

City of Dunkirk

Community Development Block Grant

2015 Annual Action Plan

April 14th, 2015



For more information contact:

City of Dunkirk
Department of Planning & Development
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City of Dunkirk

2015 CDBG Annual Action Plan

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Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The City of Dunkirk is designated as an entitlement community based on its population. The CDBG entitlement program allocates annual grants to assist in the development of viable communities by providing decent housing, a suitable living environment, and to expand economic opportunities, principally for low and moderate income persons. To receive an annual allocation of federal funds from HUD, the City is required to create a five-year Consolidated Plan (strategic plan) with a one-year Action Plan. Subsequently, the five-year Plan will be updated with Annual Action Plans for years two through five.

The Consolidated Plan includes the amount of assistance the City expects to receive and the range of activities that may be undertaken including the estimated benefit to persons of low and moderate-income. The Annual Action Plans are required to include project or program specifics, such as, location, cost, proposed outcome, and any additional descriptive information. Primarily, federal regulations require that funds be aimed where the greatest benefit may be attained for low and moderate income residents. To acquire ample public engagement in all aspects and phases of Plan development, the City of Dunkirk interacted with various stakeholders in meetings, workshops, public hearings, and with written correspondence. Prior to the adoption of the Consolidated Plan/Action Plan, a 30 calendar-day public comment period will be observed. All comments will be recorded and considered in the formulation of the Plan. In addition, all comments and responses will be included in the appendix of the Plan and will be forwarded to HUD upon adoption.

2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Although the City has many needs, this plan identifies and prioritizes the following critical components to the City's development strategy:



1: Infrastructure Improvements - In order to maintain a safe and healthy environment, the City needs to improve and to modernize its infrastructure, including roadways, streetscapes, and water lines. Approximately 2,638 low-moderate income people will benefit from these activities.

3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The City of Dunkirk achieved the following accomplishments in 2014:

Meals on Wheels: During the four quarters of 2014, a total of 14 low/moderate-income elderly persons received a total of 1275 meals. 648 hot noon meals, 312 cold evening meals, 87 alternative cold meals, and 230 frozen weekend meals were delivered. Of the persons received meals 5 were Male, 9 were Female, 6 were Hispanic, 1 was African American, and 6 were Female Head of Household.

Career Explorers: Boys & Girls Club of Northern Chautauqua County was awarded \$6,000 in 2014 to operate its Career Explorers Program. A total of 90 low-income youth ages 6-18 participated in exploration and research of career areas of interest over 24 30-minute lessons. Of those 90, 17 of the youth were Hispanic, 1 was American Indian, 21 were multi-racial, and 8 were Black/African American.

Street Improvements/Sidewalk Improvements: During 2014, 16 streets within both target areas were milled and repaved. One street, N Jerboa, also had underground drainage installed. Sidewalk intersections as N Jerboa and S Beagle were reconstructed to be ADA compliant for persons with limited mobility.

Senior Facilities Improvements: The Dunkirk Senior Center, which operates low cost, and often free, programming for the elderly citizens of Dunkirk received necessary repairs in 2014. The 14,500 square foot parking lot was very dangerous, with large pot holes and worn off striping. This parking lot was milled, repaved, and restriped. The 9,000 square foot roof that was leaking in spots which was causing interior damage to the building was repaired in 2014.

Chautauqua Advancement Project : The project targets low/moderate-income Dunkirk residents who are high school, college, or community college graduates. The participants of the program will work in local businesses/organizations 4 days a week and work with the Chautauqua Works staff 1 half day a week to build work skills, leadership skills, critical thinking skills, and increase their knowledge of the community. Of the 4 participants, 3 were low-income and 1 was moderate-income. 3 were Female and 1 was Male.



4. Summary of Citizen Participation Process and consultation process

Summary from citizen participation section of plan.

The City believes in continual communication with its local nonprofits, community leaders, and citizens. To guide this communication, the City of Dunkirk adopted a Citizen Participation Plan (CPP) which was prepared in accordance with Section 104(a)(3) of the Housing and Community Development Act of 1974, as amended, and federal regulation 24 CFR 91.105. The CPP has been amended to make this process even more effective, and was formally adopted on April 7, 2015 after a 30-day public comment period.

The City held two Public Hearings during the drafting of its 2015 Annual Action Plan on November 3 & 13, 2014. The comments from both meetings can be found below. The City also held one technical assistance meeting for potential CDBG subrecipients on November 17, 2014. The City held a Public Hearing on March 12, 2015 to review the Draft 2015 Annual Action Plan and accepted comments until April 10, 2015 at 12:00PM in City Hall.

5. Summary of public comments

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

The City of Dunkirk received multiple comments during the public hearings and public comment period for the 2015 Annual Action Plan.

Comments Received at Public Hearing #1 – November 3, 2014

- Skeeter Tower, member of Academy Heights and Revitalize Dunkirk, shared her concerns regarding the cultural entities of the City of Dunkirk: Historical Museum's severe structural damages; Adam's Art Gallery needs to be secured before the building is lost due to the roof.
- Ned Devine, private citizen, believed some of those facilities were awarded CDBG funds in the past but never received them. He wondered whether or not they could get those funds now.
- Steve Rees, member of Revitalize Dunkirk, brought up the need for safe pedestrian access and bike lanes along Central Avenue from Temple in Fredonia to the City Pier.



- According to Skeeter Tower, the Revitalize Dunkirk Beautification Committee wanted to get flower pots for Central Avenue. She asked if the cost of flowers and soil would be eligible under CDBG.
- Josiah Lamp, employee of Chautauqua Opportunities, Inc., discussed housing and asked if the City could include Fair Housing in its Annual Action Plan. He also recommended that the City begin performing Habitability Inspections to prevent some of housing stock decline.
- S. Tower asked what can be done about empty houses around the City that haven't been lived in for years.

Comments Received at Public Hearing #2 – November 13, 2014

- Walter Rutland, from the Walter Rutland Show, told the audience that N Waite had appeared on his show November 5th to talk about CDBG and invite the public to the public hearings. He expressed disappointment that not a lot of members of the community had come out to attend today's meeting.
- Ted Wronski, Tom Bautista, and Michele Bautista, from Washington PARC took turns talking about Washington Park and how crucial it is that the City focus CDBG funds on it. They talked about lighting, signage, benches, and flowers.

Comments Received at Public Hearing #3 – March 12, 2015

- No comments were received.

The City also observed a 30-day public comment period. The CDBG Administrator received one written comment. Christine Sell, resident, emailed the CDBG Administrator to ask about availability of house repair funds for her leaking roof.

6. Summary of comments or views not accepted and the reasons for not accepting them

The Historical Museum and Adam's Art Gallery serve the entire City population, which is not 51% or more low-income. CDBG funds could be used to make the buildings handicapped accessible or to provide funding for income eligible populations.

Bike lanes along Central Avenue do not require any funding, as the Chautauqua County Department of Public Works has agreed to use their employees and equipment.

Central Avenue/Rt. 5/Fourth Street are commercial streets therefore streetscape funds can not be used in these areas. The City is also currently unable to operate any Economic Development activities.



Washington Park was addressed in the 2014 CDBG Action Plan, with funds set aside for the purchase of benches, signage, and trash cans. Lighting is too expensive at this time, though the City remains in talks with a local company about pricing and potential funding sources.

7. Summary

The City of Dunkirk strives to recognize the issues currently facing the people of the City of Dunkirk, and address these issues to the best of its ability. CDBG funds, in conjunction with other resources, give the City of Dunkirk the opportunity to better help improve the lives of people in the Dunkirk area. In 2015, we will look to undertake major housing rehabilitation projects, and projects involving infrastructure development / redevelopment within the City, with the stated goal creating happier, healthier communities and environments throughout the City of Dunkirk.

The City will also be undertaking heavily researched plans, including this PY2015 Annual Action Plan, to provide a clearer picture of its community as a whole. Citizen participation and community input has been, and will continue to be, critical for developing targeted strategies to shape the City's vision and to ensure that federal resources provide the maximum benefit to those citizens in need.



PR-05 Lead & Responsible Agencies – 91.200(b)

1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	DUNKIRK	
CDBG Administrator		Department of Planning & Development
HOPWA Administrator		
HOME Administrator		
HOPWA-C Administrator		

Table 1 – Responsible Agencies

Narrative (optional)

The City of Dunkirk is designated as an entitlement community by the U.S. Department of Housing and Urban Development (HUD) and is the lead agency for overseeing the City's Community Development Block Grant (CDBG).

The City of Dunkirk Department of Development is committed to improving the health of the economy and community of the City of Dunkirk. The City of Dunkirk Department of Development believes the City of Dunkirk has a golden opportunity to improve its economic and socio-economic status by improving housing stock around the city, and improving infrastructure around the city.

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Nicole Waite, CDBG Program Administrator 366-9878 nwaite@cityofdunkirk.com



AP-10 Consultation – 91.100, 91.200(b), 91.215(I)

1. Introduction

The City of Dunkirk recognizes the importance of coordination and consultation with institutions within the community. As a result, in formulating this plan, the City of Dunkirk made all attempts to work with all organizations through public meetings and hearings, as well as working with organizations at their best convenience.

