## **Executive Summary**

## AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

## 1. Introduction

The City of Dunkirk is designated as an entitlement community based on its population. The CDBG entitlement program allocates annual grants to assist in the development of viable communities by providing decent housing, a suitable living environment, and to expand economic opportunities, principally for low and moderate income persons. To receive an annual allocation of federal funds from HUD, the City is required to create a five-year Consolidated Plan (strategic plan) with a one-year Action Plan. Subsequently, the five-year Plan will be updated with Annual Action Plans for years two through five.

The Consolidated Plan includes the amount of assistance the City expects to receive and the range of activities that may be undertaken including the estimated benefit to persons of low and moderate-income. The Annual Action Plans are required to include project or program specifics, such as, location, cost, proposed outcome, and any additional descriptive information. Primarily, federal regulations require that funds be aimed where the greatest benefit may be attained for low and moderate income residents. To acquire ample public engagement in all aspects and phases of Plan development, the City of Dunkirk will interact with various stakeholders in meetings, workshops, public hearings, and with written correspondence. Prior to the adoption of the Consolidated Plan/Action Plan, a 30 calendar-day public comment period will be observed. All comments will be recorded and considered in the formulation of the Plan. In addition, all comments and responses will be included in the appendix of the Plan and will be forwarded to HUD upon adoption.

## 2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Although the City has many needs, this plan identifies and prioritizes the following critical components to the City's development strategy:

1: Infrastructure Improvements - In order to maintain a safe and healthy environment, the City needs to improve and to modernize its infrastructure, including roadways, streetscapes, and water lines. Approximately 800 persons will benefit from these activities.

## 3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City of Dunkirk achieved the following accomplishments in 2012:

<u>Meals on Wheels</u>: During the four quarters of 2012, a total of 17 low/moderate-income elderly persons received a total of 1138 meals. 668 hot noon meals, 324 cold evening meals, and 146 frozen weekend meals were delivered. Of the persons received meals 3 were Male, 14 were Female, 6 were Hispanic, and 13 were Female Head of Household.

<u>Club Tech</u>: Boys & Girls Club of Northern Chautauqua County was awarded \$8,750 in 2012 to operate its Club Tech Program. A total of 153 youth ages 6-18 participated in technology-training curriculum over 24 30-minute lessons offered over 4-6 week sessions. Of those 156 there were: 82 Extremely Low Income; 32 Low Income; 17 Moderate Income; and 22 Non-Low/Mod Income. 40 of the youth were Hispanic and 6 were Black/African American.

<u>Code Enforcement</u>: The Building and Zoning Department was awarded \$20,000 in 2012 to ensure building safety through the enforcement of municipal, state and federal regulations and codes. Wendy Spinuzza is the Code Enforcement Officer who issues citations for buildings that violate these regulations and codes. During 2012, the Code Enforcement Officer, cited 2,000 buildings for housing code violations. Written letters were sent to building owners that were cited for violations. Those owners who did not correct the violations were sent to City Court. Four houses that were cited for paint violations received assistance through the City of Dunkirk's Paint Incentive Program to correct their violations.

## 4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The City believes in continual communication with its local nonprofits, community leaders, and citizens. To guide this communication, the City of Dunkirk adopted a Citizen Participation Plan (CPP) which was prepared in accordance with Section 104(a)(3) of the Housing and Community Development Act of 1974, as amended, and federal regulation 24 CFR 91.105.

The City held two Public Hearings during the drafting of its 2014 Annual Action Plan on November 7 & 12, 2013. The comments from both meetings can be found below. The City also held two Technical Assistance meetings for potential CDBG subrecipients on November 18 & 21, 2013. The City held a Public Hearing to review the Draft 2014 Annual Action Plan and accept comments on May 2, 2014 at 12:00PM in City Hall.

## 5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

The City of Dunkirk received multiple comments during the public hearings and public comment period for the 2014 Annual Action Plan.

During the first public hearing, Cindy Tuning, member of Washington PARC, asked if monies could be used to beautify Washington Park, which could include planting flowers and replacing trees that were cut down.

Tom Bautista, member of Washington PARC, suggested lighting and security cameras at Washington Park. Mr. Bautista asked if the City would be using some of these funds to tear down vacant properties that have been sitting for years and are falling apart.

Carol Kozlowski brought up the vacant American Cleaners property on Main Street which is boarded up and looks uninviting. She asked if anything could be done to make it look nicer.

During the second public hearing, Judi Lutz Woods, from Literacy Volunteers, suggested that in order to prepare impoverished persons for things like employment training, they first need to receive basic education in English and math. She asked the City to consider providing another grant to the Literacy Volunteers to continue this type of work.

Josiah Lamp, Chautauqua Opportunities Deputy Director of Housing and Community Development, wanted to encourage the City to use the funds for housing rehabilitation.

Ivan Hernandez, Dunkirk Community Boxing Club, explained that his group works with approximately 30 youth in the City of Dunkirk to provide alternative recreation activities during after school hours. DCBC wishes to request a grant for their membership fee, insurance, and equipment for their gym.

Joshua Friefeld, Chautauqua Home Rehabilitation Improvement Corporation (CHRIC) Executive Director, wants to see the City focus on Direct Homeownership Assistance and Housing Counseling.

During the third public hearing, Steve Rees, resident, suggested the City look into fixing the Plover Street sidewalk particularly between 5th and 6th Streets because many children use those sidewalks to walk to school and they are unsafe.

Mary Rees, resident, asked if the City would be able to purchase the empty Masonic Building lot and turn it into a park or greenspace.

The City also observed a 30-day public comment period. The CDBG Administrator received one written comment. Dru Pasierb, resident, emailed the CDBG Administrator to show support and appreciation for funding being allocated towards the development of Pangolin Park.

## 6. Summary of comments or views not accepted and the reasons for not accepting them

During the first public hearing on November 7, 2013, Carol Kozlowski, asked if the City could use CDBG funds to rehabilitate the American Cleaners property located on Main Street. The environmental review and cleanup that would be required for a site with this type of prior use would be very costly and indepth. This project would only be feasible if there was a planned use for the building prior to beginning the environmental remediation process. At this time, the building does not have a planned use that is in line with CDBG's requirements.

During the second public hearing on November 12, 2013, Judi Lutz Woods commented that the City should provide additional funding for her organization, Literacy Volunteers, to provide basic education services that will prepare people for additional services, such as employment training. However, since this meeting Literacy Volunteers has forfeited their previous CDBG award due to a lack of organizational capacity to administer the program.

Ivan Hernandez, from Dunkirk Community Boxing Club (DCBC), expressed an interest in his organization receiving funds for their afterschool recreational program. The group did submit an application to the City, however, the DCBC is not legally incorporated and did not have the organizational capacity necessary and required to receive CDBG funds from the City.

During the third public hearing held on May 2, 2014, Mary Rees asked if the City could purchase the vacant Masonic Building lot on Central Avenue and turn it into a greenspace. Although the idea is sound, this property was recently purchased by a private developer who has expressed interest in developing the property with retail, office space, and/or apartments.

## 7. Summary

The City of Dunkirk strives to recognize the issues currently facing the people of the City of Dunkirk, and address these issues to the best of its ability. CDBG funds, in conjunction with other resources, give the

City of Dunkirk the opportunity to better help improve the lives of people in the Dunkirk area. In 2014, we will look to undertake major housing rehabilitation projects, and projects involving infrastructure development / redevelopment within the City, with the stated goal creating happier, healthier communities and environments throughout the City of Dunkirk.

The City will also be undertaking heavily researched plans, including this PY2014 Annual Action Plan, to provide a clearer picture of its community as a whole. Citizen participation and community input has been, and will continue to be, critical for developing targeted strategies to shape the City's vision and to ensure that federal resources provide the maximum benefit to those citizens in need.

