Grant Program
- At least 15% of the recipients will be women and minority owned businesses as defined by New York Statute.
- Business must remain in business for a minimum of 6 months after receiving a grant award.
- Only 1 grant per applicant
- Applicant commits to reporting on status of business at 3 months and at 6 months after receiving grant award

February 2020

Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3 4:30 pm CDBG Public Hearing	4 5 pm CC Workshop 5:30 pm CC Mtg	5	6 9-11 am: Drug Court	7	8
9	10	11	12 6:30 pm CAC Mtg - Conf Rm	13 9-11 am: Drug Court	14	15
14	17 - Presidents' Day - City Hall Closed	18 5 pm CC Workshop 5:30 pm CC Mtg	19 3pm: Public Safety Comm Mtg - Conf Rm 3pm: Electrical Bd Mtg - Bldg & Zoning Office 6pm: Harbor Commu- nity Mtg - Dh Senior Center	20 9-11 am: Drug Court	21	22
23	24	25 5 pm Cable Board Mtg - Robin St. Studio	26 4pm DPW Comm Mtg -Conf Rm	27 9-11 am: Drug Court	28	29 2-3-2020 v.l

2019 CDBG Annual Action Plan Proposed Revision Public Hearing:

Monday, February 3, 2020 at 4:30pm in the Mayor's Conference Room at City Hall

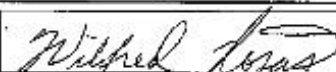
Name	Address	Affiliation
FERRO BEACH	11 LAKEFRONT BND.	CHRIE
MARY REES	433 Dove St.	Revitalize DE.
STEVE REES	433 Dove St.	Revitalize DE.
MAYOR WILLIE KOSAS	CITY HALL	C/OK.
CITY ATTORNEY RICH MANSORE	CITY HALL	C/OK.
DEP. DIRECTOR ED LAYNES	CITY HALL	C/OK.

Grantee SF-424's and Certification(s)

OMB Number: 4010-0004
Expiration Date: 12/31/2022

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision
* If revision, submit appropriate title(s): <input type="text"/>		
* Other (Specify): <input type="text"/>		
* 3. Date Received: <input type="text" value="06/21/2021"/>		4. Applicant Identifier: <input type="text"/>
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: <input type="text"/>
State Use Only:		
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text" value="City of Buffalo"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="16-6002540"/>		* c. Organizational DUNS: <input type="text" value="0941763100000"/>
d. Address:		
* Street: <input type="text" value="302 Central Ave"/>		
Street: <input type="text"/>		
* City: <input type="text" value="Buffalo"/>		
County/Parish: <input type="text"/>		
* State: <input type="text" value="NY: New York"/>		
Province: <input type="text"/>		
* Country: <input type="text" value="USA: UNITED STATES"/>		
* Zip / Postal Code: <input type="text" value="14206"/>		
e. Organizational Unit:		
Department Name: <input type="text" value="Planning and Development"/>		Division Name: <input type="text"/>
f. Name and contact information of person to be contacted on matters involving this application:		
First: <input type="text"/>		* First Name: <input type="text" value="Jill"/>
Middle Name: <input type="text"/>		
* Last Name: <input type="text" value="Baker"/>		
Suffix: <input type="text"/>		
Title: <input type="text" value="CIBS Administrator"/>		
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="716-365-9878"/>		Fax Number: <input type="text"/>
* Email: <input type="text" value="jacobcityyoddantire.com"/>		

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="Housing & Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text"/>	
CFDA Title: <input type="text"/>	
* 12. Funding Opportunity Number: <input type="text"/>	
* Title: <input type="text" value="2019 AAP Enrolling C2 and C23 funds"/>	
13. Competition Identification Number: <input type="text"/>	
Title: <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="City of Dunkirk, NY CDBG Entitlement Community 2019 Annual Action Plan revision to include CDBG-CV and CDBG-CV3 funds"/>	
Attach supporting documents as specified in agency instructions. <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="27"/>	* b. Program/Project: <input type="text" value="CDEG"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="04/21/2021"/>	* b. End Date: <input type="text" value="12/31/2022"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="858,710.00"/>
* c. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="858,710.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach <input type="text"/> <div style="text-align: right;"> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </div>	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001) <input checked="" type="checkbox"/> ** I AGREE <small>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</small>	
Authorized Representative:	
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Wilfred"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Jones"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Mayor of the City of Dunbar"/>	
* Telephone Number: <input type="text" value="715-366-8092"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="wccomas@cityofdunbar.com"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="04/21/2021"/>

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4340-0000
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0343-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify in additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their position for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4733) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 600, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4601 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-362) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1891-1893, and 1681-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290d-3 and 290d-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§8901 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

Previous Edition Usable

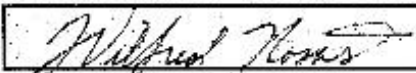
Authorized for Local Reproduction

Standard Form 424D (Rev. 7-87)
Prescribed by GSA Chapter A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276e-7), the Copeland Act (40 U.S.C. §275c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190); and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11728; (c) protection of wetlands pursuant to EO 11890; (d) evaluation of flood hazards in floodplains in accordance with EO 11885; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of

Federal actions to State (Clean Air) implementation Plans under Section 175(c) of the Clean Air Act of 1965, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in securing compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (Identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§165a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1986 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(c) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Buckle	03/11/2021

SF-424D (Rev. 7-97) Back

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-T.J.T., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

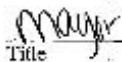
Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701a) and implementing regulations at 24 CFR Part 135.