The City is required by the U.S. Department of Housing and Urban Development (HUD) to create its own Five-Year Consolidated Plan and Annual Action Plan in order to be considered for entitlement grant funding. Three years ago the City of Dunkirk completed its 2013-2017 Consolidated Plan, which clarifies and defines the City's future objectives and illustrates how those objectives align with CDBG program objectives. This Annual Action Plan utilizes the priorities outlined in the Consolidated Plan and specifies the intended uses of CDBG funding for Dunkirk's third program year (2015).

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

The City of Dunkirk strives to provide fair and accurate copies of its plans at every stage of their development. As such, the City provided public notices about public hearings via local media sources including television, print, online, and word of mouth sources. The City also worked with, and made all attempts to work with housing providers such as Chautauqua Opportunities, the Dunkirk Housing Authority, Aspire of Western New York, as well as other agencies involved in healthcare and housing in some form. Notices of public meetings and copies of the City's 2015 CDBG Application were mailed to: Boys & Girls Club of Northern Chautauqua County, Dunkirk Housing Authority, Dunkirk-Fredonia Meals on Wheels, Chautauqua County Office for the Aging, Chautauqua County Department of Health & Human Services, Chautauqua County Department of Mental Hygiene, Chautauqua County Rural Ministry, Chautauqua Opportunities, Chautauqua Home Rehabilitation and Improvement Corp., Northern Chautauqua Community Foundation, Salvation Army, Senior Center of Dunkirk, Southern Tier Environment for Living, The Resource Center, Willow Mission, and Workforce Investment Board, Inc. Copies of the DRAFT 2015 Annual Action Plan will be sent to these same agencies to garner their input and feedback.



The City of Dunkirk does not currently manage any public housing. Public housing within the City's jurisdiction is managed by the Dunkirk Housing Authority (DHA). The DHA currently maintains 207 housing units. City staff also maintain close relationships with local Community Housing Development Organizations (CHDO), including Chautauqua Opportunities, Inc. (COI).

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Homelessness and chronic homelessness are issues individuals face throughout the United States. Residents of Dunkirk are unfortunately no exception to this rule. While efforts are ongoing to improve data collection on the exact amount of homelessness and chronic homelessness exists within the City, a support network has been established for those experiencing homelessness, chronic homelessness, or risk of becoming homeless. Chautauqua Opportunities, Inc. (COI) is the City's Continuum of Care provider. In October of 2014 the Chautauqua County Homeless Coalition signed and adopted a 10-year plan to end homelessness in Chautauqua County.

Chautauqua Lake Central School District, which is within the CoC geography, has a McKinney-Vento funding award from the NYS education Department to provide educational services to homeless children. The McKinney-Vento Liaisons in all of the county's 18 school districts have been contacted and asked to join the CoC or be provided with information about homeless services. Chautauqua County has a NY State licensed runaway and homeless youth shelter that is funded by the U.S. Department of Health and Human Services and operated by the CoC lead agency.

Providers regularly refer families to other providers when their family composition does not allow them to be accommodated in their facility. The CoC has also held a McKinney-Vento training in coordination with local school districts to improve coordination of services with school districts, and whenever possible, keep children in their school of origin.

If housing is not available for a specific family type, the local Department of Social Services will utilize a local hotel to keep the family together while other housing options are explored.

The Veteran's Administration's regional representative is a member of the CoC decision-making body.



The lead agency also coordinates with VASH as the Section 8 Housing Choice Voucher administrator for the county, and was recently awarded a Supportive Services for Veteran Families grant from the U.S. Department of Veteran Affairs to prevent homelessness among veteran families. The CoC participated in the Veteran's Administration needs assessment. All HUD-funded agencies in the CoC serve veterans as an integrated service.

The CoC lead agency operates a NYS licensed Runaway and Homeless Youth Shelter that is funded with DHHS Basic Center funding, as well as a DHHS funded Street Outreach Program that makes contact with over 7,500 youth in the county annually, and a Transitional Living Program for youth ages 16-21 (DHHS funding). These programs frequently collaborate with other providers that serve the youth population such as Boys and Girls Clubs, YMCA's and YWCA's, church teen groups, municipal recreation programs, and other non profit organizations. The lead organization and other youth serving providers will participate in strategic planning meetings to ensure that the needs of homeless youth are addressed in future plans.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

The City of Dunkirk does not receive ESG funds.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities



Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	CHAUTAUQUA OPPORTUNITIES INC.
	Agency/Group/Organization Type	Housing Services - Housing Services-Children Services-homeless Services-Health Major Employer
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy Anti-poverty Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice of public hearing to Chautauqua Opportunities, Inc. (COI) along with a copy of the 2015 CDBG Application. A representative from COI attended the first public hearing.
2	Agency/Group/Organization	Dunkirk Housing Authority
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless Service-Fair Housing
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Public Housing Needs



	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice of public hearing to the Dunkirk Housing Authority (DHA) along with a copy of the 2015 CDBG Application.
3	Agency/Group/Organization	Dunkirk Local Development Corporation
	Agency/Group/Organization Type	Planning organization Business and Civic Leaders
	What section of the Plan was addressed by Consultation?	Economic Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice of public hearing to the Dunkirk Local Development Corporation (DLDC). Representatives from DLDC attended the first and second public hearings.
4	Agency/Group/Organization	Revitalize Dunkirk
	Agency/Group/Organization Type	Business and Civic Leaders Neighborhood Group Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Economic Development Park Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City announced the public hearing at the October Revitalize Dunkirk meeting. Representatives from Revitalize Dunkirk attended both public hearings.



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5	Agency/Group/Organization	Washington PARC
	Agency/Group/Organization Type	Civic Leaders Neighborhood Group Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Park Development
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice of public hearing to Washington PARC along with a copy of the 2015 CDBG Application. Representatives from Washington PARC attended the second public hearing.
6	Agency/Group/Organization	Chautauqua County Rural Ministry
	Agency/Group/Organization Type	Housing Services - Housing Services-homeless
	What section of the Plan was addressed by Consultation?	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Strategy
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	The City mailed a notice of public hearing to Chautauqua County Rural Ministry (CCRM) along with a copy of the 2015 CDBG Application. A representative from CCRM attended the second public hearing.
7	Agency/Group/Organization	BOYS AND GIRLS CLUB
	Agency/Group/Organization Type	Services-Children Services-Education
	What section of the Plan was addressed by Consultation?	Youth Services



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	<p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p>	<p>The City mailed a notice of public hearing to Boys & Girls Club of Northern Chautauqua County along with a copy of the 2015 CDBG Application. A representative from Boys & Girls Club attended the second public hearing.</p>
8	<p>Agency/Group/Organization</p> <p>Agency/Group/Organization Type</p> <p>What section of the Plan was addressed by Consultation?</p> <p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p>	<p>SOUTHERN TIER ENVIRONMENTAL LIVING, INC.</p> <p>Housing Services - Housing Services-Persons with Disabilities Services-homeless Service-Fair Housing</p> <p>Housing Need Assessment Homeless Needs - Families with children</p> <p>The City mailed a notice of public hearing to Southern Tier Environmental Living (STEL) along with a copy of the 2015 CDBG Application. A representative from STEL attended the first public hearing.</p>
9	<p>Agency/Group/Organization</p> <p>Agency/Group/Organization Type</p> <p>What section of the Plan was addressed by Consultation?</p> <p>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</p>	<p>Meals on Wheels Services-Elderly Persons Services-Persons with Disabilities Senior Services</p> <p>The City mailed a notice of public hearing to Dunkirk-Fredonia Meals on Wheels (MOW) along with a copy of the 2015 CDBG Application. A representative from MOW attended the first public hearing.</p>

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Identify any Agency Types not consulted and provide rationale for not consulting

The City of Dunkirk contacted agencies and organizations associated with housing rehabilitation, economic development, community development, infrastructure development, or skills development. Any and all agencies involving the needs of the people of the City of Dunkirk are always welcome to come discuss the plan with City Department of Planning and Economic Development staff and were given advance notice regarding the public hearings, release of draft plan, and public comment period.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Chautauqua Opportunities, Inc.	In consultation with the Chautauqua County Continuum of Care provider (COI), the City has designed its annual action plan to reflect the needs and concerns laid out in the CoC.
Visualizing our Options: Creating a Better Future	Chautauqua County Department of Planning and Economic Development	This plan is of design guidelines that will allow growth to continue in Chautauqua County communities while maintaining and protecting rural community character and working landscapes. The City of Dunkirk abides by the design guidelines dictated in this plan, and has used it to help spur our goal of economic development. We will be utilizing these guidelines for any future downtown facade improvements including our upcoming main street grant.
Brownfield Opportunity Area City of Dunkirk	TVGA Consultants	This plan is going to be used by the City of Dunkirk to identify and remediate brownfields throughout the City of Dunkirk.
Waterfront Enhancement Planning	Woolpert	This is a historical plan for the waterfront of the City of Dunkirk which shows the sheer potential economic development within the City of Dunkirk utilizing Lake Erie as its primary resource.