# PR-05 Lead & Responsible Agencies -- 91.200(b)

# I. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	DUNKIRK	
CDBG Administrator	Der	Department of Planning & Development
HOPWA Administrator		
HOME Administrator		embelosky – na kojekycka – nysytelenia kycycych pierwszenia miestropowa za kycych sako na popowa kycych na – n
HOPWA-C Administrator		

Table 1 – Responsible Agencies

## Narrative (optional)

The City of Dunkirk is designated as an entitlement community by the U.S. Department of Housing and Urban Development (HUD) and is the lead agency for overseeing the City's Community Development Block Grant (CDBG). The City of Dunkirk Department of Development is committed to improving the health of the economy and community of the City of Dunkirk. The City of Dunkirk Department of Development believes the City of Dunkirk has a golden opportunity to improve its economic and socioeconomic status by improving housing stock around the city, and improving infrastructure around the city.

# Consolidated Plan Public Contact Information

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Steve Neratko, Director of Planning & Development 366-9876 sneratko@cityofdunkirk.com

## AP-10 Consultation - 91.100, 91.200(b), 91.215(l)

### 1. Introduction

The City of Dunkirk recognizes the importance of coordination and consultation with institutions within the community. As a result, in formulating this plan, the City of Dunkirk made all attempts to work with all organizations through public meetings and hearings, as well as working with organizations at their best convenience.

The City is required by the U.S. Department of Housing and Urban Development (HUD) to create its own Five-Year Consolidated Plan and Annual Action Plan in order to be considered for entitlement grant funding. Last year the City of Dunkirk completed its 2013-2017 Consolidated Plan, which clarifies and defines the City's future objectives and illustrates how those objectives align with CDBG program objectives. This Annual Action Plan utilizes the priorities outlined in the Consolidated Plan and specifies the intended uses of CDBG funding for Dunkirk's second program year (2014).

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

The City of Dunkirk strives to provide fair and accurate copies of its plans at every stage of their development. As such, the City provided public notices about public hearings via local media sources including radio, print, and word of mouth sources. The City also worked with, and made all attempts to work with housing providers such as Chautauqua Opportunities, the Dunkirk Housing Authority, Aspire of Western New York, as well as other agencies involved in healthcare and housing in some form.

The City of Dunkirk does not currently manage any public housing. Public housing within the City's jurisdiction is managed by the Dunkirk Housing Authority (DHA). The DHA currently maintains 207 housing units. City staff also maintain close relationships with local Community Housing Development Organizations (CHDO), including Chautauqua Opportunities, Inc. (COI).

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Homelessness and chronic homelessness are issues individuals face throughout the United States. Residents of Dunkirk are unfortunately no exception to this rule. While efforts are ongoing to improve data collection on the exact amount of homelessness and chronic homelessness exists within the City, a

support network has been established for those experiencing homelessness, chronic homelessness, or risk of becoming homeless. Chautauqua Opportunities, Inc. (COI) is the City's Continuum of Care provider.

Chautauqua Lake Central School District, which is within the CoC geography, has a McKinney-Vento funding award from the NYS education Department to provide educational services to homeless children. The McKinney-Vento Liaisons in all of the county's 18 school districts have been contacted and asked to join the CoC or be provided with information about homeless services. Chautauqua County has a NY State licensed runaway and homeless youth shelter that is funded by the U.S. Department of Health and Human Services and operated by the CoC lead agency.

Providers regularly refer families to other providers when their family composition does not allow them to be accommodated in their facility. The CoC has also held a McKinney-Vento training in coordination with local school districts to improve coordination of services with school districts, and whenever possible, keep children in their school of origin.

If housing is not available for a specific family type, the local Department of Social Services will utilize a local hotel to keep the family together while other housing options are explored.

The Veteran's Administration's regional representative is a member of the CoC decision-making body.

The lead agency also coordinates with VASH as the Section 8 Housing Choice Voucher administrator for the county, and was recently awarded a Supportive Services for Veteran Families grant from the U.S. Department of Veteran Affairs to prevent homelessness among veteran families. The CoC participated in the Veteran's Administration needs assessment. All HUD-funded agencies in the CoC serve veterans as an integrated service.

The CoC lead agency operates a NYS licensed Runaway and Homeless Youth Shelter that is funded with DHHS Basic Center funding, as well as a DHHS funded Street Outreach Program that makes contact with over 7,500 youth in the county annually, and a Transitional Living Program for youth ages 16-21 (DHHS funding). These programs frequently collaborate with other providers that serve the youth population such as Boys and Girls Clubs, YMCA's and YWCA's, church teen groups, municipal recreation programs, and other non profit organizations. The lead organization and other youth serving providers will participate in strategic planning meetings to ensure that the needs of homeless youth are addressed in future plans.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

Annual Action Plan 2014

Sort Order	Sort Order   Mode of Outreach   Target of Out	Target of Outreach	Summary of	Summary of	Summary of comments	URL (If
		•	response/attendance	comments received	not accepted	applicable)
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			CDBG subrecipients			
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4	Public Meeting	Subrecipients	Meeting to review	received.	nopo were denied	
			the 2014 CDBG		ווסוכ אכוב מכוובת:	
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			questions.			
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<u>^</u>	Public Meeting	Subrecipients	Meeting to review	received.	received therefore	
			the 2014 CDBG		nolle welle nellieu.	
			Application and ask			
			questions.			

Sort Order	Mode of Outreach   Target of Outreach	Target of Outreach	Summary of	Summary of	Summary of comments	URL (If
-		ı	response/attendance	comments received	not accepted	applicable)
				A. P.	and reasons	
			The City published a			
			press release to			
			inform the			
. –			community of where	Dru Pasierb,		
			it could review the	racident emailed		
	1		DRAFT 2014 Annual	the CDBG		
			Action Plan and ask	Administrator to		
		Non-	that any comments,	show support and	All comments received	
9	Newspaper Ad	targeted/broad	questions, or	annreciation for	Were acrented	
		community	suggestions be	appreciation for		
			forwarded to the	allocated towards		
			City's CDBG	the development of		
			Administrator prior	the development of		
			to May 17, 2014. The	rangomi rain.		
			CDBG Administrator			
			received one		<u>u</u>	
			comment.			

Table 4 -- Citizen Participation Outreach

## **Expected Resources**

# AP-15 Expected Resources – 91.220(c) (1, 2)

## Introduction

the Consolidated Plan term, the recipient of CDBG funds must prepare a one-year Action Plan. The Action Plan outlines the activities that will be describes the needs of a community and how those needs will be met using CDBG funds as well as other federal and local funding. Each year of requires recipients of Community Development Block Grant (CDBG) funds to prepare a five-year Consolidated Plan. The Consolidated Plan To ensure federal funds are spent effectively throughout the community, the U.S. Department of Housing and Urban Development (HUD) funded using CDBG funds and other federal and local funding sources.

The City will be repaying \$450,000.00 to its HUD line of credit in 2014. These funds will be used for Street Reconstruction activities.