Signature of Authorized Official

3/11/21
Date


Title

Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. **Overall Benefit.** The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2020 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

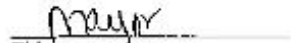
Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, I, K and R.

Compliance with Laws -- It will comply with applicable laws.


Signature of Authorized Official

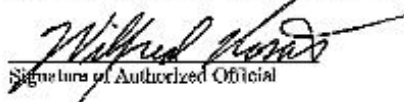
3/4/21
Date


Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):


The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.


Signature of Authorized Official

3/11/21
Date

Mayor
Title

Application for Federal Assistance SF-424	
* 9. Type of Applicant 1: Select Applicant Type: <input type="text" value="City or Township Government"/>	
Type of Applicant 2: Select Applicant Type: <input type="text"/>	
Type of Applicant 3: Select Applicant Type: <input type="text"/>	
* Other (specify): <input type="text"/>	
* 10. Name of Federal Agency: <input type="text" value="Housing & Urban Development"/>	
11. Catalog of Federal Domestic Assistance Number: <input type="text"/> <small>Optional:</small> <input type="text"/>	
* 12. Funding Opportunity Number: <input type="text"/> * Title: <input type="text" value="2019 ADP Including CDBG-CV"/>	
13. Competition Identification Number: <input type="text"/> <small>Use:</small> <input type="text"/>	
14. Areas Affected by Project (Cities, Counties, States, etc.): <input type="text"/> <div> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </div>	
* 15. Descriptive Title of Applicant's Project: <input type="text" value="City of Duplin, NC CDBG Entitlement Community 2019 Annual Action Plan Including CDBG-CV"/>	
<small>Attach supporting documents as specified in agency instructions.</small> <div> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: 27	* b. Program/Project: CDBG
Attach one additional list of Program/Project Congressional Districts if needed.	
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposal Project:	
* a. Start Date: 04/13/2020	* b. End Date: 05/31/2021
18. Estimated Funding (\$):	
* a. Federal:	273,622.00
* b. Applicant:	0.00
* c. State:	0.00
* d. Local:	0.00
* e. Other:	0.00
* f. Program Income:	0.00
* g. TOTAL:	273,622.00
19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on:	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach:	
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. By signing this application, I certify (1) to the statements contained in the list of certifications* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)	
<input checked="" type="checkbox"/> I AGREE	
* The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement of agency specifications.	
Authorized Representative:	
First Name:	Mr. William
Middle Name:	
Last Name:	Boone
Suffix:	
Title:	Mayor of the City of Dunkirk
Telephone Number:	716-266-5881
Fax Number:	
E-mail:	wboone@cityofdunkirk.com
Signature of Authorized Representative:	
Date Signed:	06/02/2020

ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4140-0048
Expiration Date: 02/20/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of this applicant, I certify that the applicant:

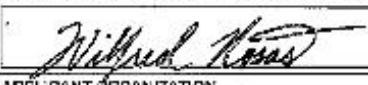
1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to this assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4728) relating to prescribed standards of merit systems for programs funded under one of the 12 statutes or regulations specified in Appendix A of OPW's Standards for a Merit System of Personnel Administration (5 C.F.R. 920, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4811 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-362) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1688), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicap; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-618), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 dd-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 4247 (Rev. 7-97)
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7326) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276b and 16 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which require recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11650; (d) evaluation of food hazards in floodplains in accordance with EO 11608; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1631 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1966, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-203).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11638 (identification and protection of historic properties), and the Archeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1986 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Bunkirk	06/02/2020

SF-424D (Rev. 7-97) Back

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing --The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.


Anti-Lobbying --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.


Signature of Authorized Official


Date


Title

Specific Community Development Block Grant Certifications

The Beneficiary Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. Overall Benefit. The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2020 (a period specified by the grantee of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

Compliance with Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.