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Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Central Business District Development Strategy	City of Dunkirk Department of Planning and Economic Development	This is the current comprehensive development plan for the City of Dunkirk. We analyzed this plan and took into consideration design and economic development guidelines put in place in this plan.
CBD Market Assessment, Dunkirk NY	Belden Associates	This plan is a market analysis of potential development opportunities within the City of Dunkirk specifically relating to downtown central business district areas. This plan was used to view historical use and view historic economic development opportunities within the City of Dunkirk.
Water Systems Analysis	Chadwick Bay Regional Development	The purpose of this plan was to determine the current condition of the City of Dunkirk's water transmission system, which serves the City of Dunkirk and surrounding Chadwick Bay municipalities. The purpose of the study was to determine the condition of the water infrastructure and identify immediate water supply problems and future needs. We used this plan to help determine target areas for where infrastructure redevelopment would be most necessary.
Roberts Road Redevelopment Plan	City of Dunkirk Department of Planning and Development	This plan is for the redevelopment of a road within the City of Dunkirk where there was once a heavy emphasis on industrial uses. We are currently using this plan to determine where potential economic development opportunities exist within the City of Dunkirk.
Community Needs Assessment 2014	Chautauqua Opportunities, Inc.	This very thorough annual assessment researches and analyzes Chautauqua County's strengths and weaknesses in the following areas: Economy & Employment, Housing, Health, Family Life, Early Education & Childcare, Education & Youth, Public Assistance, Transportation, Community Development, Public Safety, and Volunteerism. This data and assessment is very beneficial to the City when it is determining which areas to focus on and what strategies to utilize.

Table 3 – Other local / regional / federal planning efforts

Narrative (optional)

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The City continually consults with Chautauqua Opportunities, Inc., Dunkirk Housing Authority, Chautauqua County Rural Ministry, Chautauqua Home Rehabilitation & Improvement Corporation (CHRIC) executives to better understand their needs. Through continued partnership with these organizations, the City anticipates improved quality and quantity of services and affordable housing for the homeless and low/moderate-income persons.



AP-12 Participation – 91.105, 91.200(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The City believes in continual communication with its local nonprofits, community leaders, and citizens. To guide this communication, the City of Dunkirk adopted a Citizen Participation Plan (CPP) which was prepared in accordance with Section 104(a)(3) of the Housing and Community Development Act of 1974, as amended, and federal regulation 24 CFR 91.105. A DRAFT Amended Citizen Participation Plan was put out for a 30-day public comment period and was formally adopted on April 7, 2015.

The City held two Public Hearings during the drafting of its 2015 Annual Action Plan on November 3 & 13, 2014. The comments from both meetings can be found below. The City also held one Technical Assistance meeting for potential CDBG subrecipients on November 17, 2014. The City will hold a Public Hearing to review the Draft 2015 Annual Action Plan and accept comments. A newspaper article announcing the public hearings and public comment period was published in the Observer newspaper on November 3. On November 5 the City's CDBG Administrator appeared on the Walter Rutland Television Show, which airs on the local cable channel, to discuss the CDBG program, planning process, and announce the public hearings. Legal Notices were published in the Observer on November 4 & 7 to announce the public hearings and comment

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period. A DRAFT Annual Action Plan Public Hearing was hosted on March 12, 2015. Public notices for this meeting were published in the Observer newspaper on March 6 & 9.

Notices of public meetings and copies of the City's 2015 CDBG Application were mailed to: Boys & Girls Club of Northern Chautauqua County, Dunkirk Housing Authority, Dunkirk-Fredonia Meals on Wheels, Chautauqua County Office for the Aging, Chautauqua County Department of Health & Human Services, Chautauqua County Department of Mental Hygiene, Chautauqua County Rural Ministry, Chautauqua Opportunities, Chautauqua Home Rehabilitation and Improvement Corp., Northern Chautauqua Community Foundation, Salvation Army, Senior Center of Dunkirk, Southern Tier Environment for Living, The Resource Center, Willow Mission, and Workforce Investment Board, Inc.

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Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
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Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	Public Hearing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Residents of Public and Assisted Housing</p>	<p>11 persons attended this Public Hearing, hosted on November 3, 2014 at 12:00PM, to provide information on the City's 2015 CDBG Program and gather citizen comments/input.</p> <p>Annual Action Plan 2015</p>	<p>Skeeter Tower, member of Academy Heights and Revitalize Dunkirk, shared her concerns regarding the cultural entities of the City of Dunkirk: Historical Museum's severe structural damages; Adam's Art Gallery needs to be secured before the building is lost due to the roof. Ned Devine, private citizen, believed some of those facilities were awarded CDBG funds in the past but never received them. He wondered whether or not they could get those funds now. Steve Rees, member of Revitalize Dunkirk, brought up the need for safe pedestrian access and bike lanes along Central Avenue from Temple in Fredonia to the City Pier. According to Skeeter Tower, the Revitalize Dunkirk Beautification Committee wanted to get flower pots for Central Avenue. She asked if the cost of flowers and soil would be eligible under CDBG. Josiah Lamp, employee of Chautauqua Opportunities, Inc. discussed housing and</p>	<p>The Historical Museum and Adam's Art Gallery serve the entire City population, which is not 51% or more low-income. CDBG funds could be used to make the buildings handicapped accessible or to provide funding for income eligible populations. Bike lanes along Central Avenue do not require any funding, as the Chautauqua County Department of Public Works has agreed to use their employees and equipment. Central Avenue/Rt. 5/Fourth Street are commercial streets therefore streetscape funds can not be used</p>	



Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
2	Public Hearing	<p>Minorities</p> <p>Non-English Speaking - Specify other language: Spanish</p> <p>Persons with disabilities</p> <p>Residents of Public and Assisted Housing</p>	<p>8 persons attended this Public Hearing, hosted on November 13, 2014 at 6:00PM, to provide information on the City's 2015 CDBG Program and gather citizen comments/input.</p>	<p>Walter Rutland, from the Walter Rutland Show, told the audience that the CDBG Administrator had appeared on his show November 5th to talk about CDBG and invite the public to the public hearings. He expressed disappointment that not a lot of members of the community had come out to attend today's meeting. Ted Wronski, Tom Bautista, and Michele Bautista, from Washington PARC took turns talking about Washington Park and how crucial it is that the City focus CDBG funds on it. They talked about lighting, signage, benches, and flowers.</p>	<p>Washington Park was addressed in the 2014 CDBG Action Plan, with funds set aside for the purchase of benches, signage, and trash cans. Lighting is too expensive at this time, though the City remains in talks with a local company about pricing and potential funding sources.</p>	



Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
4	Public Meeting	Potential Subrecipients	2 potential City CDBG subrecipients attended this Technical Assistance Meeting, hosted on November 17, 2014 at 11:00AM, to review the 2015 CDBG Application and ask questions.	No comments were received.	No comments were received therefore none were denied.	



Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
5	Television Interview	Non-targeted/broad community	The CDBG Administrator appeared on a local television show, the Walter Rutland Show, on November 5. The purpose of this interview was the discuss the CDBG Program, explain the planning process, announce the public hearings, and invite comments from the public to be submitted to the CDBG Administrator by phone, email, or mail. One person attended the first public hearing as a result of the announcement on the show.	No comments were received as a result of this interview.	No comments were received therefore none were denied.	
			Annual Action Plan 2015		24	



Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
6	Newspaper Ad	Non-targeted/broad community	The City published a press release in the Observer newspaper on November 3, 2014 to inform the community of what the CDBG Program is, announce the upcoming public hearings, and ask that any comments, questions, or suggestions be forwarded to the City's CDBG Administrator. The CDBG Administrator received one written comment.	Christine Sell emailed the CDBG Administrator asking about the availability of funds to repair her leaking roof.	The CDBG Administrator responded to Christine Sell to encourage her to apply for Emergency House Repair funds through Chautauqua Home Rehabilitation & Improvement, Corp., which was funded with 2013 and 2014 CDBG funds.	



Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
7	Public Hearing	Non-targeted/broad community	5 persons attended this Public Hearing, hosted on March 12, 2015 at 2:00PM, to release the City's DRAFT 2015 Annual Action Plan and gather citizen comments/input.	No comments were received.	No comments were received, therefore none were denied.	

Table 4 – Citizen Participation Outreach

Expected Resources

AP-15 Expected Resources – 91.220(c) (1, 2)

Introduction

To ensure federal funds are spent effectively throughout the community, the U.S. Department of Housing and Urban Development (HUD) requires recipients of Community Development Block Grant (CDBG) funds to prepare a five-year Consolidated Plan. The Consolidated Plan describes the needs of a community and how those needs will be met using CDBG funds as well as other federal and local funding. Each year of the Consolidated Plan term, the recipient of CDBG funds must prepare a one-year Action Plan. The Action Plan outlines the activities that will be funded using CDBG funds and other federal and local funding sources.