## **Priority Table**

Narrative Description					
Expected	Amount	Available	Reminder	of ConPlan	ş
ar 1	Total:	<b>₹</b> \$}			
xpected Amount Available Year 1	Program Prior Year	Resources:	⋄		
cted Amoun	Program	Income:	ψ,		•
Expe	Annual	Allocation:	ψ,		
Uses of Funds					
Source	oę	Funds			
Program					

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Source	Uses of Funds	Expe	cted Amoun	Expected Amount Available Year 1	ear 1	Expected	Narrative Description
o		Annual	Program	Prior Year	Total:	Amount	
Funds		Allocation:	Income:	Resources:	₩	Available	
		÷ኍ	ጭ	ፉ		Reminder	
						of ConPlan \$	
public -	Acquisition						All funds will be used for housing
federal	Admin and						rehabilitation, infrastructure improvements
	Planning						in low-income census tracts, and providing
	Economic						services to low-income individuals and
	Development						families. All expected funds in the Action
	Housing						Plan will come from CDBG funding. City will
	Public						provide funding to help with the
	Improvements						infrastructure projects.
	Public Services	472,735	0	1,186,340	1,659,075	1,200,000	
public -	Admin and	- III					The City of Dunkirk was awarded a NYS
state	Planning						Main Street Grant for commercial and
	Economic						residential facade improvements and
	Development						interior renovations along the Central
	Housing						Avenue corridor between Route 5 and Third
	Public						Street. This grant also includes funds for
	Improvements						streetscape enhancements. This grant will
							be leveraged with CDBG funds to
							rehabilitate the Coburn Block buildings that
							house 19 upper floor low-income residential
		250,000	0	0	250,000	0	apartments.

Housing Trust Fund

Program

CDBG

Program	Source	Uses of Funds	Expe	cted Amour	pected Amount Available Year 1	3ar 1	Expected	Narrative Description
6	φ.		Annual	Program	Prior Year	.0	Amount	
	Funds		Allocation:	Income:	Resources:	\$	Available	
			ጥ	ጭ	ጭ		Reminder of ConPlan	
Other	nublic -	Admin and					<b>-</b>	The City of Dunkirk received a \$330,000
5	ctate	Planning						Brownfield Opportunity Area (BOA) Step 2
		Economic						grant from the New York State Department
		Development						of Environmental Conservation. A portion of
		Public						this grant will be leveraged with CDBG
		Improvements						Planning & Administration funds to
		•						complete a City of Dunkirk Comprehensive
	,		330,000	0	0	330,000	0	Plan.
Other	public -	Admin and						The Chautauqua County Department of
	state	Planning						Planning & Development received a \$65,000
		Public						Department of State grant to prepare a
		Improvements						Northern Chautauqua County Local
		•						Waterfront Revitalization Plan (LWRP) which
								will include the City of Dunkirk and will
								provide a regional plan for the revitalization
								and protection of Lake Erie's waterfront
								within Chautauqua County that utilizes and
								enhances the region's unique natural and
								community assets while advancing
								economic development. The City will
								leverage \$2,000 from its CDBG
								Administration & Planning funds for its
								required match to cover the City of
			000'59	0	0	65,000	0	Dunkirk's portion of the LWRP.

Table 5 - Expected Resources -- Priority Table
Annual Action Plan
2014

# Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City will use the \$1,659,074.00 from the United States Department of Housing & Urban Development's Community Development Block Grant Program to address the needs listed in the Action Plan.

provides services such as a food kitchen and garment gallery to the low-income residents of Dunkirk. The upper floors of these buildings are low-The City received a \$250,000 NYS Main Street Grant which will be used to rehabilitate properties along the 100 & 200 blocks of Central Avenue. funds to provide an all encompassing rehabilitation of an important public service agency's building. This will satisfy 66% of the City's required income apartments. The City will be repairing the windows of these apartments. The CDBG funds will be leveraged with the NYS Main Street One set of buildings that will be rehabilitated is the Coburn Block. This set of buildings belongs to Chautauqua County Rural Ministries which 75% match.

revitalization and preservation of Lake Erie's waterfront within Chautauqua County that utilizes and enhances the region's unique natural and Chautauqua Local Waterfront Revitalization Plan (LWRP), which will include the City of Dunkirk. The LWRP will provide a regional plan for the community assets while advancing economic development. The City will leverage \$2,000 from its CDBG funds allocated towards Planning & The Chautauqua County Department of Planning & Development received a \$65,000 Department of State Grant to prepare a Northern Administration to satisfy 100% of its required match for the City's portion of this plan. Many of the non-profits applying for CDBG funding receive funding from other areas to make their programs work. Dunkirk-Fredonia Meals on Local grants for the operation of its Community Development programs. The City will continue to partner with local organizations, non-profits, Wheels receives State and County funds to help administer its program. Chautauqua Opportunities, Inc. receives various Federal, State, and and businesses to administer its CDBG programs. The City of Dunkirk will use NYS Consolidated Local Street and Highway Improvement Program (CHIP) funds in conjunction with CDBG funding to help defray the cost of the infrastructure projects within the City. The Chautauqua County Land Bank received a grant from the NYS Housing approximately 20 properties within the City of Dunkirk. These funds combined with CDBG funds allocated for demolition will help to make a Irust Fund for the demolition of vacant properties across Chautauqua County. The Land Bank will be using some of these funds to demolish

# If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The only publically owned land or property to be used will be two public parks: Washington Park and Pangolin Park, the streets, sidewalks, and street right of ways within the City's qualified census tracts.

## Discussion

Beyond the funded programs and projects listed above, the City is also home to a wide variety of other ongoing projects. The following is a list of these projects:

- DEC Arbor Day grant Funded by the New York State Department of Environmental Conservation, this grant is for planting trees on Central Ave between Route 5 and Third Street.
- Lake Front Blvd / Seawall Replacement Funded by the City's general fund, NYS Parks & Recreation, and Empire State Development, this amenities such as benches, showers, skating rink, splash pad, gazebo, and bathroom facilities. This is also the major access road for the project involves reconstructing the seawall along with new pedestrian and bicycle paths. This project also includes recreational wastewater treatment facility.
- Wildlife Service to bring a science center to Dunkirk, with emphasis on research, education, and tourism. SUNY Fredonia is currently SUNY Fredonia / US Fish and Wildlife Service Science Center - This plan involves working with SUNY Fredonia and the US Fish and seeking funding for this project.
- Dredging / Weed cutting of Dunkirk City Harbor Funded by bed tax as well as general funds, the City is working on dredging the harbor as well as finding sustainable ways to keep the weeds at a minimum.
- Chautauqua County Land Bank Funded by the Housing Trust Fund, this project involves addressing the increasing number of vacant and abandoned properties within the City of Dunkirk.
- places to live, work and play. Increasing the availability of places to be physically active as well as have access to fresh produce. Creating and maintaining places for physical activity. This project includes farmers markets, community gardens, walkability studies, and more. Chautauqua County Health Network - Funded by a New York State Department of Health Grant, this project involves creating healthy
- SUNY Fredonia Technology Incubator Funded by the Faculty Student Association at SUNY Fredonia, this project offers business resources to start up technology entrepreneurs.

- Slum and Blight Plan This project is to identify key areas of focus for housing and commercial reinvestment within the City of Dunkirk.
- LEED Certified Neighborhood / Energy Efficiency The City of Dunkirk is working with SUNY Fredonia and other interested organizations to improve the energy efficiency of the housing stock, commercial operations, and the City as a whole. A long term goal is to improve one of the neighborhoods identified in the slum and blight plan to leed certified status, as a model not just for the City, but for the region as a whole. This is funded by NYSERDA.
- Bertges Site / Flickinger Building / Marina / Brownfields The City (and Dunkirk Local Development Corporation) are working to clean up environmentally contaminated sites so that they are able to be put back into productive use. This includes the strategically important Bertges, Flickinger and Marina properties. Funding for this is provided by the New York State department of environmental conservation, as well as the regional economic development council.
- the scale of both the events themselves as well as the marketing of those to the immediate are. The City is also looking at opportunities boundaries. Part of this is infrastructure development (including dredging). This also includes an increase in the number of events and Recreational / Tourism - Funded by the City's General Budget, the City is working on improving the tourism opportunities within its to increase the usage of its three large waterfront parks - Point Gratiot, Memorial Park, and Wright Park.