Signature of Authorized Official

6/2/20
Date

Mayor
Title

OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

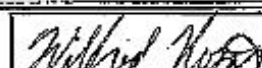

Signature of Authorized Official

4/2/20
Date

Mayor
Title

Application for Federal Assistance SF-424		
<p>* 1. Type of Submission:</p> <p><input type="checkbox"/> Pre-application</p> <p><input checked="" type="checkbox"/> Application</p> <p><input type="checkbox"/> Changed/Corrected Application</p>		
<p>* 2. Type of Application:</p> <p><input checked="" type="checkbox"/> New</p> <p><input type="checkbox"/> Confirmation</p> <p><input type="checkbox"/> Revision</p>		
<p>* If Revision, select why rep/No letter #/:</p> <p><input type="text"/></p> <p>* Other (Specify):</p> <p><input type="text"/></p>		
<p>* 3. Date Received: <input type="text"/></p> <p>c. Applicant Identifier: <input type="text"/></p>		
<p>Sa. Federal Entity Identifier: <input type="text"/></p>		<p>Sa. Federal Award Identifier: <input type="text"/></p>
<p>Block Use Only:</p>		
<p>6. Date Received by Block: <input type="text"/></p>		<p>7. State Application Identifier: <input type="text"/></p>
<p>B. APPLICANT INFORMATION:</p>		
<p>* a. Legal Name: <input type="text"/> City of Danbury</p>		
<p>* b. DUNS/Unique Identifier Number (EIN/ITIN):</p> <p>15-6002510</p>		<p>* c. Organization EIN/ITIN:</p> <p>0941753100000</p>
<p>d. Address:</p>		
<p>* Street: <input type="text"/> 542 Central Ave</p>		
<p>* Street: <input type="text"/></p>		
<p>* City: <input type="text"/> Danbury</p>		
<p>County/Parish: <input type="text"/></p>		
<p>* State: <input type="text"/> NY New York</p>		
<p>Province: <input type="text"/></p>		
<p>* Country: <input type="text"/> USA: UNITED STATES</p>		
<p>* Zip/Postal Code: <input type="text"/> 14048</p>		
<p>e. Organizational Unit:</p>		
<p>Department Name: <input type="text"/> Planning & Development</p>		<p>Director Name: <input type="text"/></p>
<p>f. Name and contact information of person to be contacted on matters involving this application:</p>		
<p>Print: <input type="text"/> He. <input type="text"/> * First Name: <input type="text"/> JILL</p>		
<p>Middle Name: <input type="text"/></p>		
<p>* Last Name: <input type="text"/> Morris</p>		
<p>Office: <input type="text"/></p>		
<p>Title: <input type="text"/> COBO Administrator</p>		
<p>Corporate/Email Address: <input type="text"/></p>		
<p>* Telephone Number: <input type="text"/> 315-366-9878 Fax Number: <input type="text"/></p>		
<p>* E-mail: <input type="text"/> jmorris@cityofdanbury.com</p>		

Application for Federal Assistance SF-424		
* 8. Type of Applicant 1: Select Applicant Type:		
<input checked="" type="checkbox"/> City or Township Government		
Type of Applicant 2: Select Applicant Type:		
<input type="checkbox"/>		
Type of Applicant 3: Select Applicant Type:		
<input type="checkbox"/>		
* Other (specify):		
<input type="text"/>		
* 10. Name of Federal Agency:		
Housing & Urban Development		
11. Catalog of Federal Domestic Assistance Number:		
<input type="text"/>		
CFDA Title:		
<input type="text"/>		
* 12. Funding Opportunity Number:		
<input type="text"/>		
* Title:		
2013 Annual Action Plan		
13. Competition Identification Number:		
<input type="text"/>		
Title:		
<input type="text"/>		
14. Areas Affected by Project (Cities, Counties, States, etc.):		
<input type="text"/>		
* 16. Descriptive Title of Applicant's Project:		
City of Dunkirk, NY CDBG Entitlement Community 2013 Annual Action Plan		
Attach supporting documents as specified in agency instructions.		
<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>		

Application for Federal Assistance SF-424	
16. Congressional Districts Cd:	
* a. Applicant: 27	* b. Program/Project: 2000
Attach an additional list of Program/Project Components of Districts Funded: <div style="border: 1px solid black; height: 20px; width: 100%;"></div>	
17. Proposed Project:	
* a. Start Date: 08/01/2019	* b. End Date: 06/30/2020
18. Estimated Funding (\$):	
* a. Federal:	\$74,032.00
* b. Applicant:	
* c. State:	
* d. Local:	
* e. Other:	
* f. Program Income:	
* g. TOTAL:	\$74,032.00
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach: <div style="border: 1px solid black; height: 20px; width: 100%;"></div>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances* and agree to comply with any resulting terms. If accepted, award, I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001) <input checked="" type="checkbox"/> * I AGREE * The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Title: Mayor of the City of Denikish	* First Name: Wilfred
* Last Name: Boson	
* Phone: 	
* Telephone Number: 216-366-8881 Fax Number: 	
* Email: wbozon@cityofdenikish.com	
* Signature of Authorized Representative: 	* Date Signed: 7/19/19

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing — The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan — It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

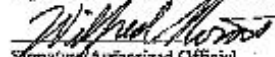
Anti-Lobbying — To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction — The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan — The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 — It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official

Date

7/09/2019

Specific CDBG Certifications

The Rebuilding Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. *ML* **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;

2. *ML* **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) *2013-2014* (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;

3. *ML* **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws — The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint — Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws — It will comply with applicable laws.

Michael Harris 7/09/2019
Signature of Authorized Official Date

MAYOR
Title