The City will be repaying \$300,000.00 to its HUD line of credit in 2015 and completing its repayment in 2015 instead of 2016. These funds will be used for Water Line Reconstruction activities on East Seventh Street.

Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1			Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$	



City of Dunkirk
Department of Development
Steve Neratko, Director

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Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	388,047	30,408	939,388	1,357,843	750,000	All funds will be used for housing rehabilitation, infrastructure improvements in low-income census tracts, and providing services to low-income individuals and families. All expected funds in the Action Plan will come from CDBG funding. City will provide funding to help with the infrastructure projects.
Housing Trust Fund	public - state	Admin and Planning Economic Development Housing Public Improvements	250,000	0	0	250,000	0	The City of Dunkirk was awarded a NYS Main Street Grant for commercial and residential facade improvements and interior renovations along the Central Avenue corridor between Route 5 and Third Street. This grant also includes funds for streetscape enhancements. This grant will be leveraged with CDBG funds to rehabilitate the Coburn Block buildings that house 19 upper floor low-income residential apartments.



Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1			Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$		
Other	public - state	Admin and Planning Economic Development Public Improvements	330,000	0	0	330,000	The City of Dunkirk received a \$330,000 Brownfield Opportunity Area (BOA) Step 2 grant from the New York State Department of Environmental Conservation. A portion of this grant will be leveraged with CDBG Planning & Administration funds to complete a City of Dunkirk Comprehensive Plan.

Table 5 - Expected Resources - Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City will use the \$388,047 from the United States Department of Housing & Urban Development's Community Development Block Grant Program to address the needs listed in the Action Plan.

The City received a \$250,000 NYS Main Street Grant which will be used to rehabilitate properties along the 100 & 200 blocks of Central Avenue. One set of buildings that will be rehabilitated is the Coburn Block. This set of buildings belongs to Chautauqua County Rural Ministries which provides services such as a food kitchen and garment gallery to the low-income residents of Dunkirk. The upper floors of these buildings are low-income apartments. The City will be repairing the windows of these apartments. The CDBG funds will be leveraged with the NYS Main Street funds to provide an all encompassing rehabilitation of an important public service agency's building. This will satisfy 66% of the City's required 75% match.



Many of the non-profits applying for CDBG funding receive funding from other areas to make their programs work. Dunkirk-Fredonia Meals on Wheels receives State and County funds to help administer its program. Chautauqua Opportunities, Inc. receives various Federal, State, and Local grants for the operation of its Community Development programs. The City will continue to partner with local organizations, non-profits, and businesses to administer its CDBG programs.

The City of Dunkirk will use NYS Consolidated Local Street and Highway Improvement Program (CHIP) funds in conjunction with CDBG funding to help defray the cost of the infrastructure projects within the City. The Chautauqua County Land Bank received a grant from the NYS Housing Trust Fund for the demolition of vacant properties across Chautauqua County. The Land Bank will be using some of these funds to demolish approximately 20 properties within the City of Dunkirk. These funds combined with CDBG funds allocated for demolition will help to make a substantial difference in addressing the number of vacant/dilapidated properties in the City.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The only publically owned land or property to be used will be one public park, Pangolin Park, the streets, sidewalks, and street right of ways within the City's qualified census tracts.

Discussion

Beyond the funded programs and projects listed above, the City is also home to a wide variety of other ongoing projects. The following is a list of these projects:

- DEC Arbor Day grant - Funded by the New York State Department of Environmental Conservation, this grant is for planting trees on Central Ave between Route 5 and Third Street.
- Lake Front Blvd / Seawall Replacement - Funded by the City's general fund, NYS Parks & Recreation, and Empire State Development, this project involves reconstructing the seawall along with new pedestrian and bicycle paths. This project also includes recreational



- amenities such as benches, showers, skating rink, splash pad, gazebo, and bathroom facilities. This is also the major access road for the wastewater treatment facility. Construction began during the Summer of 2014 and is expected to be completed in 2015.
- SUNY Fredonia / US Fish and Wildlife Service Science Center - This plan involves working with SUNY Fredonia and the US Fish and Wildlife Service to bring a science center to Dunkirk, with emphasis on research, education, and tourism. SUNY Fredonia is currently seeking funding for this project.
 - Dredging / Weed cutting of Dunkirk City Harbor - Funded by bed tax as well as general funds, the City is working on dredging the harbor as well as finding sustainable ways to keep the weeds at a minimum.
 - Chautauqua County Land Bank - Funded by the Housing Trust Fund, this project involves addressing the increasing number of vacant and abandoned properties within the City of Dunkirk.
 - Chautauqua County Health Network - Funded by a New York State Department of Health Grant, this project involves creating healthy places to live, work and play. Increasing the availability of places to be physically active as well as have access to fresh produce. Creating and maintaining places for physical activity. This project includes farmers markets, community gardens, walkability studies, and more.
 - SUNY Fredonia Technology Incubator - Funded by the Faculty Student Association at SUNY Fredonia, this project offers business resources to start up technology entrepreneurs.
 - Slum and Blight Plan - This project is to identify key areas of focus for housing and commercial reinvestment within the City of Dunkirk.
 - LEED Certified Neighborhood / Energy Efficiency - The City of Dunkirk is working with SUNY Fredonia and other interested organizations to improve the energy efficiency of the housing stock, commercial operations, and the City as a whole. A long term goal is to improve one of the neighborhoods identified in the slum and blight plan to LEED certified status, as a model not just for the City, but for the region as a whole. This is funded by NYSERDA.
 - Bertges Site / Flickinger Building / Marina / Brownfields - The City (and Dunkirk Local Development Corporation) are working to clean up environmentally contaminated sites so that they are able to be put back into productive use. This includes the strategically important Bertges, Flickinger and Marina properties. Funding for this is provided by the New York State department of environmental conservation, as well as the regional economic development council.
 - Recreational / Tourism - Funded by the City's General Budget, the City is working on improving tourism opportunities within its boundaries. Part of this is infrastructure development (including dredging). This also includes an increase in the number of events and the scale of both the events themselves as well as the marketing of those to the immediate area. The City is also looking at opportunities to increase the usage of its three large waterfront parks - Point Gratiot, Memorial Park, and Wright Park.



Annual Goals and Objectives

AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Infrastructure Development	2013	2017	Non-Homeless Special Needs Non-Housing Community Development Infrastructure	DUNKIRK	Year 1 Needs Year 2 Needs Year 3 Needs Year 4 Needs Year 5 Needs	CDBG: \$114,447	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 2638 Persons Assisted



Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	Housing Rehabilitation	2013	2017	Affordable Housing Public Housing Homeless Non-Homeless Special Needs	DUNKIRK	Year 1 Needs Year 2 Needs Year 3 Needs Year 4 Needs Year 5 Needs	CDBG: \$100,200 Housing Trust Fund: \$250,000	Rental units rehabilitated: 12 Household Housing Unit Homeowner Housing Rehabilitated: 10 Household Housing Unit Buildings Demolished: 2 Buildings

Table 6 – Goals Summary

Goal Descriptions

1	Goal Name	Infrastructure Development
	Goal Description	This activity will provide funding to restore water lines, develop parks, and reconstruct streets in residential areas of the City of Dunkirk's low income census tracts.
2	Goal Name	Housing Rehabilitation
	Goal Description	This activity will provide decent safe housing by performing housing rehabilitation and emergency repairs needed to keep individuals and families warm, safe and dry. This will also involve the demolition of vacant dilapidated housing.

Table 7 – Goal Descriptions

City of Dunkirk
Department of Development
Steve Neratko, Director



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Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):

The City does not receive HOME funds.



AP-35 Projects – 91.220(d)

Introduction

The City utilized a four-week open application process which opened on November 3, 2014 and closed on December 5, 2014. The City received a total of 5 proposals for funding in the amount of \$298,00. Like previous years, the applications were reviewed at the staff level for basic eligibility, then the Mayor and City Council were tasked with deciding how to allocate the funds appropriately.

#	Project Name
1	Planning and Administration
2	Emergency Repair Program
3	Street Improvements
4	Demolition
5	Youth Services
6	Rental Rehabilitation
7	Food Bank Facility Repairs
8	Meals on Wheels
9	Park Development
10	Water Line Improvements

Table 8 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

Like most cities in the "rust-belt" region of the United States, the City of Dunkirk is facing problems with an aging housing stock and infrastructure. It is for this reason the primary focus of our funds is public facilities/infrastructure, and housing, instead of other programs. The goal of the allocation of this money is to make the City of Dunkirk a desirable place to work, play, and live for generations to come. Obstacles addressing underserved needs include insufficient data regarding the underserved population, particularly the homeless population in Chautauqua county.