## **Annual Goals and Objectives**

# AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

## **Goals Summary Information**

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Goal Outcome Indicator	Public Facility or Infrastructure Activities	other than Low/Moderate Income	Housing Benefit: 800 Persons Assisted								Rental units rehabilitated: 19 Household	Housing Unit	Homeowner Housing Rehabilitated: 22	Household Housing Unit	Direct Financial Assistance to	Homebuyers: 3 Households Assisted	Buildings Demolished: 10 Buildings				
Funding	CDBG:	\$834,055									CDBG:	\$616,050		_							
Needs Addressed	Year 1	Needs	Year 2	Needs	Year 3	Needs	Year 4	Needs	Year 5	Needs	Year 1	Needs	Year 2	Needs	Year 3	Needs	Year 4	Needs	Year 5	Needs	
Geographic Area	DUNKIRK										DUNKIRK										
Category	Non-Homeless	Special Needs	Non-Housing	Community	Development	Infrastructure					Affordable Housing	Public Housing	Homeless	Non-Homeless	Special Needs						
End Year	2017								• • • • • • • • • • • • • • • • • • • •		2017										
Start Year	2013										2013										7
Goal Name	Infrastructure	Development		FOR #1							Housing	Rehabilitation									
Sort	1										2									<u>.</u>	

Annual Action Plan 2014

# Table 6 -- Goals Summary<TYPE=[text] REPORT\_GUID=[984786E64DDAC839A8E119B13CB7DB46]>

## **Goal Descriptions**

-	1 Goal Name	Infrastructure Development
•	Goal	This activity will provide funding to restore water lines, develop parks, and reconstruct streets in residential areas of the City
	Description	of Dunkirk's low income census tracts. It will also provide for the rehabilitation of the Senior Center's facility and parking lot.
2	2 Goal Name	Housing Rehabilitation
	Goal	This activity will provide decent safe housing by doing housing rehabilitation and emergency repairs needed to keep
	Description	individuals and families warm, safe and dry. This will also involve the demolition of vacant dilapidated housing.

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):

The City does not receive HOME funds.

## AP-35 Projects – 91.220(d)

## Introduction

The City utilized a four-week open application process which opened on November 1, 2013 and closed on December 6, 2013. The City received a total of 6 proposals for funding in the amount of \$174,500. Like previous years, the applications were reviewed at the staff level for basic eligibility, and the Mayor and City Council was tasked with deciding how to allocate the funds.

#	Project Name
1	Planning and Administration
2	Owner Occupied Housing Rehab
3	Street Improvements
4	Demolition
5	Youth Services
6	Rental Rehabilitation
7	Senior Facilities
8	Meals on Wheels
9	Employment Training
10	Direct Homeownership Assistance
11	Park Development

Table 7 - Project Information

## Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Like most cities in the "rust-belt" region of the United States, the City of Dunkirk is facing problems with an aging housing stock and infrastructure. It is for this reason that we focus more of our funds on public facilities and infrastructure, as well as housing, than other programs. The goal of the allocation of this money is to make the City of Dunkirk a desirable place to work, play, and live for generations to come. Obstacles addressing underserved needs include insufficient data regarding the underserved population, particularly the homeless population in Chautauqua county.

## Projects

AP-38 Projects Summary

## Project Summary Information

		The second secon
-	Project Name	Planning and Administration
	Target Area	DUNKIRK
	Goals Supported	Infrastructure Development Housing Rehabilitation
	Needs Addressed	Year 1 Needs Year 2 Needs Year 3 Needs Year 4 Needs
	Funding	CDBG: \$184,000 Brownfield Opportunity Area: \$330,000 NYS Department of State: \$65,000
	Description	Planning and Administration funds will be used to support the costs of staff involved in the administration of the City's CDBG program. These funds will also be used for developing community plans & studies.
	Target Date	3/31/2015
	Estimate the number and type of families that will benefit from the proposed activities	N/A

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	Location Description	City Hall
		342 Central Avenue
		Dunkirk, NY 14048
	Planned Activities	General Administration \$150,000.00
-		Local Waterfront Revitalization Plan \$2,000.00
		Other Plans & Studies \$32,000.00
2	Project Name	Owner Occupied Housing Rehab
	Target Area	DUNKIRK
	Goals Supported	Housing Rehabilitation
	Needs Addressed	Year 1 Needs
		Year 2 Needs
		Year 3 Needs
		Year 4 Needs
		Year 5 Needs
	Funding	CDBG: \$362,500
	Description	The purpose of this project is to rehabilitate owner-occupied housing units to create safer, healthier, and
		happier living environments within the City of Dunkirk. Approximately 22 housing units will be
		rehabilitated under this project. \$62,500 will be set aside for emergency situations and will be awarded
		on a first come first serve basis. The remaining \$300,000 will be awarded by on a ranked application
		basis.
	Target Date	3/31/2015
	Estimate the number and type	Approximately 10-12 low/moderate-income households will benefit from the Housing Rehabilitation
	of families that will benefit	program. Approximately 12 low/moderate-income households will benefit from the Emergency Repairs
	from the proposed activities	Program.

		SATURATION
	Location Description	The housing rehabilitation activities will be undertaken in the City of Dunkirk's two target areas: Eastern Entrances and Washington Park. The addresses of these activities will be chosen based on applications received.
		To date, five properties have been identified for the Owner Occupied Housing Rehabilitation Program through an open application process:
		• 754 Grant Avenue, Dunkirk NY 14048
		• 519 Deer Street, Dunkirk, NY 14048
		• 94 E 7th Street, Dunkirk, NY 14048
		• 73 N Martin Street, Dunkirk, NY 14048
		• 621 Columbus Avenue, Dunkirk, NY 14048
	Planned Activities	Emergency Housing Repair Program \$62,500.00
		Owner Occupied Housing Rehabilitation \$300,000.00
m	Project Name	Street Improvements
	Target Area	DUNKIRK
	Goals Supported	Infrastructure Development
	Needs Addressed	Year 1 Needs
		real 2 Necus Year 3 Needs
		Year 4 Needs Year 5 Needs
	Funding	CDBG: \$770,783

		513 000 of 2014 fillings \$169 925 from 2013 \$77 858 from 2012 and \$450 000 from the City's
	Describing	repayment will be used to reconstruct residential streets within the City's CDBG target areas. \$60,000 will be used for reconstruction of water lines within the City's CDBG target areas.
	Target Date	3/31/2015
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 800 low-income or moderate-income households will benefit from these activities.
	Location Description	Street Reconstruction and Water Line Replacements will take place within the City's pre-qualified census tracts. The following streets have been chosen: North Jerboa Street, North Beagle Street, Deer Street, Washington Avenue, and Stegelske Street.
		The water line repair will occur on East Sixth Street from Central Avenue to Route 60.
	Planned Activities	Street Improvements \$710,783.00
		Water Line Improvements \$60,000.00
4	Project Name	Demolition
	Target Area	DUNKIRK
	Goals Supported	Housing Rehabilitation
	Needs Addressed	Year 1 Needs
		Year 2 Needs
	The state of the s	Year 3 Needs
		Year 4 Needs
		Year 5 Needs