Projects

AP-38 Projects Summary

Project Summary Information

Table 9 – Project Summary

1	Project Name	Planning and Administration
	Target Area	DUNKIRK
	Goals Supported	Infrastructure Development Housing Rehabilitation
	Needs Addressed	Year 1 Needs Year 2 Needs Year 3 Needs Year 4 Needs Year 5 Needs
	Funding	CDBG: \$50,000 Brownfield Opportunity Area: \$330,000
	Description	Planning and Administration funds will be used to support the costs of staff involved in the administration of the City's CDBG program. These funds will also be used for developing community plans & studies.
	Target Date	3/31/2016



	Estimate the number and type of families that will benefit from the proposed activities	N/A
	Location Description	City Hall 342 Central Avenue Dunkirk, NY 14048
2	Planned Activities	General Administration \$40,000.00 Other Plans & Studies \$10,000.00
	Project Name	Emergency Repair Program
	Target Area	DUNKIRK
	Goals Supported	Housing Rehabilitation
	Needs Addressed	Year 1 Needs Year 2 Needs Year 3 Needs Year 4 Needs Year 5 Needs
	Funding	CDBG: \$50,000
	Description	The purpose of this project is to provide emergency repairs to owner-occupied housing units to create safer, healthier, and happier living environments within the City of Dunkirk. Approximately 10 housing units will be repaired under this project.
	Target Date	



	Estimate the number and type of families that will benefit from the proposed activities	10 low/moderate-income households will benefit from this program.
	Location Description	Addresses have not been chosen for this program yet. They will be chosen on a first come first serve basis.
	Planned Activities	Emergency Housing Repair Program \$50,000.00
3	Project Name	Street Improvements
	Target Area	DUNKIRK
	Goals Supported	Infrastructure Development
	Needs Addressed	Year 1 Needs
		Year 2 Needs
		Year 3 Needs
		Year 4 Needs
		Year 5 Needs
	Funding	CDBG: \$89,447
	Description	From January 2014 to the present, a large number of water lines broke as a result of extreme freezing temperatures. To reconstruct those water lines sections of the street needed to be excavated. The water lines were replaced and the holes filled in as part of phase II. In order to complete phase II of the project, the City will be leasing a zipper machine to pave the sections of street that were affected by the water line breaks.
	Target Date	1/1/2016



4	Estimate the number and type of families that will benefit from the proposed activities	Approximately 800 persons located within the street service areas will benefit from this program.
	Location Description	The zipper machine being leased will be used in spots within the 1st, 3rd, and 4th wards of Dunkirk where water line breaks have occurred in the past 16 months.
	Planned Activities	Street Improvements \$39,447.00 Zipper Lease Project \$50,000.00
	Project Name	Demolition
	Target Area	DUNKIRK
	Goals Supported	Housing Rehabilitation
	Needs Addressed	Year 1 Needs Year 2 Needs Year 3 Needs Year 4 Needs Year 5 Needs
	Funding	CDBG: \$75,600
	Description	\$75,600.00 of 2015 CDBG funds have been allocated for the demolition of vacant dilapidated residential properties in the City's CDBG target areas.
	Target Date	3/31/2016



5	Estimate the number and type of families that will benefit from the proposed activities	2 housing units will be demolished as part of this program.
	Location Description	The locations of these demolitions have not been chosen yet.
	Planned Activities	Demolition \$75,600.00
	Project Name	Youth Services
	Target Area	DUNKIRK
	Goals Supported	
	Needs Addressed	
	Funding	CDBG: \$15,000
	Description	\$15,000.00 in 2015 CDBG funds are allocated to the Boys & Girls Club of Northern Chautauqua County towards the operation of a Career Explorers Program. The purpose of this program is to promote exploration and research into broad career areas for 40 low/moderate-income youth ages 8-14. The program will offer career planning, academic enrichment, and goal setting activities.
	Target Date	10/31/2015
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 40 low/moderate-income youth will participate in this program.
	Location Description	296 Lake Shore Drive East Dunkirk, NY 14048
	Planned Activities	Career Explorers Program \$15,000.00



6	Project Name	Rental Rehabilitation
	Target Area	DUNKIRK
	Goals Supported	Housing Rehabilitation
	Needs Addressed	Year 1 Needs Year 2 Needs Year 3 Needs Year 4 Needs Year 5 Needs
	Funding	CDBG: \$50,200 Housing Trust Fund: \$250,000
	Description	\$50,200.00 of 2015 CDBG funds will be used for the rehabilitation of 12 low-income apartments located in the Coburn Block which is owned and operated by Chautauqua County Rural Ministries.
	Target Date	
	Estimate the number and type of families that will benefit from the proposed activities	12 low-income households will benefit from this program.
	Location Description	127-135 Central Avenue Dunkirk, NY 14048
	Planned Activities	Chautauqua County Rural Ministry Rental Rehabilitation \$50,200.00
7	Project Name	Food Bank Facility Repairs
	Target Area	DUNKIRK
	Goals Supported	Infrastructure Development



	Needs Addressed	Year 1 Needs Year 2 Needs Year 3 Needs Year 4 Needs Year 5 Needs
	Funding	CDBG: \$25,800 Housing Trust Fund: \$250,000
	Description	Chautauqua County Rural Ministries operates a Food Bank at its Central Avenue location. The warehouse that houses the food is in need of repairs to its roof to maintain a safe, dry environment for the donated food. A large truck that is used for the delivery of the donated foods to distribution points around the City also requires a few repairs and an attachment for loading/unloading to keep this truck in service. This food bank benefits many low-income persons and families within the City.
	Target Date	12/31/2015
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 1000 low-income persons will benefit from the Chautauqua County Rural Ministries Food Bank during the 2015 program year.
8	Location Description	135 Central Avenue Dunkirk, NY 14048
	Planned Activities	Rural Ministries Food Bank Facility Repairs - \$25,800.00
	Project Name	Meals on Wheels
	Target Area	DUNKIRK
	Goals Supported	



Needs Addressed	
Funding	CDBG: \$7,000
Description	Funding will be provided to the local charter of Meals on Wheels to provide meals for senior citizens or disabled individuals that are otherwise unable to provide meals for themselves.
Target Date	
Estimate the number and type of families that will benefit from the proposed activities	Approximately 5 low-income senior citizens will benefit from this program.
Location Description	The activity is administered through the Dunkirk-Fredonia Meals on Wheels office located at: 200 Lake Shore Drive West, Dunkirk, NY 14048 Applicant households have not been chosen yet.
Planned Activities	Meals on Wheels - \$7,000.00
Project Name	Park Development
Target Area	DUNKIRK
Goals Supported	Infrastructure Development
Needs Addressed	Year 1 Needs Year 2 Needs Year 3 Needs Year 4 Needs Year 5 Needs
Funding	CDBG: \$10,000

9



	Description	Pangolin Park, a neighborhood park located in the 1st Ward on Pangolin Street, currently offers no amenities and has very limited uses. To increase usage by members of this neighborhood, the City has allocated \$10,000 in 2015 CDBG funds to purchase and install amenities such as benches, trash cans, and trees.
	Target Date	10/31/2015
	Estimate the number and type of families that will benefit from the proposed activities	Approximately 517 households located within the Park Service Area will benefit from this project.
	Location Description	Pangolin Park North Pangolin Street Dunkirk, NY 14048
	Planned Activities	Pangolin Park Development - \$10,000.00
	Project Name	Water Line Improvements
	Target Area	DUNKIRK
	Goals Supported	Infrastructure Development
	Needs Addressed	Year 1 Needs Year 2 Needs Year 3 Needs Year 4 Needs Year 5 Needs
	Funding	CDBG: \$15,000

10



Description	The East Seventh Street water line is extremely corroded, which has caused a decreased capacity of water to the households being served by the line. The fire hydrants located along East Seventh Street are all 'dead' making them useless in the event of a fire emergency. This project involves the excavation of the road, upgrading of the current water line to a new and wider line, putting the fire hydrants back into service, and reconstructing the road/curbs. The entire project will cost approximately \$315,000, with \$15,000 of the cost being program delivery budgeted for in 2015 CDBG funds.
Target Date	10/31/2015
Estimate the number and type of families that will benefit from the proposed activities	Approximately 796 persons located within the target area will benefit from this activity.
Location Description	The water line to be replaced runs along East Seventh Street from Central Avenue to Main Street and from Main Street to East Sixth Street.
Planned Activities	E Seventh St Water Line Improvements Program Delivery - \$15,000.00



AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The City has recognized geographic regions with populations in need of affordable housing options and improved public facilities and infrastructure and had developed two target areas: Eastern Entrances and Washington Park. These areas include Census Tract 354.00, 355.00, and 357.00. These areas have dense populations of low- to moderate-income families and growing minority concentrations. Based on the 2013 American Community Survey, the percentage of persons within those census tracts whose income was below to poverty level range from 22.6 - 32.5%. The percentage of Hispanic or Latino persons in the same tracts range from 25.0% - 28.8%.

Geographic Distribution

Target Area	Percentage of Funds
DUNKIRK	100

Table 10 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The rationale for the priorities for allocating investments geographically is to meet the primary objective of the CDBG Program which is to benefit low-income and moderate-income residents.