Turget Date  Target Date  Sy71,735.00 of 2014 CDBG Funds, \$77,500 of 2013 funds, and \$30,000 of 2012 funds have for the demolition of vacant dilapidated residential properties in the City's CDBG target and the number and type  With 2012-2014 funding approximately 10 vacant residential properties will be demolish of families that will benefit from the proposed activities  Location Description  Farget Date  Sy131/2015  Project Name  Goals Supported  Needs Addressed  Funding  DUNKIRK  Goals Supported  Needs Addressed  CDBG: \$12,435  Description  DUNKIRK  Goals Supported  Needs Addressed  Estimate the number and type  Sy11/2015  Estimate the number and type  Other Sy12,435  Dunkirk  Approximately 35 low/moderate-income youth ages 8-14 will benefit from the youth ser  of families that will benefit  from the proposed activities  Dunkirk  Dunkirk  Sy13/2015  Estimate the number and type  Of families that will benefit  from the proposed activities  Dunkirk, NV 14048		The state of the s	CDBG: \$185 235
Target Date  Estimate the number and type of families that will benefit from the proposed activities  Location Description  Target Area  Goals Supported  Needs Addressed  Funding  Description  Target Date  Estimate the number and type of families that will benefit from the proposed activities  Location Description		Description	\$77,735.00 of 2014 CDBG Funds, \$77,500 of 2013 funds, and \$30,000 of 2012 funds have been allocated for the demolition of vacant dilapidated residential properties in the City's CDBG target areas.
Estimate the number and type of families that will benefit from the proposed activities Location Description  Project Name  Target Area  Goals Supported  Needs Addressed  Funding  Description  Target Date  Estimate the number and type of families that will benefit from the proposed activities  Location Description		Target Date	3/31/2015
Location Description Planned Activities Project Name Target Area Goals Supported Needs Addressed Funding Description Target Date Estimate the number and type of families that will benefit from the proposed activities Location Description		Estimate the number and type of families that will benefit from the proposed activities	With 2012-2014 funding approximately 10 vacant residential properties will be demolished.
Planned Activities Project Name  Target Area Goals Supported Needs Addressed Funding Description  Target Date Estimate the number and type of families that will benefit from the proposed activities Location Description		Location Description	The demolished residential properties will be located within the City of Dunkirk's target areas. The addresses that have been chosen so far are: 101 Park Avenue, 60 East Seventh Street, 108 Moffat Street, and 66 East Second Street.
Project Name  Target Area  Goals Supported  Needs Addressed  Funding  Description  Target Date  Estimate the number and type of families that will benefit from the proposed activities  Location Description		Planned Activities	Demolition \$185,235.00
ad/ s	r.	Project Name	Youth Services
ad/ s		Target Area	DUNKIRK
ad/ s		Goals Supported	
ad/ s		Needs Addressed	
ad/ s		Funding	CDBG: \$12,435
3/31/201. /pe Approxim s 296 Lake: Dunkirk, I		Description	
rpe Approxim s 296 Lake		Target Date	3/31/2015
296 Lake Dunkirk, I		Estimate the number and type of families that will benefit from the proposed activities	Approximately 35 low/moderate-income youth ages 8-14 will benefit from the youth services.
		Location Description	

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	Planned Activities	Career Explorers Program \$11,000.00
		Club Tech Program \$1,435.41
9	Project Name	Rental Rehabilitation
	Target Area	DUNKIRK
	Goals Supported	Housing Rehabilitation
	Needs Addressed	Year 1 Needs
		Year 2 Needs
		Year 3 Needs
		Year 4 Needs
		Year 5 Needs
	Funding	CDBG: \$50,000
		Housing Trust Fund: \$250,000
	Description	\$50,000 of 2014 CDBG funds will be used for the rehabilitation of low-income apartments located in the unner floors of the Cobian Block which is owned and operated by Chautaugua County Rural Ministries.
	Target Date	3/31/2015
	Estimate the number and type	19 apartment units will be rehabilitated. The occupants of these units are low and very low-income
	of families that will benefit	persons.
	from the proposed activities	
	Location Description	123 129 127 & 125 Central Avenue
		Dunkirk, NY 14048
	Planned Activities	Chautauqua County Rural Ministry Rental Rehabilitation \$50,000
7	Project Name	Senior Facilities
	Target Area	DUNKIRK
	Goals Supported	Infrastructure Development
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	Needs Addressed	Year 1 Needs
		Year 2 Needs
		Year 3 Needs
		Year 4 Needs
		Year 5 Needs
	Funding	CDBG: \$37,000
	Description	\$37,000.00 has been allocated to make necessary repairs to the Dunkirk Senior Center and its parking lot, which provides services for the elderly population of Dunkirk.
	Target Date	3/31/2015
	Estimate the number and type of families that will benefit from the proposed activities	This facility services the elderly population of the City of Dunkirk.
	Location Description	45 Cliffstar Court
		Dunkirk, NY 14048
	Planned Activities	Senior Center Facility Repairs - \$10,000.00
		Senior Center Parking Lot - \$27,000.00
œ	Project Name	Meals on Wheels
	Target Area	DUNKIRK
	Goals Supported	
	Needs Addressed	
	Funding	CDBG: \$7,535
	Description	Funding will be provided to the local charter of Meals on Wheels to provide meals for senior citizens or disabled individuals that are otherwise unable to provide meals for themselves.
	Target Date	3/31/2013
		Control of the contro

	Estimate the number and type	Approximately 20 senior citizens within the City of Dunkirk will benefit from this activity.
	of families that will benefit from the proposed activities	
	Location Description	200 Lake Shore Drive West
		Dunkirk, NY 14048
	Planned Activities	Meals on Wheels - \$7,534.86
6	Project Name	Employment Training
	Target Area	DUNKIRK
	Goals Supported	
	Needs Addressed	
	Funding	CDBG: \$5,000
	Description	\$5,000 of 2012 CDBG funds have been allocated to operate an Employment Training program through Chautauqua Works.
	Target Date	3/31/2015
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 5-7 low/moderate-income adults will benefit from this activity.
	Location Description	407 Central Avenue
		Dunkirk, NY 14048
	Planned Activities	Chautauqua Advancement Project - \$5,000.00
10	Project Name	Direct Homeownership Assistance
	Target Area	DUNKIRK
	Goals Supported	Housing Rehabilitation

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	Needs Addressed	Year 1 Needs
		Year 2 Needs
		Year 3 Needs
		Year 4 Needs
		Year 5 Needs
	Funding	CDBG: \$18,315
	Description	\$18,315 of 2012 CDBG funds have been allocated to a Direct Homeowner Assistance Program operated
		by Chautauqua Opportunities, inc.
	Target Date	3/31/2015
	Estimate the number and type	Approximately 3-5 low/moderate-income households will receive direct homeowner assistance.
	of families that will benefit	
	Holl tile proposed activities	
	Location Description	10825 Bennett Road
		Dunkirk, NY 14048
	Planned Activities	Homeownership Education - \$18,315.00
11	Project Name	Park Development
	Target Area	DUNKIRK
	Goals Supported	Infrastructure Development
	Needs Addressed	Year 1 Needs
		Year 2 Needs
		Year 3 Needs
		Year 4 Needs
		Year 5 Needs
	Funding	CDBG: \$26,272

Annual Action Plan 2014

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Description	\$10,000 of 2014 CDBG funds combined with \$16,271.75 of 2012 CDBG funds will be used for Streetscape enhancements in Washington Park and Pangolin Park.
Target Date	3/31/2015
Estimate the number and type of families that will benefit from the proposed activities	
Location Description	Park development will occur in Washington Park and Pangolin Park.
Planned Activities	Park Development - \$26,271.75

## AP-50 Geographic Distribution – 91.220(f)

## Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The City has recognized geographic regions with populations in need of affordable housing options and improved public facilities and infrastructure and had developed two target areas: Eastern Entrances and Washington Park. These areas include Census Tract 354.00, 355.00, and 357.00. These areas have dense populations of low- to moderate-income families and growing minority concentrations. Based on the 2012 American Community Survey, the percentage of persons within those census tracts whose income was below to poverty level range from 23.0% - 31.2%. The percentage of Hispanic or Latino persons in the same tracts range from 25.0% - 28.8%.

## **Geographic Distribution**

Target Area	Percentage of Funds
DUNKIRK	100

Table 8 - Geographic Distribution

## Rationale for the priorities for allocating investments geographically

The rationale for the priorities for allocating investments geographically is to meet the primary objective of the CDBG Program which is to benefit low-income and moderate-income residents.

100% of the City's CDBG allocation will be spent in the following prequalified census tracts: 354.00, 355.00, and 357.00. These areas have dense populations of low- to moderate-income families and growing minority concentrations. Based on the 2012 American Community Survey, the percentage of persons within those census tracts whose income was below to poverty level range from 23.0% - 31.2%. The percentage of Hispanic or Latino persons in the same tracts range from 25.0% - 28.8%. Between 17.8% and 31.5% of the households within these census tracts receive food stamps/SNAP benefits.

### Discussion

## **Affordable Housing**

## AP-55 Affordable Housing - 91.220(g)

## Introduction

With a plurality of residents of the City of Dunkirk being low to moderate income and with 38.1% of the population being below poverty levels, concerns do exist for affordable housing for Dunkirk residents. Fortunately, numerous programs have effectively targeted low-income populations who are searching for affordable housing. In the coming years, Dunkirk will continue to support the Dunkirk Housing Authority, as well as continue its housing rehabilitation programs, so those living in sub-standard conditions will see improvements, not only to their home, but to their community.

One Year Goals for the Number of Households to	be Supported
Homeless	0
Non-Homeless	41
Special-Needs	0
Total	41

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Sup	ported Through
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	41
Acquisition of Existing Units	0
Total	41

Table 10 - One Year Goals for Affordable Housing by Support Type

### Discussion

Housing conditions in Dunkirk reflect the poor local economy. 89.2%% of the City's housing stock was built prior to 1959. This compares with 58.1% for the rest of New York State and to only 30.7% for the nation as a whole. (2007-2011 American Community Survey) This statistic indicates that older homes are not being replaced. Also, homes are not being adequately maintained. This is due to a number of factors. The two primary reasons are the aging population and the lack of financial resources to make necessary repairs.15.9% of household owners are spending more than 30% of their monthly income on housing costs. Median monthly owner costs are estimated at \$870. The vast majority of owners experiencing cost burdens over 30% of their annual income are elderly (62 years old or older). It is likely

that these people are on a fixed income, and therefore have a more difficult time competing with the rate of inflation.

The National Low-Income Housing Coalition's 2013 Out of Reach report on housing costs states that the Fair Market Rent for a one bedroom apartment in the City of Dunkirk is \$515. In order to afford this level of rent and utilities without paying more than 30% of income on housing a household must earn \$1,716 monthly or \$20,600 annually. With a state minimum wage of \$8.00/hour, that equates to a minimum wage earner working 50 hours per week or 1.25 full-time jobs. Currently 51.9% of the City's rental population is spending 30% or more of their income on housing.

## AP-60 Public Housing - 91.220(h)

## Introduction

The City of Dunkirk does not administer a public housing program nor does it administer the Section 8 Housing Choice Voucher Program. The City's public housing is administered by the Dunkirk Housing Authority (DHA) whose mission is to provide low-income families with decent, safe, and sanitary shelter at a price they can afford. The DHA has multiple complexes designated as public housing, with 207 units spread throughout the City. There are also numerous Section 8 housing subsidies which are mostly fulfilled through privately owned housing. Currently, 98 City of Dunkirk residents are on a waiting list for public housing.

### Actions planned during the next year to address the needs to public housing

The City of Dunkirk will continue to work with the Dunkirk Housing Authority by providing homeownership education to individuals in the community. This has, and will encourage public housing residents to become more involved in the management of their own homes and participate in homeownership. Chautauqua Opportunities, Inc. (COI), a CDBG subrecipient of the City will be administering a Homeownership Education program during 2014 using 2012 CDBG funds. The target population of this program is low/moderate-income residents looking to purchase a home within the City of Dunkirk.

## Actions to encourage public housing residents to become more involved in management and participate in homeownership

The Homeownership Education program that will be administered by Chautauqua Opportunities, Inc. (COI) in 2014 will be targeted towards low/moderate-income residents who wish to purchase a home within the City of Dunkirk. The City will work with the Director of Dunkirk Housing Authority to ensure public housing residents are made aware of this opportunity.

The Housing Authority of the City of Dunkirk is a member of the Chautauqua County Homeless Coalition. The purpose of this group is to create strategies for creating housing options for those people in need. The City will continue to support this organization's goals and mission.

## If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Dunkirk Housing Authority is not designated as troubled.

## Discussion

# AP-65 Homeless and Other Special Needs Activities – 91.220(i) Introduction

The Chautauqua County Homeless Coalition is a comprehensive network of diverse organizations that addresses the needs of, and provides intervention and prevention services for, the homeless and those at risk of homelessness. There are several other agencies in this area that serve individuals or families who are homeless or at risk of becoming homeless. The City of Dunkirk is, and will continue to be involved with these organizations.

# Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

# Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City has partnered with the Chautauqua County Homeless Coalition to work on a plan to affect homelessness. One of the key strategies is to address chronic homelessness and provide outreach in this prevention. The Homeless Coalition is represented by agencies that specifically target the homeless and assist individuals with accessing mainstream mental health and chemical dependency services. Part of this outreach is education of both the service providers and the communities to assist in eradicating homelessness.

The City of Dunkirk is undergoing a process of creating a comprehensive homeless strategy plan in order to better collect data about, and serve the needs of homeless individuals in the Dunkirk area. There are currently a number of services available for those at risk of becoming homeless in the Dunkirk area that the city promotes to persons at risk of homelessness.

#### Addressing the emergency shelter and transitional housing needs of homeless persons

While the City does not receive emergency shelter grants, there are a variety of local non-profits which do provide these types of services which the City will continue to be involved in and supportive of. The Chautauqua County Homeless Coalition continually performs assessments to identify the needs of homeless/at-risk of homeless persons within the county.

The Dunkirk Housing Authority has multiple complexes designated as public housing, with 207 units spread throughout the City.

48

OMB Control No: 2506-0117 (exp. 07/31/2015)

Chautauqua Opportunities, Inc. (COI) operates a NYS licensed runaway and homeless youth shelter that is funded by the U.S. Department of Health and Human Services.

Chautauqua County Rural Ministries currently has 19 low-income apartments for homeless and transitional housing. They also operate a soup kitchen, garment gallery, and furniture store.

Willow Mission, which is operated through the Dunkirk First United Methodist Church, has emergency shelter, as well as showers, a garment boutique, and washer/dryer facilities.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The City will continue to work with the Continuum of Care to address the housing and supportive services needs of homeless persons making the transition to permanent housing and independent living.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

The members of the Chautauqua County Homeless Coalition provide a wide array of services to complement homeless services. The mission of Chautauqua Opportunities, Inc. (COI), the county's Continuum of Care provider, is "leading the fight against poverty by mobilizing resources and creating partnerships to promote empowerment, economic independence and opportunities." Some of the services they provide are:

#### **Health Services**

- Home Health Care
- Health Screenings
- Mental Health Services

OMB Control No: 2506-0117 (exp. 07/31/2015)

- Health Insurance Education and Access
- Services for Pregnant Women & Minorities

#### You Services

- Safe House
- Transitional Independent Living Program
- After School Programs
- Teen Pregnancy and Parenting Services
- Transitional Work Force Services

#### **Housing & Community Development**

- Housing Rehab/Rental Rehab
- Energy Audits
- Homeless Prevention
- Veterans Services
- Homeownership Education
- Houses/Apartments for Rent

#### **Economic Development**

- Business Loans
- Incubator Services
- Small Business Counseling

The City of Dunkirk will continue its ongoing efforts to help rehabilitate homes that would otherwise be destined for condemnation and provide assistance with emergency repairs. We feel it is imperative to keep our housing stock in good shape and to prevent anyone from becoming homeless due to the condition of their home.