100% of the City's CDBG allocation will be spent in the following prequalified census tracts: 354.00, 355.00, and 357.00. These areas have dense populations of low- to moderate-income families and growing minority concentrations. Based on the 2013 American Community Survey, the percentage of persons within those census tracts whose income was below to poverty level range from 22.6 - 32.5%. The percentage of Hispanic or Latino persons in the same tracts range from 25.0% - 28.8%. Between 16.9 - 36.7% of the households within these census tracts receive food stamps/SNAP benefits.

Discussion



Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

With a plurality of residents of the City of Dunkirk being low to moderate income and with 38.1% of the population being below poverty levels, concerns do exist for affordable housing for Dunkirk residents. Fortunately, numerous programs have effectively targeted low-income populations who are searching for affordable housing. In the coming years, Dunkirk will continue to support the Dunkirk Housing Authority, as well as continue its housing rehabilitation programs, so those living in sub-standard conditions will see improvements, not only to their home, but to their community.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	22
Special-Needs	0
Total	22

Table 11 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	22
Acquisition of Existing Units	0
Total	22

Table 12 - One Year Goals for Affordable Housing by Support Type

Discussion

Housing conditions in Dunkirk reflect the poor local economy. 82.7% of the City's housing stock was built prior to 1959. This compares with 57.1% for the rest of New York State and to only 30% for the nation as a whole. (2013 American Community Survey) This statistic indicates that older homes are not being replaced. Also, homes are not being adequately maintained. This is due to a number of factors. The two primary reasons are the aging population and the lack of financial resources to make necessary repairs. 18.3% of household owners are spending more than 30% of their monthly income on housing



costs. Median monthly owner costs are estimated at \$860. The vast majority of owners experiencing cost burdens over 30% of their annual income are elderly (62 years old or older). It is likely that these people are on a fixed income, and therefore have a more difficult time competing with the rate of inflation.

The National Low-Income Housing Coalition's 2014 Out of Reach report on housing costs states that the Fair Market Rent for a one bedroom apartment in the City of Dunkirk is \$489. In order to afford this level of rent and utilities without paying more than 30% of income on housing a household must earn \$1,630 monthly or \$19,560 annually. With a state minimum wage of \$8.75/hour, that equates to a minimum wage earner working 47 hours per week or 1.16 full-time jobs. Currently 51.9% of the City's rental population is spending 30% or more of their income on housing.



AP-60 Public Housing – 91.220(h)

Introduction

The City of Dunkirk does not administer a public housing program nor does it administer the Section 8 Housing Choice Voucher Program. The City's public housing is administered by the Dunkirk Housing Authority (DHA) whose mission is to provide low-income families with decent, safe, and sanitary shelter at a price they can afford. The DHA has multiple complexes designated as public housing, with 207 units spread throughout the City. There are also numerous Section 8 housing subsidies which are mostly fulfilled through privately owned housing. Currently, 98 City of Dunkirk residents are on a waiting list for public housing.

Actions planned during the next year to address the needs to public housing

The City of Dunkirk will continue to work with the Dunkirk Housing Authority by providing homeownership education to individuals in the community. This has, and will encourage public housing residents to become more involved in the management of their own homes and participate in homeownership.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

The Housing Authority of the City of Dunkirk is a member of the Chautauqua County Homeless Coalition. The purpose of this group is to create strategies for creating housing options for those people in need. The City will continue to support this organization's goals and mission.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Dunkirk Housing Authority is not designated as troubled.

Discussion



AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

The Chautauqua County Homeless Coalition is a comprehensive network of diverse organizations that addresses the needs of, and provides intervention and prevention services for, the homeless and those at risk of homelessness. There are several other agencies in this area that serve individuals or families who are homeless or at risk of becoming homeless. The City of Dunkirk is, and will continue to be involved with these organizations.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City has partnered with the Chautauqua County Homeless Coalition to work on a plan to affect homelessness. One of the key strategies is to address chronic homelessness and provide outreach. The Homeless Coalition is represented by agencies that specifically target the homeless and assist individuals with accessing mainstream mental health and chemical dependency services. Part of this outreach is education of both the service providers and the communities to assist in eradicating homelessness.

Addressing the emergency shelter and transitional housing needs of homeless persons

While the City does not receive emergency shelter grants, there are a variety of local non-profits which do provide these types of services which the City will continue to be involved in and supportive of. The Chautauqua County Homeless Coalition continually performs assessments to identify the needs of homeless/at-risk of homeless persons within the county.

The Dunkirk Housing Authority has multiple complexes designated as public housing, with 207 units spread throughout the City.

Chautauqua Opportunities, Inc. (COI) operates a NYS licensed runaway and homeless youth shelter that is funded by the U.S. Department of Health and Human Services.



Chautauqua County Rural Ministries currently has 19 low-income apartments for homeless and transitional housing. They also operate a soup kitchen, garment gallery, and furniture store.

Willow Mission, which is operated through the Dunkirk First United Methodist Church, has emergency shelter, as well as showers, a garment boutique, and washer/dryer facilities.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The City will continue to work with the Continuum of Care to address the housing and supportive services needs of homeless persons making the transition to permanent housing and independent living.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

The members of the Chautauqua County Homeless Coalition provide a wide array of services to complement homeless services. The mission of Chautauqua Opportunities, Inc. (COI), the county's Continuum of Care provider, is "leading the fight against poverty by mobilizing resources and creating partnerships to promote empowerment, economic independence and opportunities." Some of the services they provide are:

Health Services

- Home Health Care
- Health Screenings
- Mental Health Services
- Health Insurance Education and Access



- Services for Pregnant Women & Minorities

Youth Services

- Safe House
- Transitional Independent Living Program
- After School Programs
- Teen Pregnancy and Parenting Services
- Transitional Work Force Services

Housing & Community Development

- Housing Rehab/Rental Rehab
- Energy Audits
- Homeless Prevention
- Veterans Services
- Homeownership Education
- Houses/Apartments for Rent

Economic Development

- Business Loans
- Incubator Services
- Small Business Counseling

The City of Dunkirk will continue its ongoing efforts to help rehabilitate homes that would otherwise be destined for condemnation and provide assistance with emergency repairs. We feel it is imperative to keep our housing stock in good shape and to prevent anyone from becoming homeless due to the condition of their home.

Discussion

One year goals for the number of households to be provided housing through the use of HOPWA for:
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family
Tenant-based rental assistance
Units provided in housing facilities (transitional or permanent) that are being developed, leased, or operated



Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds
Total



AP-75 Barriers to affordable housing – 91.220(j)

Introduction

After reviewing 2005 - 2009 CHAS data regarding housing within the City of Dunkirk, we have determined that the leading barrier to affordable housing in the City of Dunkirk is that extremely-low income individuals simply do not have the means to keep and maintain homes. With large percentages of the population spending between 30% and 50% of their annual income on housing within the City of Dunkirk, we recognize that this is a real problem that needs to be addressed.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

For a few years, Dunkirk saw a drop in home prices that put more homes within reach for the low to moderate-income families and individuals, however, getting qualified for a mortgage was a huge barrier to the prospective low/moderate-income home owner.

At this time home prices slowly beginning to rise, making it even more difficult for potential homeowners to secure a mortgage. The economy is also a barrier to affordable housing making it harder for low-income families and individuals to save for a down payment. The City will continue to allocate funding for Homeownership Education to prepare low/moderate-income residents for becoming homeowners and help them through the mortgage process.

The City will also continue its Rental Rehabilitation Program and Emergency Repairs Program to help keep people in their homes and assist with the maintenance of the City's older housing stock.

In 2015 the City of Dunkirk will work on updating its 'Analysis of Impediments to Fair Housing Choice'. Once this has been updated, and impediments are identified, the City will then take appropriate action to overcome the effects of those impediments.

Discussion



AP-85 Other Actions – 91.220(k)

Introduction

The City of Dunkirk has other actions planned for the use of CDBG funds in this five year plan including increasing partnerships with local institutions, improving public transportation opportunities, and protecting our most valuable resource - our waterfront on Lake Erie.

Actions planned to address obstacles to meeting underserved needs

The City of Dunkirk has funded multiple activities for the 2015r Program Year that address housing, infrastructure, and public services. One obstacle is the lack of data specific to Dunkirk in these areas, making the amount of need in the City difficult to measure.

The City intends on increasing communication with non-profits serving underserved individuals. It also has been campaigning to increase its public participation rate, and community outreach to underserved individuals within the community. Progress has been made in effort to improve communications between underserved individuals and the City, but we will continue to work on this obstacle. The City has amended its Citizen Participation Plan (CPP) to make it more effective. The CPP is out for a 30-day public comment period and will be formally adopted in April of 2015.

Meeting notices were sent to many local non-profits and service providers to inform them of the public hearings and comment periods. They were also advertised in the Observer newspaper, on the City of Dunkirk's website, and on the Public Access television station.

Actions planned to foster and maintain affordable housing

The City will continue to use CDBG funding for an Emergency Repair Program. The program will provide grants to households with more dire repair needs such as electrical, roof, and furnace emergencies. In 2015 the City will also rehabilitate 12 low-income apartments which are operated by Chautauqua County Rural Ministries.