#### Discussion

#### One year goals for the number of households to be provided housing through the use of HOPWA for:

Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family

Tenant-based rental assistance

Units provided in housing facilities (transitional or permanent) that are being developed, leased, or operated

Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds

Annual Action Plan 2014 Total

## AP-75 Barriers to affordable housing - 91.220(j)

#### Introduction

After reviewing 2005 - 2009 CHAS data regarding housing within the City of Dunkirk, we have determined that the leading barrier to affordable housing in the City of Dunkirk is that extra-low income individuals simply do not have the means to keep and maintain homes. With large percentages of the population spending between 30% and 50% of their annual income on housing within the City of Dunkirk, we recognize that there is a real problem to be dealt with.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

For a few years, Dunkirk saw a drop in home prices that put more homes within reach for the low to moderate-income families and individuals, however, getting qualified for a mortgage was a huge barrier to the prospective low-income home owner.

At this time home prices slowly beginning to rise, making it even more difficult for potential homeowners to secure a mortgage. The economy is also a barrier to affordable housing making it harder for low-income families and individuals to save for a down payment. The City will continue to allocate funding for Homeownership Education to prepare low/moderate-income residents for becoming homeowners and help them through the mortgage process.

The City will also continue its Housing Rehabilitation Program and Emergency Repairs Program to help keep people in their homes and assist with the maintenance of the City's older housing stock.

#### Discussion

### **AP-85 Other Actions – 91.220(k)**

#### Introduction

The City of Dunkirk has other actions planned for the use of CDBG funds in this five year plan including increasing partnerships with local institutions, improving public transportation opportunities, and protecting our most valuable resource - our waterfront on Lake Erie.

#### Actions planned to address obstacles to meeting underserved needs

The City of Dunkirk has funded multiple activities for the 2014 Program Year that address housing, infrastructure, and public services. One obstacle is the lack of data specific to Dunkirk in these areas, making the amount of need in the City difficult to measure.

The City intends on increasing communication with non-profits serving underserved individuals. It also has been campaigning to increase its public participation rate, and community outreach to underserved individuals within the community. Progress has been made in effort to improve communications between underserved individuals and the City, but we will continue to work on this obstacle.

#### Actions planned to foster and maintain affordable housing

The City will continue to use CDBG funding for a Housing Rehabilitation Program. This program will provide rehabilitation services to low/moderate income owner occupied households in an effort to keep people in their homes. The Emergency Repair program will provide matching grants to households with more dire repair needs such as electrical and furnace. In 2014 the City will also rehabilitate the low-income apartments which are operated by Chautauqua County Rural Ministries.

The City will also be continuing the Direct Homeownership Assistance Program in an effort to guide low/moderate income participants through the homebuying process and assist them with their downpayment.

#### Actions planned to reduce lead-based paint hazards

Actions will be continued in the City of Dunkirk to evaluate and reduce the number of houses containing lead-based paint hazards. The Chautauqua County Environmental Health Services division responds to reports of children with elevated blood lead levels and performs environmental assessments to identify hazardous areas with lead-based paint in the child's home. Lead hazard control activities may be suggested or ordered to be performed dependent on the child's blood lead level. Education of parents,

landlords and renovators on how to prevent lead poisoning and properly deal with lead hazards is a priority.

The Health Department and the Chautauqua Home Rehabilitation and Improvement Corporation (CHRIC) have partnered to implement a third HUD - funded Lead Hazard Control grant. The program offers grants to landlords and homeowners to address lead-based paint hazards in rental properties and homes in Chautauqua County. Due to this initiative, lead-based paint has thankfully become a limited concern in the City of Dunkirk. The City will continue to work with its partners to improve the quality of housing in relation to these concerns.

#### Actions planned to reduce the number of poverty-level families

The City of Dunkirk has a plan implemented to reduce poverty levels within the City:

#### 1: Promote Affordable Housing

- Preserve and maintain existing affordable housing opportunities through the City of Dunkirk's Housing Rehabilitation programs and address problems such as high utility bills by implementing cost savings measures
- Promote the development of new affordable housing units
- Support foreclosure prevention programs and services
- Assist in the purchase of homes for owner occupied individuals and families
- 2: Foster Employment and Economic Opportunities for the low and moderate income residents of the City of Dunkirk
  - Work collaboratively to promote employment training opportunities that prepare low to
    moderate income individuals for jobs that provide a living wage. The training programs should
    focus on the opportunities that actually exist here.

#### 3: Support the delivery of Human Services

- Champion public service programs that support anti-poverty efforts including: financial literacy, programs that assist vulnerable populations, for example the homeless or abused and/or neglected children, youth programs, feeding programs, new public transportation programs, and programs aimed to assist low income elderly.
- 4: Seek Partnerships that improve the cost-effectiveness and quality of programs to assist low/moderate

#### income individuals and families

• The City of Dunkirk will continue to develop stronger partnerships with community agencies that assist with low/moderate income individuals and families.

#### Actions planned to develop institutional structure

During the program year covered by this Action Plan, the City will review the gaps listed in the Consolidated Plan and determine methods to strengthen the institutional structure in order to eliminate the gaps; review current services and processes to determine what may be streamlined; review the delivery systems of the City Departments and external agencies that utilize federal funding to determine how to strengthen the coordination of services; and meet with program partners to determine what gaps may exist and how best to eliminate those gaps.

HUD has also acknowledged the City of Dunkirk requires some technical assistance in order to develop and strengthen its organizational structure and capacity to administer a CDBG program. The City has already met with CloudBurst, the organization HUD hired to perform a Needs Assessment. They have compiled this assessment based upon discussions and feedback from HUD, the City, and the City's partners/subrecipients. Once HUD approves the assessment they will begin providing the necessary technical assistance to strengthen the City's program administration.

The City of Dunkirk is home to many public and private institutions that the residents of the City of Dunkirk are able to take advantage of. It is with plans like this CDBG five year plan that help coordinate these institutions to better serve the community as a whole. Educational, religious, service based, and other institutions all have purposes in the community, we intend to continue to reach out to these institutions within the community to help them coordinate services more efficiently, and better serve the community.

# Actions planned to enhance coordination between public and private housing and social service agencies

The City continues its support of the local nonprofit organizations that are members of the Chautauqua County Homeless Coalition. The Coalition is "a comprehensive network of diverse organizations that addresses the needs of, and provides intervention and prevention services for, the homeless and those at risk of homelessness." The Homeless Coalition members that address the City of Dunkirk's population are: Chautauqua Opportunities, Inc., Chautauqua Home Rehabilitation Improvement Corp., and Chautauqua County Department of Health & Human Services.

#### Discussion

Although our primary goal for CDBG funds for the FY 2013 -2017 plan is to enhance the community through infrastructure development and housing rehabilitation, we also recognize the importance of improving other services and connections around the City of Dunkirk. It is for this reason that we include in our plan areas where we can improve our coordination with private and public institutions in and around our City.

The City has allocated \$34,000 for studies and planning to fund well researched plans that will provide a better picture of the City and how to address the issues it is plagued with.

## **Program Specific Requirements**

## AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

#### Introduction

Approximately 15 percent of the City's 2014 CDBG funds, and remaining prior years, will be used for Administration and Planning costs (\$184,000). The City's goal is to use 82 percent of the remaining funds to assist low and moderate income families and Limited Clientele, as determined by HUD.

# Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the flext	
program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to	
address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not	
been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	0
Other CDBG Requirements	
1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the	
	32.00%

#### Discussion

In the 2014 program year noted in this Plan, the total amount allocated to low and moderate income persons (less the 15 percent Administration and Planning cost) will be \$1,025,074. From that total, the City anticipates that 82 percent of the funds will be used to benefit low and moderate income persons through its outlined projects. 18 percent will be used to address slums and blight in the City through demolition of vacant dilapidated properties.