In 2015 the City will be updating its 'Analysis of Impediments to Fair Housing Choice'. The purpose of this is to identify impediments to fair housing, then take appropriate actions to overcome the effects of the impediments.

Actions planned to reduce lead-based paint hazards



Actions will be continued in the City of Dunkirk to evaluate and reduce the number of houses containing lead-based paint hazards. The Chautauqua County Environmental Health Services division responds to reports of children with elevated blood lead levels and performs environmental assessments to identify hazardous areas with lead-based paint in the child's home. Lead hazard control activities may be suggested or ordered to be performed dependent on the child's blood lead level. Education of parents, landlords and renovators on how to prevent lead poisoning and properly deal with lead hazards is a priority.

The Health Department and the Chautauqua Home Rehabilitation and Improvement Corporation (CHRIC) have partnered to implement a third HUD - funded Lead Hazard Control grant. The program offers grants to landlords and homeowners to address lead-based paint hazards in rental properties and homes in Chautauqua County. Due to this initiative, lead-based paint has thankfully become a limited concern in the City of Dunkirk. The City will continue to work with its partners to improve the quality of housing in relation to these concerns.

Actions planned to reduce the number of poverty-level families

The City of Dunkirk has a plan implemented to reduce poverty levels within the City:

1: Promote Affordable Housing

- Preserve and maintain existing affordable housing opportunities through the City of Dunkirk's Housing Rehabilitation programs and address problems such as high utility bills by implementing cost savings measures
- Promote the development of new affordable housing units
- Support foreclosure prevention programs and services
- Assist in the purchase of homes for owner occupied individuals and families

2: Foster Employment and Economic Opportunities for the low and moderate income residents of the City of Dunkirk

- Work collaboratively to promote employment training opportunities that prepare low to moderate income individuals for jobs that provide a living wage. The training programs should focus on the opportunities that actually exist here.



3: Support the delivery of Human Services

- Champion public service programs that support anti-poverty efforts including: financial literacy, programs that assist vulnerable populations, for example the homeless or abused and/or neglected children, youth programs, feeding programs, new public transportation programs, and programs aimed to assist low income elderly.

4: Seek Partnerships that improve the cost-effectiveness and quality of programs to assist low/moderate income individuals and families

- The City of Dunkirk will continue to develop stronger partnerships with community agencies that assist with low/moderate income individuals and families.

Actions planned to develop institutional structure

During the program year covered by this Action Plan, the City will review the gaps listed in the Consolidated Plan and determine methods to strengthen the institutional structure in order to eliminate the gaps; review current services and processes to determine what may be streamlined; review the delivery systems of the City Departments and external agencies that utilize federal funding to determine how to strengthen the coordination of services; and meet with program partners to determine what gaps may exist and how best to eliminate those gaps.

HUD has also acknowledged the City of Dunkirk requires some technical assistance in order to develop and strengthen its organizational structure and capacity to administer a CDBG program. The City has already met with CloudBurst, the organization HUD hired to perform a Needs Assessment. They have compiled this assessment based upon discussions and feedback from HUD, the City, and the City's partners/subrecipients. The City has already had a two-day TA session with CloudBurst that focused on the Owner Occupied Housing Rehabilitation Program and Rental Rehabilitation Program.

In 2014 the CDBG Administrator developed a CDBG Policies & Procedures Manual to govern the program. This will help the program run smoothly, particularly if there are any changes in staff. This manual was submitted to the HUD Buffalo Field Office as part of a response to the 2013 Monitoring Report. The City is awaiting comments from its HUD representatives.

The CDBG Administrator has also been taking advantage of the trainings hosted by the HUD Buffalo Field Office, including Technical Assistance (TA) Thursdays and Rehab Rap, which are hosted on a monthly basis.



The City of Dunkirk is home to many public and private institutions that the residents of the City of Dunkirk are able to take advantage of. It is with plans like this CDBG five year plan that help coordinate these institutions to better serve the community as a whole. Educational, religious, service based, and other institutions all have purposes in the community, we intend to continue to reach out to these institutions within the community to help them coordinate services more efficiently, and better serve the community.

Actions planned to enhance coordination between public and private housing and social service agencies

The City continues its support of the local nonprofit organizations that are members of the Chautauqua County Homeless Coalition. The Coalition is "a comprehensive network of diverse organizations that addresses the needs of, and provides intervention and prevention services for, the homeless and those at risk of homelessness." The Homeless Coalition members that address the City of Dunkirk's population are: Chautauqua Opportunities, Inc., Chautauqua Home Rehabilitation Improvement Corp., and Chautauqua County Department of Health & Human Services.

Discussion

Although our primary goal for CDBG funds for the FY 2013 -2017 plan is to enhance the community through infrastructure development and housing rehabilitation, we also recognize the importance of improving other services and connections around the City of Dunkirk. It is for this reason that we include in our plan areas where we can improve our coordination with private and public institutions in and around our City.

The City has allocated \$10,000 for studies and planning to fund well researched plans that will provide a better picture of the City and how to address the issues it is plagued with. These plans include an 'Analysis of the Impediments to Fair Housing Choice' and a Comprehensive Plan.



Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction

Approximately 13 percent of the City's 2015 CDBG funds will be used for Administration and Planning costs (\$40,000). The City's goal is to use 78 percent of the remaining funds to assist low and moderate income families and Limited Clientele, as determined by HUD.

Community Development Block Grant Program (CDBG)

Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	30,408
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	30,408

Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	78.00%



Discussion

In the 2015 program year noted in this Plan, the total amount allocated to low and moderate income persons (less the 13 percent Administration and Planning cost) will be \$338,047. From that total, the City anticipates that 78 percent of the funds will be used to benefit low and moderate income persons through its outlined projects. 22 percent will be used to address slums and blight in the City through demolition of vacant dilapidated properties.

Housing rehabilitation and infrastructure development are were the highest priorities identified in the City of Dunkirk's Consolidated Plan. Therefore, the City has programmed most of its 2015 CDBG funds to programs that will address the needs of these two priorities.



SF 424

The SF 424 is part of the CPMP Annual Action Plan. SF 424 form fields are included in this document. Grantee information is linked from the 1CPMP.xls document of the CPMP tool.


SF 424

Complete the fillable fields (blue cells) in the table below. The other items are pre-filled with values from the Grantee Information Worksheet.

Date Submitted 4/14/15	Applicant Identifier	Type of Submission	
Date Received by state	State Identifier	Application	Pre-application
Date Received by HUD	Federal Identifier	<input type="checkbox"/> Construction	<input type="checkbox"/> Construction
		<input checked="" type="checkbox"/> Non Construction	<input type="checkbox"/> Non Construction
Applicant Information			
City of Dunkirk		NY361756	
342 Central Avenue		94176310	
Dunkirk	New York	Department of Development	
14048	U.S.A.		
Employer Identification Number (EIN):		Chautauqua	
16-6002540		April 1, 2015	
Applicant Type:		Specify Other Type if necessary:	
City			
Program Funding		U.S. Department of Housing and Urban Development	
Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Actual Funding			
Community Development Block Grant		14.218 Entitlement Grant	
Planning & Administration, Public Services, Public Infrastructure & Improvements, Housing, Clearance		City of Dunkirk Target Areas: Washington Park, Eastern Entrances	
\$388,047.00	\$872,571.46	Unexpended PY2013 & 2014 CDBG Allocations	
\$0.00	\$250,000.00		
\$0.00	\$0.00		
\$30,408.00	Other (Describe)		
\$1,491,026.46			
Home Investment Partnerships Program		14.239 HOME	
HOME Project Titles		Description of Areas Affected by HOME Project(s)	
\$HOME Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	

\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for HOME-based Project(s)			
Housing Opportunities for People with AIDS		14.241 HOPWA	
HOPWA Project Titles		Description of Areas Affected by HOPWA Project(s)	
\$HOPWA Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for HOPWA-based Project(s)			
Emergency Shelter Grants Program		14.231 ESG	
ESG Project Titles		Description of Areas Affected by ESG Project(s)	
\$ESG Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for ESG-based Project(s)			
Congressional Districts of:		Is application subject to review by state Executive Order 12372 Process?	
23 rd	23 rd		
Is the applicant delinquent on any federal debt? If "Yes" please include an additional document explaining the situation.		<input type="checkbox"/> Yes	This application was made available to the state EO 12372 process for review on DATE
		<input checked="" type="checkbox"/> No	Program is not covered by EO 12372
<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> N/A	Program has not been selected by the state for review

Person to be contacted regarding this application

Steve		Neratko
Director of Development	716-366-9879	716-363-6460
sneratko@cityofdunkirk.com	www.dunkirktoday.com	Nicole Waite
Signature of Authorized Representative		Date Signed
		04/14/15



CPMP Non-State Grantee Certifications

Many elements of this document may be completed electronically, however a signature must be manually applied and the document must be submitted in paper form to the Field Office.

- ☐ This certification does not apply.
☒ This certification is applicable.

NON-STATE GOVERNMENT CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about --
 - a. The dangers of drug abuse in the workplace;
 - b. The grantee's policy of maintaining a drug-free workplace;
 - c. Any available drug counseling, rehabilitation, and employee assistance programs; and
 - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will --
 - a. Abide by the terms of the statement; and
 - b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted --
 - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Jurisdiction

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

8. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
9. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
10. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



Signature/Authorized Official

04/14/15

Date

Anthony J Dolce

Name

Mayor

Title

342 Central Ave

Address

Dunkirk, NY 14048

City/State/Zip

716-366-9881

Telephone Number

- ☐ This certification does not apply.
☒ This certification is applicable.

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

11. **Maximum Feasible Priority** - With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
12. **Overall Benefit** - The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2014, 2015, 2016, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
13. **Special Assessments** - It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

14. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
15. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K and R, of title 24;

Compliance with Laws -- It will comply with applicable laws.



Signature/Authorized Official

04/14/15

Date

Anthony J Dolce

Name

Mayor

Title

342 Central Ave

Address

Dunkirk, NY 14048

City/State/Zip

716-366-9881

Telephone Number

Jurisdiction _____

- ☒ This certification does not apply.
☐ This certification is applicable.

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities, which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

- ☒ **This certification does not apply.**
☐ **This certification is applicable.**

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

- ☒ This certification does not apply.
☐ This certification is applicable.

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

- ☒ This certification does not apply.
☐ This certification is applicable.

ESG Certifications

I, _____, Chief Executive Officer of **Error! Not a valid link.**, certify that the local government will ensure the provision of the matching supplemental funds required by the regulation at 24 *CFR* 576.51. I have attached to this certification a description of the sources and amounts of such supplemental funds.

I further certify that the local government will comply with:

1. The requirements of 24 *CFR* 576.53 concerning the continued use of buildings for which Emergency Shelter Grants are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services.
2. The building standards requirement of 24 *CFR* 576.55.
3. The requirements of 24 *CFR* 576.56, concerning assurances on services and other assistance to the homeless.
4. The requirements of 24 *CFR* 576.57, other appropriate provisions of 24 *CFR* Part 576, and other applicable federal laws concerning nondiscrimination and equal opportunity.
5. The requirements of 24 *CFR* 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
6. The requirement of 24 *CFR* 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
7. The requirements of 24 *CFR* Part 24 concerning the Drug Free Workplace Act of 1988.
8. The requirements of 24 *CFR* 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted with ESG funds and that the address or location of any family violence shelter project will not be made public, except with written authorization of the person or persons responsible for the operation of such shelter.
9. The requirement that recipients involve themselves, to the maximum extent practicable and where appropriate, homeless individuals and families in policymaking, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 *CFR* 76.56.
10. The requirements of 24 *CFR* 576.57(e) dealing with the provisions of, and regulations and procedures applicable with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related

authorities as specified in 24 *CFR* Part 58.

11. The requirements of 24 *CFR* 576.21(a)(4) providing that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services will meet the requirements that: (A) the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) the assistance must not supplant funding for preexisting homeless prevention activities from any other source.
12. The new requirement of the McKinney-Vento Act (42 *USC* 11362) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that state and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.
13. HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of a completed and approved Consolidated Plan with its certifications, which act as the application for an Emergency Shelter Grant, is authorized under state and/or local law, and that the local government possesses legal authority to carry out grant activities in accordance with the applicable laws and regulations of the U. S. Department of Housing and Urban Development.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

- ☐ This certification does not apply.
☒ This certification is applicable.

APPENDIX TO CERTIFICATIONS

Instructions Concerning Lobbying and Drug-Free Workplace Requirements

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code) Check if there are workplaces on file that are not identified here. The certification with regard to the drug-free workplace is required by 24 CFR part 21.

Place Name	Street	City	County	State	Zip

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules: "Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15); "Conviction" means a finding of guilt (including a plea of *nolo contendere*) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes; "Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any

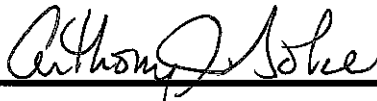
Jurisdiction

controlled substance; "Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including:

- a. All "direct charge" employees;
- b. all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and
- c. temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Note that by signing these certifications, certain documents must be completed, in use, and on file for verification. These documents include:

1. Analysis of Impediments to Fair Housing
2. Citizen Participation Plan
3. Anti-displacement and Relocation Plan



Signature/Authorized Official

04/14/15

Date

Anthony J Dolce

Name

Mayor

Title

342 Central Ave

Address

Dunkirk, NY 14048

City/State/Zip

716-366-9881

Telephone Number

City of Dunkirk
Summary of Citizen Participation Comments Received for 2015 Annual Action Plan

Comments Received at Public Hearing #1 – November 3, 2014

- Skeeter Tower, member of Academy Heights and Revitalize Dunkirk, shared her concerns regarding the cultural entities of the City of Dunkirk: Historical Museum's severe structural damages; Adam's Art Gallery needs to be secured before the building is lost due to the roof.
- Ned Devine, private citizen, believed some of those facilities were awarded CDBG funds in the past but never received them. He wondered whether or not they could get those funds now.
- Steve Rees, member of Revitalize Dunkirk, brought up the need for safe pedestrian access and bike lanes along Central Avenue from Temple in Fredonia to the City Pier.
- According to Skeeter Tower, the Revitalize Dunkirk Beautification Committee wanted to get flower pots for Central Avenue. She asked if the cost of flowers and soil would be eligible under CDBG.
- Josiah Lamp, employee of Chautauqua Opportunities, Inc., discussed housing and asked if the City could include Fair Housing in its Annual Action Plan. He also recommended that the City begin performing Habitability Inspections to prevent some of housing stock decline.
- S. Tower asked what can be done about empty houses around the City that haven't been lived in for years.

Comments Received at Public Hearing #2 – November 13, 2014

- Walter Rutland, from the Walter Rutland Show, told the audience that N Waite had appeared on his show November 5th to talk about CDBG and invite the public to the public hearings. He expressed disappointment that not a lot of members of the community had come out to attend today's meeting.
- Ted Wronski, Tom Bautista, and Michele Bautista, from Washington PARC took turns talking about Washington Park and how crucial it is that the City focus CDBG funds on it. They talked about lighting, signage, benches, and flowers.

Comments Received at Technical Assistance Meeting – November 17, 2014

- No comments were received

Comments Received at DRAFT 2015 Annual Action Plan Public Hearing – March 12, 2015

- No comments were received

Written Comments Received

- Christine Sell, resident, emailed the CDBG Administrator on November 3, 2014 asking about availability of funding to assist with her leaking roof. Resident was directed to contact Chautauqua Opportunities, Inc. and Chautauqua Home Rehabilitation & Improvement, Corp. to apply for their housing programs.

I HEREBY CERTIFY that the foregoing is a true and correct copy of a resolution adopted by the Common Council of the City of Dunkirk, New York at its meeting

held on April 7, 2015

City Clerk

RESOLUTION #18-2015
APRIL 7, 2015

BY: THE ENTIRE COUNCIL

SUBMISSION OF FY2015 CDBG ANNUAL ACTION PLAN TO HUD

WHEREAS, the City of Dunkirk receives funding each year from the United States Department of Housing and Urban Development (HUD) through the Community Development Block Grant (CDBG) program; and

WHEREAS, the City develops an **Annual Action Plan** each year which identifies and further describes the City's anticipated allocation of CDBG funds to various programs and activities for the next fiscal year; and

WHEREAS, according to the City's approved CDBG Citizen Participation Plan, the City's proposed allocations have been presented to the public and interested elected representatives via three (3) public meetings, one (1) technical assistance session, and a thirty-day public review and comment period has been afforded to City residents; now, therefore, be it

RESOLVED, that the following activities have been included in the 2015 Annual Action Plan submitted to the public pursuant to the City's Citizen Participation Plan for review and are to be submitted to HUD for its approval.

2015 CDBG ALLOCATIONS

Administration and Planning <ul style="list-style-type: none">• General Administration• Planning/Studies	\$40,000.00 \$10,000.00	\$ 50,000.00
Public Facilities and Infrastructure Improvements <ul style="list-style-type: none">• Street Improvements• Water Line Reconstruction• Park Development	\$89,447.00 \$15,000.00 \$10,000.00	\$114,447.00
Public Services <ul style="list-style-type: none">• Career Explorers – Boys & Girls Club• Meals on Wheels• Rural Ministries Food Bank	\$15,000.00 \$ 7,000.00 \$25,800.00	\$ 47,800.00
Housing <ul style="list-style-type: none">• Rental Rehabilitation• Emergency Repairs	\$50,200.00 \$50,000.00	\$100,200.00
Acquisition, Disposition, Clearance, Relocation <ul style="list-style-type: none">• Demolition	\$75,600.00	\$ 75,600.00
Total 2015 Allocation		\$388,047.00