Housing rehabilitation and infrastructure development are were the highest priorities identified in the City of Dunkirk's Consolidated Plan. Therefore, the City has programmed most of its 2014 CDBG funds to programs that will address the needs of these two priorities.



# CPMP Non-State Grantee Certifications

Many elements of this document may be completed electronically, however a signature must be manually applied and the document must be submitted in paper form to the Field Office.

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#### NON-STATE GOVERNMENT CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan — It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

- Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- 2. Establishing an ongoing drug-free awareness program to inform employees about
  - a. The dangers of drug abuse in the workplace;
  - b. The grantee's policy of maintaining a drug-free workplace;
  - c. Any available drug counseling, rehabilitation, and employee assistance programs; and
  - The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
- Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will –
  - a. Abide by the terms of the statement; and
  - b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- 5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted –
  - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- 7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

- 8. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 9. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 10. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

Also Da Mayor	05/19/14
Signature/Authorized Official	Date
Anthony J Dolce	
Name	
Mayor	
Title	
342 Central Avenue	
Address	
Dunkirk, NY 14048	
City/State/Zip	
716-366-9882	

Telephone Number

This certification does not apply.	

#### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan --** Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan --** It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

- 11. Maximum Feasible Priority With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
- 12. Overall Benefit The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2014, 2015, 2016, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
- 13. Special Assessments It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

- 14. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
- 15. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Telephone Number

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint --** Its activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K and R, of title 24;

Compliance with Laws -- It will comply with applicable laws.

2 thous Sotie	05/19/14
Signature/Authorized Official	Date
Anthony J Dolce	
Name	
Mayor	
Title	
342 Central Avenue	
Address	
Dunkirk, NY 14048	
City/State/Zip	
716-366-9882	

☐ This certification does not apply.	
This certification is applicable.	
applicable.	

# OPTIONAL CERTIFICATION CDBG

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities, which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official	Date
Name	
Title	
Address	
City/State/Zip	
Telephone Number	

Jurisdiction
<ul> <li>☑ This certification does not apply.</li> <li>☐ This certification is applicable.</li> </ul>
Specific HOME Certifications
The HOME participating jurisdiction certifies that:
<b>Tenant Based Rental Assistance</b> If the participating jurisdiction intends to provide tenant-based rental assistance:
The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.
<b>Eligible Activities and Costs</b> it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.
Appropriate Financial Assistance before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Telephone Number

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## **HOPWA Certifications**

The HOPWA grantee certifies that:

**Activities --** Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

**Building** -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

- For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
- 2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature/Authorized Official	Date
Name	
Title	
Address	
City/State/Zip	
Telephone Number	

☑ This certification does not apply.	
☐ This certification is applicable.	

#### **ESG Certifications**

I, , Chief Executive Officer of **Error! Not a valid link.**, certify that the local government will ensure the provision of the matching supplemental funds required by the regulation at 24 *CFR* 576.51. I have attached to this certification a description of the sources and amounts of such supplemental funds.

I further certify that the local government will comply with:

- 1. The requirements of 24 *CFR* 576.53 concerning the continued use of buildings for which Emergency Shelter Grants are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services.
- 2. The building standards requirement of 24 CFR 576.55.
- 3. The requirements of 24 *CFR* 576.56, concerning assurances on services and other assistance to the homeless.
- 4. The requirements of 24 *CFR* 576.57, other appropriate provisions of 24 *CFR* Part 576, and other applicable federal laws concerning nondiscrimination and equal opportunity.
- 5. The requirements of 24 *CFR* 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
- 6. The requirement of 24 *CFR* 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
- 7. The requirements of 24 *CFR* Part 24 concerning the Drug Free Workplace Act of 1988.
- 8. The requirements of 24 CFR 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted with ESG funds and that the address or location of any family violence shelter project will not be made public, except with written authorization of the person or persons responsible for the operation of such shelter.
- 9. The requirement that recipients involve themselves, to the maximum extent practicable and where appropriate, homeless individuals and families in policymaking, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 CFR 76.56.
- 10. The requirements of 24 *CFR* 576.57(e) dealing with the provisions of, and regulations and procedures applicable with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related

authorities as specified in 24 CFR Part 58.

- 11. The requirements of 24 CFR 576.21(a)(4) providing that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services will meet the requirements that: (A) the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) the assistance must not supplant funding for preexisting homeless prevention activities from any other source.
- 12. The new requirement of the McKinney-Vento Act (42 USC 11362) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that state and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.
- 13. HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of a completed and approved Consolidated Plan with its certifications, which act as the application for an Emergency Shelter Grant, is authorized under state and/or local law, and that the local government possesses legal authority to carry out grant activities in accordance with the applicable laws and regulations of the U. S. Department of Housing and Urban Development.

Signature/Authorized Official	Date
Name	1
Title	1
Address	1
City/State/Zip	
Telephone Number	

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#### **APPENDIX TO CERTIFICATIONS**

Instructions Concerning Lobbying and Drug-Free Workplace Requirements

#### **Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who falls to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

## Drug-Free Workplace Certification

- 1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
- 2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
- 3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
- 4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
- 5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
- 6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code) Check if there are workplaces on file that are not identified here. The certification with regard to the drug-free workplace is required by 24 CFR part 21.

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7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules: "Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15); "Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes; "Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any

controlled substance; "Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including:

- a. All "direct charge" employees;b. all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and
- c. temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Note that by signing these certifications, certain documents must completed, in use, and on file for verification. These documents include:

- 1. Analysis of Impediments to Fair Housing
- 2. Citizen Participation Plan

Telephone Number

3. Anti-displacement and Relocation Plan

Athono Ste Mayor	05/19/14
Signature/Authorized Official	Date
Anthony J Dolce	
Name	
Mayor	
Title	
342 Central Avenue	
Address	
Dunkirk, NY 14048	
City/State/Zip	
716-366-9882	



## SF 424

The SF 424 is part of the CPMP Annual Action Plan. SF 424 form fields are included in this document. Grantee information is linked from the 1CPMP.xls document of the CPMP tool.

## SF 424

Complete the fillable fields (blue cells) in the table below. The other items are pre-filled with values from the Grantee Information Worksheet. Date Submitted 05/19/14 Applicant Identifier Type of Submission Date Received by state State Identifier Application Pre-application Date Received by HUD Federal Identifier Construction Construction ☐ Non Construction Applicant Information City of Dunkirk NY361756 342 Central Avenue 94176310 Dunkirk New York Department of Development 4048 U.S.A. Employer Identification Number (EIN): Chautauqua County 16-6002540 04/01 Applicant Type: Specify Other Type if necessary: City U.S. Department of Program Funding Housing and Urban Development Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Estimated Funding Community Development Block Grant 14.218 Entitlement Grant Planning & Administration, Public Services, Public City of Dunkirk Infrastructure Improvements, Housing \$472,735 \$ 1,186,340 Unexpended PY2012 & 2013 CDBG Allocations \$Additional Federal Funds Leveraged \$645,000 \$Locally Leveraged Funds \$Grantee Funds Leveraged Other (Describe) \$2,304,075 14.239 HOME Home Investment Partnerships Program HOME Project Titles Description of Areas Affected by HOME Project(s) \$HOME Grant Amount \$Additional HUD Grant(s) Leveraged Describe \$Additional Federal Funds Leveraged \$Additional State Funds Leveraged \$Locally Leveraged Funds \$Grantee Funds Leveraged

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explaining the situation.			Vo	Program is	not covered by EO 12372		
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Steve			-			Neratko	
Director of Development 716-366-9879		***************************************			716-363-6460		
sneratko@cityofdunkirk.com www.dunkirktoday.			.com	•	Nicole Waite		
Signature of Authorized Representative						Date Signed	
in thousand of the						05/19/14	
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